Edgar Filing: Hott Jeffrey E. - Form 4/A

T CC

Form 4/A											
September 06	_									PPROVAL	
FORM	4 UNITED	STATES		ITIES A hington,			NGE (COMMISSION	-	3235-0287	
Check thi if no long	er								Expires:	January 31,	
subject to Section 10 Form 4 or Form 5	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Expired: 2005 Estimated average burden hours per response 0.5		
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a	a) of the H	Public Ut		ing Con	ipany	Act of	f 1935 or Sectio	'n		
(Print or Type R	Responses)										
Hott Jeffrey E. S			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
			SUMMIT FINANCIAL GROUP INC [SMMF]					(Check all applicable)			
			of Earliest Transaction /Day/Year) /2012				X Director 10% Owner Officer (give title Other (specify below) below)				
			mendment, Date Original /onth/Day/Year) /2012				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
FRANKLIN	I, WV 26807							Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ities Acc	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	08/31/2012			Code V S	Amount 48	(D) D	Price \$ 4.15	52,834	I	By Mother	
Common Stock								15,750	D		
Common Stock								1,960	Ι	By Spouse	
Common Stock								127,960	I	By EE Hott, Inc.	
Common Stock								21,200	Ι	By Franklin Oil	

Edgar Filing: Hott Jeffrey E. - Form 4/A

			g					
Common Stock					400	I	As Cus for Son	
Reminder: Report on a	a separate line	for each class of secu	urities beneficially ow	ned directly	y or indirect	tly.		
				Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.				
	Tabl	le II - Derivative Secu (e.g., puts, calls)	urities Acquired, Dis s, warrants, options,					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Date (Month/Day/Year e	ele and Expiration	7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I
8% Non-Cumulative Convertible Preferred Stock, Series 2009	\$ 5.5					03/01/2010 <u>(1)</u>	06/01/2019 <u>(1)</u>	Common Stock
8% Non-Cumulative Convertible Preferred Stock, Series 2009	\$ 5.5					03/01/2010 <u>(1)</u>	06/01/2019 <u>(1)</u>	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner Officer		Other				
Hott Jeffrey E. 3293 PETERSBURG PIKE FRANKLIN, WV 26807	Х							
Signatures								
Teresa D. Ely, Lmtd POA, Attorney-in-Fact		09/0	6/2012					
**Signature of Reporting Person			Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 2009 Series Preferred Stock may be converted at the holder's option on any dividend payment date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.