#### RANKIN ALFRED M ET AL

Form 4 April 11, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN ALFRED M ET AL

(First)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable) \_X\_\_ Director 10% Owner

(Month/Day/Year) 5875 LANDERBROOK DRIVE

(Middle)

04/10/2019

X\_ Officer (give title Other (specify below) below) Chairman

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

3. Date of Earliest Transaction

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities A				quired, Dispose	icially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/10/2019		P	294 (1)	A	\$ 64.93	95,832	I	spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin (2)
Class A Common Stock	04/10/2019		P	420 (1)	A	\$ 64.93	63,314	I	Reporting person serves as Trustee of a Trust for the benefit of Bruce T.

								Rankin (2)
Class A Common Stock	04/10/2019	P	22 (1)	A	\$ 64.93	16,378	I	Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	04/10/2019	P	1 (1)	A	\$ 64.93 (3)	656	I	Represents the proportionate interest in shares held by RA5
Class A Common Stock	04/10/2019	P	23 (1)	A	\$ 64.93	41,901	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	04/10/2019	P	23 (1)	A	\$ 64.93	41,901	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	04/10/2019	P	23 (1)	A	\$ 64.93	41,924	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	04/10/2019	P	23 (1)	A	\$ 64.93	41,924	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	04/10/2019	P	1 (1)	A	\$ 64.93 (3)	611	I	Spouse's proportionate interest in shares held by RA VI

Class A Common Stock	04/10/2019	P	1 (1)	A	\$ 64.93 (3)	612	I	Brother's Proportionate interest in share held by Rankin Associates VI
Class A Common Stock						21,920	I	Represents the proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person.
Class A Common Stock						152,301	I	Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
Class A Common Stock						10,271	I	proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR
Class A Common Stock						541	I	Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP
Class A						19	I	proportionate

Common Stock			general partnership interest shares held by RAIV
Class A Common Stock	1,087	I	Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	282	I	Represents the proportionate interest in shares held by RAVI
Class A Common Stock	1,975	I	proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
Class A Common Stock	78	Ĭ	Proportionate Interest in shares held by RA5 held by Rankin Management Inc.
Class A Common Stock	96	Ĭ	Proportionate Interest in shares held by RA6 held by Rankin Management Inc.
Class A Common Stock	18,625	I	Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. (2)

Class A Common Stock	42,666	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin
Class A Common Stock	25,099	I	proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin
Class A Common Stock	46,182	I	Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV (2)
Class A Common Stock	16,065	I	Serves as trustee of trust for the benefit of Clara Rankin (4)
Class A Common Stock	9,600	I	Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	Date Exercisable and xpiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	25,524	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	14,160	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	17,556	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	18,373	
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	541	

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 31 Stock
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common 1,721 Stock
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 1,975 Stock
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 18,625 Stock
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common 76,330 Stock
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A 25,099 Common Stock

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 73,166 Stock
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common 747 Stock
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common 9,600 Stock
Class B Common Stock	( <u>5</u> )	<u>(5)</u>	<u>(5)</u>	Class A Common 6,889 Stock
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 6,889 Stock
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A 21,006 Common Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN ALFRED M ET AL 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Chairman

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/11/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2019-Apr-10-Weighted Average Share Price represents average price between \$64.85 and \$64.99.

X

- (4) Reporting person serves as Trust for Clara L.T. Rankin Trust
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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