Edgar Filing: Holt Peter D - Form 4

Holt Peter D

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL MB 2025-028 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES State of 1934, Section 16. State of 1934, Section 17.(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940) State of 1934, Section 17.(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940) State of 1934, Issuer State of 1934, Section 17.(a) of the Public Utility Holding Company Act of 1940) (Print or Type Response). 10, Section 17.(a) of the Public Utility Holding Company Act of 1940, I(b). State of Reporting Person (S) to Issuer State of Reporting Person (S) to Issuer (Print or Type Response). 10, Section 3, Oate of Earliest Transaction 400 TYPE (Section 2, Odd) (State) 3. Joate of Earliest Transaction (Month/Day/Year) State of Reporting Person, Section 3, Odd/State of Reporting Person, Section 3, Section 12, Section Sectin 12, Sectin 12, Sectin 12, Section 12, Sectin 12, Sectin 12, Se	Form 4 March 07, 20	19										
OMB 2325-028 Washington, D.C. 20549 Number: 3225-028 Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 (It ast) Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person 1 (It ast) (It ast) (Check all applicable) (Check all applicable Line) (Check all applicable Line) (Check all applicable L	ŕ	Л										PPROVAL
if no longer subject to Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 (b). (Print or Type Responses) 1. Name and Address of Reporting Person ⁺ Holt Peter D Symbol JOINT Corp [JYNT] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 240 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) SCOTTSDALE, AZ 85260 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date, if TransactionAcquired (A) or Security (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) (A) (A) (A) (A) (A) (A) (A		UNITE	CD STATES						NGE (COMMISSION		3235-0287
obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person ⁺ 2. Issuer Name and Ticker or Trading Holt Peter D Symbol JOINT Corp [JYNT] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities Scurities Securities (Instr. 3) (Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities Scurities Securities Securities Securities Securities State) (Anoth/Day/Year) (Anoth/Day/Year) (Instr. 3) (Instr. 3) (Instr. 4)	if no long subject to Section 10	F CHAN	F CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated average burden hours per		
1. Name and Address of Reporting Person * Holt Peter D 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 16767 N. PERIMETER DR., STE. 03/05/2019 -X_ Director UMonth/Day/Year) -Monther CEO 240 -X_ Director -10% Owner 240 -X_ Officer (give title Dother (specify below) Other (specify below) 240 -X_ Form filed by One Reporting Person Form filed by More than One Reporting Person SCOTTSDALE, AZ 85260 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2. Transaction Date 2. Deemed Any 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Securities (Instr. 3) Execution Date, if Any 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Disposed of (D) 6. Ownership Following 6. Ownership Following 7. Nature of Securities 6. Indirect (I) Owne	obligation may conti <i>See</i> Instru	nue. Section	17(a) of the	Public Ut	ility He	oldi	ing Com	pany	Act o	f 1935 or Sectio	n	
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Filed(Month/Day/Year) Applicable Line) SCOTTSDALE, AZ 85260 ".X_ Form filed by One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date, if any (Month/Day/Year) 2A. Deemed 3. 4. Securities 5. Amount of Code 6. Ownership 7. Nature of Porm: Direct (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) Owned Form: Direct Indirect (I) Ownership (A) (A) (A) (A) (Instr. 4) (Instr. 4) (A) (A) (Beneficially (D) or Beneficially (D) or	16767 N. PERIMETER DR., STE.			(Month/Day/Year)						_X_ Director _X_ Officer (give below)	e title 10% below)	6 Owner er (specify
SCOTTSDALE, AZ 85260 — Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of (Instr. 3) (Month/Day/Year) Execution Date, if any 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of (Instr. 3) (Month/Day/Year) (Month/Day/Year) Ode Disposed of (D) Beneficially (D) or Beneficial (A) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership		(Street)					e Original			Applicable Line)		
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or	Security		ear) Executi any	on Date, if	Transa Code		nAcquired Disposed	l (A) o l of (D 4 and (A))	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership
$\begin{array}{c} \text{Common} \\ \text{Stock} \stackrel{(1)}{(1)} \end{array} \begin{array}{c} \text{Od} \\ \text{Code V Amount} \\ \text{A} \\ \text{Code V Amount} \\ \text{A} \\ \text{Code V Amount} \\ \text$		03/05/2019				V				`````	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactiorDerivative Code Securities		erivative Expiration Date ecurities (Month/Day/Year) cquired (A) Disposed of D) nstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 12.02	03/05/2019		А	34,718	(2)	03/04/2029	Common Stock	34,718	

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Holt Peter D 16767 N. PERIMETER DR., STE. 240 SCOTTSDALE, AZ 85260	Х		President and CEO				
Signatures							

/s/ Georgann Joseph,	
Attorney-in-fact	03/07/2019
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock. 1,535 shares will vest on each of the first four anniversaries of the grant.
- (2) 8,680 options will become exercisable on the first two anniversaries of the grant and 8,679 options will become exercisable on the third and fourth anniversaries of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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