Edgar Filing: ESPEY MFG & ELECTRONICS CORP - Form 4

ESPEY MFG & ELECTRONICS CORP

Form 4

November 06, 2015

Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

if no longer subject to

January 31, Expires: 2005

OMB APPROVAL

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

PINSLEY BARRY

Symbol **ESPEY MFG & ELECTRONICS**

(Check all applicable)

CORP [ESP]

(Middle)

X_ Director 10% Owner

3. Date of Earliest Transaction (Month/Day/Year)

Officer (give title Other (specify below)

6101 PELICAN BAY BLVD. 11/05/2015

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

G

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

NAPLES, FL 34108

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially Beneficial (D) or Ownership Owned Indirect (I) (Instr. 4) Following (Instr. 4)

Reported (A) or

Transaction(s) (Instr. 3 and 4) Price

Common

Stock - \$.33 11/05/2015 1/3 par

Code V Amount (D)

D

200

\$0 52,562

D

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	te	7. Title and Underlying (Instr. 3 and	Securities	8. Prio Deriv Secur (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 17.8					05/19/2008	05/19/2016	Common Stock	1,400	
Stock Option	\$ 18.29					02/21/2009	02/21/2017	Common Stock	1,400	
Stock Option	\$ 21.54					05/23/2010	05/23/2018	Common Stock	1,400	
Stock Option	\$ 17.09					02/20/2011	02/20/2019	Common Stock	1,400	
Stock Option	\$ 19.2					08/19/2012	08/19/2020	Common Stock	1,600	
Stock Option	\$ 25.1					08/26/2013	08/26/2021	Common Stock	1,600	
Stock Option	\$ 25.18					06/01/2014	06/01/2022	Common Stock	1,600	
Stock Option	\$ 27.22					08/23/2015	08/23/2023	Common Stock	1,600	
Stock Option	\$ 26.09					06/12/2017	06/12/2025	Common Stock	1,600	

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
PINSI FY BARRY						

PINSLEY BARRY 6101 PELICAN BAY BLVD. X NAPLES, FL 34108

Signatures

/s/ Barry Pinsley	11/05/2015			
**Signature of Reporting Person	Date			

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Mr. Pinsley transferred shares to PINSLEY 98 DESCDENDANTS TRUST FBO ALEX ZONERAICH of which he disclaims

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.