HOPE BANCORP INC Form 8-K October 17, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 16, 2018

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(Exact name of registrant as specified in its charter)

Delaware 000-50245 95-4849715

(State or other jurisdiction (Commission File Number) (IRS Employer Identification No.)

of incorporation)

3200 Wilshire Blvd, Suite 1400, Los Angeles, CA 90010 (Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (213) 639-1700.

(Former name or former address, if changed since last report.)

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the registrant under any of the follo	wing provisions (see General Instruc	ction A.2. below)	•			
[] Written communications pursuan	nt to Rule 425 under the Securities A	act (17 CFR 230.4	125)			
[] Soliciting material pursuant to R	ule 14a-12 under the Exchange Act	(17 CFR 240.14a	-12)			
[] Pre-commencement communication	ions pursuant to Rule 14d-2(b) unde	er the Exchange A	ct (17 C	FR 240	.14d-2(b	)))
[ ] Pre-commencement communication	ions pursuant to Rule 13e-4(c) unde	r the Exchange A	ct (17 C	FR 240	.13e-4(c	))

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company []

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. []

Item 2.02 Results of Operations and Financial Condition.

On October 16, 2018, Hope Bancorp, Inc. ("HOPE" or the "Company") issued a news release concerning its results of operations and financial condition for the three- and nine-month periods ended and as of September 30, 2018. A copy of the October 16, 2018 press release is attached hereto as Exhibit 99.1.

Item 8.01 Other Events.

On October 16, 2018, Hope Bancorp, Inc. (the "Company") issued a news release announcing that its Board of Directors declared a quarterly cash dividend of \$0.14 per common share. The cash dividend is payable on or about November 9, 2018 to all stockholders of record as of the close of business on October 26, 2018. A copy of the October 16, 2018 press release is attached hereto as Exhibit 99.2.

Item 7.01. Regulation FD Disclosure

The Company previously announced that it will host an investor conference call on Wednesday, October 17, 2018 at 9:30 a.m. Pacific Time / 12:30 p.m. Eastern Time to review financial results for its three-and nine-month periods ended September 30, 2018. A presentation to accompany the conference call, which contains certain historical and forward-looking information relating to the Company (the "Presentation Materials"), has been made available at the Investor Relations section of Hope Bancorp's website at www.ir-hopebancorp.com. A copy of the Presentation Materials is attached hereto as Exhibit 99.3.

The information included in this report pursuant to Item 2.02, Item 8.01 and Item 7.01 of Form 8-K (including Exhibit 99.1, Exhibit 99.2 and Exhibit 99.3) shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed to be incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits

Exhibit No. Description of Exhibit

<sup>99.1</sup> News release, dated October 16, 2018, concerning the results of operations and financial condition for the third quarter ended and as of September 30, 2018.

<sup>99.2</sup> News release, dated October 16, 2018 announcing the declaration of a quarterly cash dividend.

<sup>99.3</sup> Presentation Materials, dated October 17, 2018.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Hope Bancorp, Inc.

Date: October 17, 2018 /s/ Kevin S. Kim

Name: Kevin S. Kim

Title: President and Chief Executive Officer

### **EXHIBIT INDEX**

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