## Edgar Filing: Hassett Timothy J. - Form 4

Hassett Timoth Form 4	ıy J.										
July 31, 2017											
							OMB AF	PROVAL			
Washington, D.C. 20549							OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						January 31Expires:200Estimated averageburden hours perresponse0.					
(Print or Type Res	polises)										
Hassett Timothy J. Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	COOL TECHNOLOGIES, INC. [WARM]										
5712 MARSH HAWK DRIVE (Month 07/21 (Street) 4. If Au			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>07/21/2017</li></ul>					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chief Executive Officer			
								6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SANTA ROSA	A, CA 95409	9						Form filed by M Person			
(City)	(State)	(Zip)	Table I	- Non-Deri	ivative See	curities	s Acqu	ired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Chase				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Corporation Common Stock	12/01/2009	9		А	7,320 (1)	А	\$0	106,469	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Teporting of the real of real of	Director	10% Owner	Officer	Other				
CHASE ADAM								
<b>26 SUMMER STREET</b>			President/COO					
BRIDGEWATER, MA 02324								
Signatures								
Paula Myers by power of attorney		12/08/2009						
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents Restricted stock vesting on August 31, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.