

Edgar Filing: MEHTA BHARAT M - Form 4

MEHTA BHARAT M
Form 4
June 07, 2002

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5
obligation may continue. See Instruction 1(b).

(Print or Type Response)

=====

1. Name and Address of Reporting Person*

Mehta	Bharat	M.
(Last)	(First)	(Middle)

c/o Genta Incorporated,
Two Connell Drive

(Street)

Berkeley Heights	NJ	07922
(City)	(State)	(Zip)

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2. Issuer Name and Ticker or Trading Symbol

Genta Incorporated (Nasdaq: GNTA)

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3. I.R.S. Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

May 2002

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5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

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☐ Director	☐ 10% Owner
☐ Officer (give title below)	☐ Other (specify below)

VP Manufacturing Operations

7. Individual or Joint/Group Filing (Check Applicable Line)

X	Form filed by One Reporting Person
_	Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

				4.	5.
				Securities Acquired (A) or	Amount
				Disposed of (D)	Secured
	2.	3.		(Instr. 3, 4 and 5)	Benefit
	Transaction	Code		-----	Owned
1.	Date	(Instr. 8)		(A)	of
Title of Security	-----	-----		Amount	(In
(Instr. 3)	(Month/Day/Year)	Code V		(D)	and

Common Stock, par value \$.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the Form is filed by more than one Reporting Person, see Instruction 5(b) (v).

Potential persons who are to respond to the collection of information contained in this form are not Required to respond unless the form displays a currently valid OMB control number.

SEC1474 (3-99)

FORM 4 (continued)

Table II -- Derivative Securities Beneficially Owned

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(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Exer- cisable Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount of Number of Shares
Options to acquire Common Stock (1)	\$10.00	5/28/02	A		5/28/03 5/28/12	Common Stock, par value \$.001 60,000

Explanation of Responses

(1) These options were granted upon employment with Genta Incorporated. These options vest equally over the next four (4) years.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)

/s/ Bharat M. Mehta

June 7, 2002

** Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure

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