Khadder Nicholas Form 4 September 22, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Khadder Nicholas

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) FLUIDIGM CORP [FLDM]

(Check all applicable)

C/O FLUIDIGM

CORPORATION, 7000 SHORELINE COURT, SUITE 100 3. Date of Earliest Transaction

(Month/Day/Year) 09/20/2017

Director 10% Owner X_ Officer (give title Other (specify below)

See Remarks

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SOUTH SAN

FRANCISCO, CA 94080

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

(Instr. 4)

Ownership (Instr. 4)

Reported Transaction(s)

Following

(A) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Employee Stock Option (Right to Buy)	\$ 9.05	09/20/2017		D		27,000	<u>(1)</u>	08/09/2026	Common Stock	27,0
Employee Stock Option (Right to Buy)	\$ 5.13	09/20/2017		A	13,500		(3)	09/20/2027	Common Stock	13,:
Employee Stock Option (Right to Buy)	\$ 6.16	09/20/2017		D		79,300	<u>(4)</u>	02/13/2027	Common Stock	79,3
Employee Stock Option (Right to Buy)	\$ 5.13	09/20/2017		A	56,643		(3)	<u>(6)</u>	Common Stock	56,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
Fg	Director	10% Owner	Officer	Other			
Khadder Nicholas							
C/O FLUIDIGM CORPORATION			See Remarks				

C/O FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080

Signatures

/s/ Nicholas 09/22/2017 Khadder

**Signature of Date Reporting Person

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option provided for vesting of 12/48th of the total number of shares subject to the Option on June 20, 2017 and thirty-six equal monthly installments beginning on July 20, 2017.
- On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 27,000 shares of FLDM common stock granted to the Reporting Person on August 9, 2016. In exchange, the Reporting Person received a replacement Option, for 13,500 shares, having an exercise price of \$5.13 per share.
- 1/12th of the total number of shares underlying the Option will vest on February 20, 2018, and 1/12th of the total number of shares

 (3) underlying the Option granted will vest every three months thereafter until fully vested, subject to the Reporting Person's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- (4) The Option provided for vesting in forty-eight equal monthly installments beginning on February 1, 2017.
- On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 79,300 shares of FLDM common stock granted to the Reporting Person on February 13, 2017. In exchange, the Reporting Person received a replacement Option, for 56,643 shares, having an exercise price of \$5.13 per share.
- (6) The Option expiration date is September 20, 2027.

Remarks:

Senior Vice President, General Counsel, and Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.