Edgar Filing: FAUQUIER BANKSHARES, INC. - Form 4

| FAUQUIER Form 4 February 21, FORM Check thi if no long subject to Section 14 Form 4 ou Form 5 obligation may conti <i>See</i> Instru 1(b). | 4 UNITED S s box er 5 STATEM 6. Filed purs Section 17(a | STATES ENT OI suant to S | Was F CHAN Section 16 Public Ut | hington, GES IN I SECUR | D.C. 205 BENEFI ITIES e Securiti ling Com | 549 CIA .es E. .pany | L OW | COMMISSION NERSHIP OF ge Act of 1934, of 1935 or Section 40 | N OMB Number: Expires: Estimated burden hou response | urs per | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------|--|
| (Print or Type R | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> GRAAP ERIC PETER | | | 2. Issuer Name and Ticker or Trading Symbol FAUQUIER BANKSHARES, INC. [FBSS] | | | | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 10 COURTHOUSE SQ | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/20/2014 | | | | | X Director 10% Owner X Officer (give title Other (specify below) below) EVP & CFO | | | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | | Zip) | | | | | | Person | | | |
| | | - | | | | | ties Ac | quired, Disposed o | | - | |
| 1.Title of Security (Instr. 3) | | ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | | | | SecuritiesFBeneficially(IOwnedII | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 02/20/2014 | | | Code V A | Amount 1,529 | (D) A | Price \$ 0 | 26,671.232 | D | | |
| Common Stock | | | | | | | | 7,632 | I | By Spouse | |
| Common Stock | | | | | | | | 32 | I | By Daughter | |
| Common Stock | | | | | | | | 10 | Ι | By Daughter | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Performance Stock Units | <u>(2)</u> | 02/20/2014 | | А | 1,529 | 12/31/2016 | (2) | Common Stock | 1,529 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|-------------------------------------------------------------|---------------|-----------|-----------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| GRAAP ERIC PETER 10 COURTHOUSE SQ WARRENTON, VA 20186 | Х | | EVP & CFO | | | | |
| Signatures | | | | | | | |

| Valerie L. Barlowe, as Attorney in Fact for Eric P. | 02/21/2014 | |
|-----------------------------------------------------|------------|--|
| Graap <u>**</u> Signature of Reporting Person | Date | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award; shares vest on third anniversary of grant date.
- (2) Performance stock units vest on December 31, 2016 in accordance with the Performance Award Agreement. Each performance stock unit is the equivalent of one share of FBSS common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.