### Edgar Filing: Bright Lisa - Form 4

| Bright Lisa  |                    |               |            |  |                                |                    |                |  |   |                        |  |  |
|--|--------------------|---------------|------------|--|--------------------------------|--------------------|----------------|--|---|------------------------|--|--|
| Form 4   |                    |               |            |  |                                |                    |                |  |   |                        |  |  |
| October 04, 2  | 2017               |               |            |  |                                |                    |                |  |   |                        |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION  |                    |               |            |  |                                |                    |                |  | OMB APPROVAL                            |                        |  |  |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549                                 |                    |               |            |  |                                |                    |                | OMB<br>Number:   | 3235-0287                               |                        |  |  |
| Check thi  | is box             |               | vv a       | sinngton,                              | <b>D.C.</b> 20                 | 547                |                |  | Expires:                                | January 31,            |  |  |
| if no long   |                    | MENT O        | F CHAN     | IGES IN                                | SES IN BENEFICIAL OWNERSHIP OF |                    |                |  |   | 2005                   |  |  |
| subject to<br>Section 1  | )                  |               |            |  | SECURITIES                     |                    |                |  | Estimated average<br>burden hours per   |                        |  |  |
| Form 4 o   |                    |               |            |  |                                |                    |                |  |   | response 0.5           |  |  |
| Form 5   | Filed pu           | rsuant to S   | Section 1  | 6(a) of the                            | e Securi                       | ties E             | Exchange       | e Act of 1934,   | •                                       |                        |  |  |
| obligation<br>may cont   |                    | (a) of the l  | Public U   | tility Holo                            | ling Cor                       | npan               | y Act of       | 1935 or Section  | l                                       |                        |  |  |
| See Instru   |                    | 30(h)         | of the In  | vestment                               | Compar                         | ny Ac              | ct of 194      | 0  |   |                        |  |  |
| 1(b).  |                    |               |            |  |                                |                    |                |  |   |                        |  |  |
| (Print or Type F   | Desponses)         |               |            |  |                                |                    |                |  |   |                        |  |  |
| (I fint of Type I  | (csponses)         |               |            |  |                                |                    |                |  |   |                        |  |  |
| 1. Name and Address of Reporting Person <sup>*</sup> _ 2. Issuer Name <b>and</b> Ticker or Trading 5. Rela |                    |               |            |  |                                | 5. Relationship of | Reporting Pers | on(s) to   |   |                        |  |  |
| Bright Lisa Symbol INTERC  |                    |               |            |  |                                | 11001              |                | Issuer   |   |                        |  |  |
|  |                    |               |            | CEPT                                   |                                |                    |                | (Chaok all appliaghta)                                     |   |                        |  |  |
|  |                    |               |            | ACEUTICALS INC [ICPT]                  |                                |                    |                | (Check all applicable)                                     |   |                        |  |  |
| (Last)   | (First)            | (Middle)      | 3. Date of | f Earliest Tr                          | ansaction                      |                    |                | Director   |   | Owner                  |  |  |
|  |                    |               |            | Day/Year)                              |                                |                    |                | _X_ Officer (give title Other (specify below)              |   |                        |  |  |
| C/O INTER  |                    | G 10          | 10/02/2    | 2017                                   |                                |                    |                | President International                                    |   |                        |  |  |
|  | EUTICALS, IN       |               |            |  |                                |                    |                |  |   |                        |  |  |
| HUDSON 1   | ARDS, FLOOF        | <b>K</b> 37   |            |  |                                |                    |                |  |   |                        |  |  |
|  | (Street)           |               |            | ndment, Date Original                  |                                |                    |                | 6. Individual or Joint/Group Filing(Check                  |   |                        |  |  |
| Filed(Mor  |                    |               |            | nth/Day/Year)                          |                                |                    |                | Applicable Line)<br>_X_ Form filed by One Reporting Person |   |                        |  |  |
| NEW YOR  | K, NY 10001        |               |            |  |                                |                    |                | Form filed by M<br>Person                                  |   |                        |  |  |
| (City)   | (State)            | (Zip)         | Tabl       | le I - Non-D                           | <b>)</b> erivative             | Secui              | rities Acqu    | uired, Disposed of,  | or Beneficiall                          | y Owned                |  |  |
| 1.Title of   | 2. Transaction Dat |               |            | 3.                                     | 4. Securi                      |                    |                | 5. Amount of   | 6.                                      | 7. Nature of           |  |  |
| Security<br>(Instr. 3)   | (Month/Day/Year)   | any Execution | n Date, if | Transactio<br>Code                     |                                |                    |                | Securities<br>Beneficially                                 | Ownership<br>Form: Direct               | Indirect<br>Beneficial |  |  |
| (1130.3)   |                    | (Month/D      | Day/Year)  | Code (Instr. 3, 4 and 5)<br>(Instr. 8) |                                |                    |                | Owned  | Form: Direct Benefici<br>(D) or Ownersh | Ownership              |  |  |
|  |                    |               |            |  |                                |                    |                | Following  | Indirect (I)                            | (Instr. 4)             |  |  |
|  |                    |               |            |  |                                | (A)                |                | Reported<br>Transaction(s)                                 | (Instr. 4)                              |                        |  |  |
|  |                    |               |            | Code V                                 | Amount                         | or                 | Drice          | (Instr. 3 and 4)   |   |                        |  |  |
| Common   |                    |               |            | Code V                                 |                                | (D)                | Price<br>\$    |  |   |                        |  |  |
| Stock  | 10/02/2017         |               |            | S <u>(1)</u>                           | 390                            | D                  | φ<br>58.922    | 22,834   | D                                       |                        |  |  |
|  |                    |               |            |  |                                |                    |                |  |   |                        |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                               | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

### **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                            |       |  |  |
|---|---------------|-----------|----------------------------|-------|--|--|
| I G G G G G G G G G G G G G G G G G G G   | Director      | 10% Owner | Officer                    | Other |  |  |
| Bright Lisa<br>C/O INTERCEPT PHARMACEUTICALS, INC.<br>10 HUDSON YARDS, FLOOR 37<br>NEW YORK, NY 10001 |               |           | President<br>International |       |  |  |
| Signatures  |               |           |                            |       |  |  |

# /s/ Bryan Yoon, as attorney-in-fact

10/04/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each employee of the Issuer who has received restricted stock awards has agreed to a mandatory sale of a sufficient number of shares of(1) common stock to cover his or her withholding tax amounts upon the vesting of such restricted stock awards. The sales denoted here were made pursuant to such agreement to cover withholding tax obligations of the employee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.