#### RANKIN ALFRED M ET AL

Form 4 April 26, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 04/25/2019

5875 LANDERBROOK DRIVE

(First)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) below)

Chairman

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative Secu	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Ador(A) or Dispose (Instr. 3, 4 and (A) or Amount (D)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/25/2019		P	175 <u>(1)</u> A	\$ 64.99	96,077	I	spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin (2)
Class A Common Stock	04/25/2019		P	249 (1) A	\$ 64.99	63,663	I	Reporting person serves as Trustee of a Trust for the benefit of Bruce T.

								Rankin (2)
Class A Common Stock	04/25/2019	P	13 (1)	A	\$ 64.99	16,397	I	Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	04/25/2019	P	14 (1)	A	\$ 64.99	41,948	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	04/25/2019	Р	14 (1)	A	\$ 64.99	41,948	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	04/25/2019	P	14 (1)	A	\$ 64.99	41,962	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	04/25/2019	P	14 (1)	A	\$ 64.99	41,962	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	04/25/2019	P	1 (1)	A	\$ 64.99	612	I	Spouse's proportionate interest in shares held by RA VI
Class A Common Stock	04/25/2019	P	1 (1)	A	\$ 64.99	613	I	Brother's Proportionate interest in share held by Rankin

								Associates VI
Class A Common Stock	04/26/2019	P	235 (1)	A	\$ 64.99	96,312	I	spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin (2)
Class A Common Stock	04/26/2019	P	331 (1)	A	\$ 64.99	63,994	I	Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin (2)
Class A Common Stock	04/26/2019	P	18 (1)	A	\$ 64.99	16,415	I	Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	04/26/2019	P	1 (1)	A	\$ 64.99	658	I	Represents the proportionate interest in shares held by RA5
Class A Common Stock						21,920	I	Represents the proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person.
Class A Common Stock						152,301	I	Reporting Person serves as Trustee of a Trust for the benefit of the

			Alfred M. Rankin, Jr.
Class A Common Stock	10,271	I	proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR
Class A Common Stock	541	I	Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP
Class A Common Stock	19	I	proportionate general partnership interest shares held by RAIV
Class A Common Stock	1,087	I	Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	282	I	Represents the proportionate interest in shares held by RAVI
Class A Common Stock	1,975	I	proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
	78	I	

Class A Common Stock			Proportionate Interest in shares held by RA5 held by Rankin Management Inc.
Class A Common Stock	96	I	Proportionate Interest in shares held by RA6 held by Rankin Management Inc.
Class A Common Stock	18,625	I	Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. (2)
Class A Common Stock	42,666	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin
Class A Common Stock	25,099	I	proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin
Class A Common Stock	46,182	I	Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV (2)

Class A Common Stock	16,065	I	Serves as trustee of trust for the benefit of Clara Rankin (3)
Class A Common Stock	9,600	I	Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	25,524	
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	14,160	

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 17,556 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 18,373 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 541 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 31 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 1,721 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A 1,975 Common Stock

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 18,625 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 76,330 Stock
Class B Common Stock	(4)	<u>(4)</u>	<u>(4)</u>	Class A Common 25,099 Stock
Class B Common Stock	( <u>4</u> )	<u>(4)</u>	<u>(4)</u>	Class A Common 73,166 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 747 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A 9,600 Common Stock

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 6,889 Stock	
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 6,889 Stock	
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 21,006 Stock	)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
RANKIN ALFRED M ET AL 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124	X		Chairman			

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/26/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) Reporting person serves as Trust for Clara L.T. Rankin Trust
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 9

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