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LIQUIDMETAL TECHNOLOGIES INC

Form 3

March 17, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

OMB APPROVAL

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Lugee Li Yeung Tak

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/10/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LIQUIDMETAL TECHNOLOGIES INC [LOMT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

ROOM 906, TAI TUNG BUILDING, Â 8 FLEMING ROAD

(Street)

__X__ Director _X_ 10% Owner Officer Other

(give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

WANCHAI, K3Â 999077

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock, \$0.001 par value per share 105,000,000 I See Footnote (1)

Common Stock, \$0.001 par value per share D Â 1,360,150

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Form of

6. Nature of Indirect Ownership Beneficial Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Common Stock Purchase Warrant	03/10/2016	03/10/2026	Common Stock Par Value \$0.001	10,066,809 (2)	\$ 0.07	I	See Footnote (3)

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
Lugee Li Yeung Tak						
ROOM 906, TAI TUNG BUILDING	â v	ÂΧ	â	â		
8 FLEMING ROAD	АЛ	АА	А	A		
WANCHAI Â K3Â 999077						

Signatures

Stephen M. Fleming, as Attorney-in-Fact for Yeung Tak Lugee Li

03/17/2016

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Liquidmetal Technology Limited, a Hong Kong corporation, holds such shares of common stock. Mr. Li is the sole shareholder, executive officers and director of Liquidmetal Technology Limited.
- 2,609,913 of the underlying shares of common stock vested as of March 10, 2016. The remaining shares will vest upon the completion of(2) certain additional private financings by Liquidmetal Technology Limited, or a permitted assignee thereof, anticipated to occur within the next 120 days.
- (3) Liquidmetal Technology Limited holds such common stock purchase warrant. Mr. Li is the sole shareholder, executive officer and director of Liquidmetal Technology Limited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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