

Edgar Filing: CARTY DOUG - Form 3

CARTY DOUG
Form 3
August 30, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

| | | |
|---|---------|----------|
| Carty | Doug | |
| (Last) | (First) | (Middle) |
| Atlas Air Worldwide Holdings, Inc. 538 Commons Drive | | |
| Golden | CO | 80401 |
| (City) | (State) | (Zip) |
| 08/07/01 | | |

2. Date of Event Requiring Statement (Month/Day/Year)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

Atlas Air Worldwide Holdings, Inc. ---CGO

4. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|---|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) Chief Financial Officer | <input type="checkbox"/> Other (specify below) |

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check applicable line)

| |
|--|
| <input checked="" type="checkbox"/> Form Filed by One Reporting Person |
| <input type="checkbox"/> Form Filed by More than One Reporting Person |

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Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr.) |
|------------------------------------|---|---|-----------------------|
| Common Stock | N/A | | |

* If the Form is filed by more than one Reporting Person, see Instruction 5(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print of Type Responses)

(Over)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of Securities
Underlying Derivative Security

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | (Instr. 4) | Amount or Number of Shares | 4. Conversion or Exercise Price of Derivative Security |
|--|--|-----------------|--------------|----------------------------|--|
| | Date Exercisable | Expiration Date | Title | | |
| Common Stock Option | 8/7/06 | 8/6/11 | Common Stock | 75,000 | \$14.63 |
| Common Stock Option | 8/2/06 | 8/2/11 | Common Stock | 15,000 | \$14.85 |
| Common Stock Option | 8/2/07 | 8/2/11 | Common Stock | 15,000 | \$14.63 |

Explanation of Responses:

/s/Doug Carty

8/15/01

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print of Type Responses)