### **LEWIS JONATHAN**

Form 4

December 10, 2007

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31,

**OMB APPROVAL** 

subject to Section 16. Form 4 or

**SECURITIES** 

2005 Estimated average burden hours per

0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

Expires:

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

**LEWIS JONATHAN** 

ZIOPHARM ONCOLOGY INC

(Check all applicable)

[ZIOP]

(Last)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title

10% Owner Other (specify

below)

**CEO** 

1180 AVENUE OF THE AMERICAS, 19TH FLOOR

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Filed(Month/Day/Year)

(Month/Day/Year)

12/07/2007

NEW YORK, NY 10036

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securities Acquired   | 5. Amount of   | 6.           | 7. Nature of |
|------------|---------------------|--------------------|------------|--------------------------|----------------|--------------|--------------|
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio | on(A) or Disposed of (D) | Securities     | Ownership    | Indirect     |
| (Instr. 3) |                     | any                | Code       | (Instr. 3, 4 and 5)      | Beneficially   | Form: Direct | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8) |                          | Owned          | (D) or       | Ownership    |
|            |                     |                    |            |                          | Following      | Indirect (I) | (Instr. 4)   |
|            |                     |                    |            | (A)                      | Reported       | (Instr. 4)   |              |
|            |                     |                    |            | (A)                      | Transaction(s) |              |              |

or

Code V Amount (D) Price (Instr. 3 and 4)

Common

value

Stock, 12/07/2007 \$.001 par

P 3,000

5,000

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Edgar Filing: LEWIS JONATHAN - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. conNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Dat<br>(Month/Day/Y | . Date Exercisable and expiration Date Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|--|--------------------------------|--|-----------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable            | Expiration<br>Date                                     | Title           | Amount or<br>Number of<br>Shares                              |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 0.08   |   |   |  |  | 01/08/2007                     | 01/08/2014   | Common<br>Stock | 25,674  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 0.08   |   |   |  |  | 01/27/2007                     | 01/27/2014   | Common<br>Stock | 242,979   |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 4.31   |   |   |  |  | 01/27/2007                     | 06/08/2015   | Common<br>Stock | 87,789  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 4.31   |   |   |  |  | 01/27/2007                     | 09/13/2015   | Common<br>Stock | 54,161  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 5.01   |   |   |  |  | 04/26/2006                     | 04/26/2016   | Common<br>Stock | 139,315   |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 5.01   |   |   |  |  | 04/26/2006                     | 04/26/2016   | Common<br>Stock | 75,000  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 6.49   |   |   |  |  | <u>(1)</u>                     | 12/13/2016   | Common<br>Stock | 30,000  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$ 4.85   |   |   |  |  | (2)                            | 06/18/2017   | Common<br>Stock | 35,000  |  |

8. P Der Sec (Ins

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

LEWIS JONATHAN

1180 AVENUE OF THE AMERICAS, 19TH FLOOR X CEO

NEW YORK, NY 10036

# **Signatures**

Reporting Person

/s/ Jonathan
Lewis

12/07/2007

\*\*Signature of Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 10,000 shares vest on each of 12/13/07, 12/13/08 and 12/13/09.
- (2) 11,667 shares vest on each of 6/18/08 and 6/18/09; 11,666 shares vest on 6/18/10.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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