SMITH RANKIN M JR

Form 4

March 08, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

OMB APPROVAL

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

SMITH RANKIN M JR				Symbol FIDELITY SOUTHERN CORP [LION]						Issuer (Check all applicable)					
	(Last)	(First)	(Mid	ldle)	3. Date of E		Гrar	nsaction			_X_ Director Officer (give tit		Owner r (specify		
3490 PIEDMONT ROAD, SUITE 1550				(Month/Day/Year) 03/07/2019						below) below)					
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
AT	LANTA,	GA 30305									_A_ Form filed by Mor Form filed by Mor Person				
	(City)	(State)	(Zi	ip)	Table 1	I - Non-	De	rivative Se	curiti	es Acqu	cquired, Disposed of, or Beneficially Owned				
1.Tit Secu (Inst	•	2. Transaction (Month/Day/Y		Execut any	eemed ion Date, if n/Day/Year)	Code (Instr.	8)	4. Securition(A) or Dis (Instr. 3, 4)	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Sou Cor - Co Stoo		03/07/2019				Р		10,000	A	\$ 30.5	273,540.2078	D			
Sou Cor	elity othern oporation ommon ck										334	I	By Spouse		
											6,305	I	By Trust		

Edgar Filing: SMITH RANKIN M JR - Form 4

Fidelity Southern Corporation - Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. TransactionNumber Code of (Instr. 8) Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (Right to Buy)	\$ 17.87					01/21/2017(1)	01/21/2021	Fidelity Southern Corporation - Common Stock	6,666			
Stock Option (Right to Buy)	\$ 22.91					01/19/2018(2)	01/19/2022	Fidelity Southern Corporation - Common Stock	10,000			
Stock Option (Right to Buy)	\$ 23.75					01/18/2019(3)	01/18/2023	Fidelity Southern Corporation - Common Stock	10,000			
Stock Option (Right to Buy)	\$ 16.82 (4)					03/19/2016	03/19/2020	Fidelity Southern Corporation - Common Stock	3,333			

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

SMITH RANKIN M JR

3490 PIEDMONT ROAD
SUITE 1550

ATLANTA, GA 30305

Signatures

Elna Kolarich, Attorney in Fact for Rankin M.
Smith, Jr.
03/08/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019
- (2) Exercisable 1/3 on 1/19/2018, 1/3 on 1/19/2019, 1/3 on 1/19/2020
- (3) Exercisable 1/3 on 1/18/2019, 1/3 on 1/18/2020, 1/3 on 1/18/2021
- (4) Exercisable: 1/3 on 3/19/16, 1/3 on 3/19/17, 1/3 on 3/19/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3