

ALKALINE WATER Co INC  
Form 4  
February 02, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WRIGHT RICHARD A

2. Issuer Name and Ticker or Trading Symbol  
ALKALINE WATER Co INC  
[ALKW]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
VP Secretary Treasurer

(Last) (First) (Middle)  
7730 E. GREENWAY ROAD,  
SUITE 203  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/29/2016

SCOTTSDALE, AZ 85260

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)         | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|   |                                      |  |                                | (A) or (D)  | Amount  |  |                                   |
|   |                                      |  | Code                           | V   | Price   |  |                                   |
| Series A Preferred Stock <sup>(1)</sup> |                                      |  |                                |   | 10,000,000  | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount of Underlying Security |                  |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                         | Number of Shares |
| Stock Options                              | \$ 7.5 <sup>(4)</sup>                                  |                                      |  |                                |   | 10/09/2013 <sup>(2)</sup>                                | 10/09/2023  | Common                        | 60,000           |
| Stock Options                              | \$ 8.25 <sup>(4)</sup>                                 |                                      |  |                                |   | 05/12/2014   | 05/12/2019  | Common                        | 12,000           |
| Stock Options                              | \$ 7.275 <sup>(4)</sup>                                |                                      |  |                                |   | 05/12/2014 <sup>(3)</sup>                                | 05/12/2024  | Common                        | 60,000           |
| Stock Options                              | \$ 5.75 <sup>(4)</sup>                                 |                                      |  |                                |   | 02/18/2015   | 02/18/2020  | Common                        | 16,000           |
| Stock Options                              | \$ 0.52  | 01/29/2016                           |  | A                              | 1,500,000   | 01/29/2016   | 10/07/2023  | Common                        | 1,500,000        |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                        |       |
|--|---------------|-----------|------------------------|-------|
|  | Director      | 10% Owner | Officer                | Other |
| WRIGHT RICHARD A<br>7730 E. GREENWAY ROAD, SUITE 203<br>SCOTTSDALE, AZ 85260 | X             |           | VP Secretary Treasurer |       |

## Signatures

/s/ Richard A. Wright  
Date: 02/02/2016

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series A Preferred Stock has 10 votes per share and is not convertible into shares of common stock.
- (2) The stock options vest as follows: (i) 20,000 on October 9, 2013; and (ii) 10,000 per quarter until fully vested.
- (3) The stock options vest as follows: (i) 30,000 on May 21, 2014 and (ii) 30,000 on November 21, 2014.
- (4) Reflects a 50-1 reverse split effective as of December 29, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.