

BioRestorative Therapies, Inc.

Form 4

October 08, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0287Expires: January 31,
2005Estimated average
burden hours per
response... 0.5Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Silva Francisco

(Last) (First) (Middle)

555 HERITAGE DRIVE

(Street)

JUPITER, FL 33458

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
BioRestorative Therapies, Inc.
[BRTX]3. Date of Earliest Transaction
(Month/Day/Year)
10/04/20134. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
VP of Research and Development6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**SEC 1474
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
---------------------------------------	------------------------------	---	---	------------------------	--	--	---

Edgar Filing: BioRestorative Therapies, Inc. - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option	\$ 0.5								(1)	04/04/2021	Common Stock	80,000
Stock Option	\$ 1.25								06/24/2011	06/23/2021	Common Stock	3,000
Stock Option	\$ 1								11/16/2011	11/15/2021	Common Stock	20,000
Stock Option	\$ 1.05								(2)	02/09/2022	Common Stock	40,000
Stock Option	\$ 1.4								(3)	05/02/2022	Common Stock	150,000
Stock Option	\$ 1.5								(4)	12/07/2022	Common Stock	80,000
Stock Option	\$ 0.6	10/04/2013	A	100,000					(5)	10/04/2023	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Silva Francisco 555 HERITAGE DRIVE JUPITER, FL 33458			VP of Research and Development	

Signatures

Francisco Silva 10/08/2013

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable to the extent of 40,000 shares on each of April 5, 2011 and April 5, 2012.
- (2) The option is exercisable to the extent of 20,000 shares on each of February 10, 2012 and February 10, 2013.
- The option is exercisable to the extent of 10,000 shares on each of May 3, 2013, 2014 and 2015, is exercisable to the extent of 20,000
- (3) shares based on the satisfaction of certain conditions and is exercisable to the extent of 100,000 shares subject to the satisfaction of certain conditions.
- (4) The option is exercisable to the extent of 40,000 shares on each of December 7, 2012 and December 7, 2013.
- (5) The option is exercisable to the extent of 50,000 shares on each of October 4, 2013 and October 4, 2014.

Edgar Filing: BioRestorative Therapies, Inc. - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.