

Rutherford Denise R  
Form 3  
April 10, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Rutherford Denise R                       |         | (Month/Day/Year)                     | 3M CO [MMM]  |  |
| (Last)                                    | (First) | (Middle)                             | 04/01/2019   |  |
| 3M CENTER                                 |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| MAPLEWOOD, MN 55144                       |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | Senior Vice President  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 9,980,2971 <sup>(1)</sup>                             | D  |   |
| Common Stock                    | 2,394 <sup>(2)</sup>                                  | I  | By 401k/paesop Trust                                  |
| Common Stock                    | 122   | I  | By Spouse   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|--|--|--|---------------------------|----------------------|--|
|--|--|--|---------------------------|----------------------|--|

Edgar Filing: Rutherford Denise R - Form 3

|   | Date Exercisable | Expiration Date | (Instr. 4)<br>Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I)<br>(Instr. 5) | (Instr. 5) |
|---|------------------|-----------------|---------------------|----------------------------|------------------------------|---|------------|
| Non-qualified Stock Option (Right to Buy) | 02/04/2015       | 02/02/2024      | Common Stock        | 9,592                      | \$ 126.72                    | D   | Â          |
| Non-qualified Stock Option (Right to Buy) | 02/03/2016       | 02/03/2025      | Common Stock        | 9,571                      | \$ 165.94                    | D   | Â          |
| Non-qualified Stock Option (Right to Buy) | 02/02/2017       | 02/02/2026      | Common Stock        | 10,228                     | \$ 147.87                    | D   | Â          |
| Non-qualified Stock Option (Right to Buy) | 02/07/2018       | 02/06/2027      | Common Stock        | 10,033                     | \$ 175.76                    | D   | Â          |
| Non-qualified Stock Option (Right to Buy) | 02/06/2019       | 02/04/2028      | Common Stock        | 7,087                      | \$ 233.63                    | D   | Â          |
| Non-qualified Stock Option (Right to Buy) | 02/05/2020       | 02/04/2029      | Common Stock        | 7,207                      | \$ 201.12                    | D   | Â          |
| Non-qualified Stock Option (Right to Buy) | 02/04/2015       | 02/04/2024      | Common Stock        | 605                        | \$ 126.72                    | I   | By Spouse  |
| Non-qualified Stock Option (Right to Buy) | 02/03/2016       | 02/03/2025      | Common Stock        | 1,135                      | \$ 165.94                    | I   | By Spouse  |
| Non-qualified Stock Option (Right to Buy) | 02/02/2017       | 02/02/2026      | Common Stock        | 501                        | \$ 147.87                    | I   | By Spouse  |
| Non-qualified Stock Option (Right to Buy) | 02/06/2018       | 02/06/2027      | Common Stock        | 1,185                      | \$ 175.76                    | I   | By Spouse  |
| Non-qualified Stock Option (Right to Buy) | 02/06/2019       | 02/04/2028      | Common Stock        | 447                        | \$ 233.63                    | I   | By Spouse  |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Rutherford Denise R<br>3M CENTER<br>MAPLEWOOD, MN 55144 | Â             | Â         | Â Senior Vice President | Â     |

## Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Ms.  
Rutherford

04/10/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.