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KATY INDUSTRIES INC

Form 3

September 22, 2005

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

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response...

January 31, 2005

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Gail Robert A

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/08/2005

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

KATY INDUSTRIES INC [KT]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

C/O KATY INDUSTRIES, INC., 765 STRAITS TURNPIKE

(Street)

10% Owner Director

(Check all applicable)

_X__ Officer Other (give title below) (specify below) VP - Sales and Marketing

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

MIDDLEBURY, CTÂ 06762

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of

4.

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of

Derivative Security: Security Direct (D)

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| | | | | Shares | | or Indirect (I) (Instr. 5) | |
|------------------------------|------------|------------|---------------------------------|--------|---------|----------------------------|---|
| Stock Appreciation Rights | 11/22/2002 | 11/22/2012 | Stock Appreciation Rights | 20,000 | \$ 3.15 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|-----------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Gail Robert A C/O KATY INDUSTRIES, INC. 765 STRAITS TURNPIKE MIDDLEBURY, CT 06762 | Â | Â | VP - Sales and Marketing | Â | | |

Signatures

/s/ Michael C.
Paul

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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