Harvard Apparatus Regenerative Technology, Inc. Form 8-K May 08, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 8, 2014

## HARVARD APPARATUS REGENERATIVE TECHNOLOGY, INC.

(Exact name of registrant as specified in its charter)

Delaware001-3585345-5210462(State or other jurisdiction<br/>of incorporation)(Commission File Number) (IRS Employer Identification No.)

## 84 October Hill Road, Holliston, MA 01746

(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (774) 233-7300

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

oWritten communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

oPre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

oPre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 2.02. Results of Operations and Financial Condition.

On May 8, 2014, Harvard Apparatus Regenerative Technology, Inc. issued a press release announcing financial results for the three months ended March 31, 2014 and the details of a related conference call to be held at 11:00 AM EST on May 8, 2014. The press release is furnished as Exhibit 99.1 and incorporated herein by reference.

The information in Item 2.02 of this Current Report on Form 8-K and Exhibit 99.1 attached hereto shall not be deemed "filed" for purposes of Section 18 of the United States Securities Exchange Act of 1934 (the "Exchange Act") or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the United States Securities Act of 1933 or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit Number <u>Title</u>

99.1 Press release of Harvard Apparatus Regenerative Technology, Inc., issued on May 8, 2014.

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# Harvard Apparatus Regenerative Technology, INC.

(Registrant)

# May 8, 2014 /s/ Thomas McNaughton

(Date) Thomas McNaughton Chief Financial Officer

# **INDEX TO EXHIBITS**

# Exhibit Number Description of Exhibit

99.1 Press Release issued by Harvard Apparatus Regenerative Technology, Inc. on May 8, 2014.