**RANKIN CORBIN** 

Form 4

October 23, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

response...

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

January 31,

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**RANKIN CORBIN** 

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year) 10/22/2018

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director

10% Owner Officer (give title \_\_X\_ Other (specify

below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	ve Sec	urities Acqu	ired, Disposed o	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Oper Dispos (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/22/2018		P	125 (1)	A	\$ 61.9253	148,248	I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin $(2)$
Class A Common Stock	10/22/2018		P	1 (1)	A	\$ 61.99 (3)	53	I	Spouse's proportionate Interest in shares held by RAV held by Rankin

								Management
Class A Common Stock	10/22/2018	P	1 (1)	A	\$ 61.99 ( <u>3)</u>	210	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	10/22/2018	P	7 (1)	A	\$ 60.89 ( <u>4)</u>	12,354	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	10/22/2018	P	7 (1)	A	\$ 60.89 ( <u>4)</u>	12,354	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	10/22/2018	P	7 (1)	A	\$ 60.89 ( <u>4)</u>	12,354	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	10/22/2018	P	1 (1)	A	\$ 60.89 ( <u>4)</u>	168	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/22/2018	P	1 (1)	A	\$ 60.89 ( <u>4)</u>	168	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/22/2018	P	1 (1)	A	\$ 61.99 ( <u>3)</u>	58	I	Spouse's Proportionate Interest in shares held by RAVI held by Rankin Management
Class A Common Stock	10/22/2018	P	104 (1)	A	\$ 61.99 (3)	12,458	I	Spouse serves as trustee for GSTs for the

								benefit of James T. Rankin
Class A Common Stock	10/22/2018	P	104 (1)	A	\$ 61.99 ( <u>3)</u>	12,458	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	10/22/2018	P	104 <u>(1)</u>	A	\$ 61.99 (3)	12,458	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	10/22/2018	P	2 (1)	A	\$ 61.99 ( <u>3)</u>	170	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/22/2018	P	2 (1)	A	\$ 61.99 ( <u>3)</u>	170	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						3,622	D	
Class A Common Stock						14,343	I	Reporting Person's LP interest in shares held in RA1
Class A Common Stock						1,843	I	Reporting person's proportionate interests in shares held by Rankin Associates II, L.P
Class A Common Stock						7	I	Held by Spouse

Class A Common Stock	19	I	spouse's proportionate general partnership interest in shares of RA IV LP held by the Trust (5)
Class A Common Stock	25,324	I	spouse's proportionate partnership interest in shares held by RAILP (5)
Class A Common Stock	35,872	I	spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P (5)
Class A Common Stock	65,824	I	Spouses proportionate LP interest in shares held in RA4 (5)
Class A Common Stock	1,975	I	Spouse's proportionate interest in shares held in RMI (5)
Reminder: Report on a separate line for each class of securities beneficially owned dir	ectly or indirectly.		ana

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  $(e.g., \, \mathrm{puts}, \, \mathrm{calls}, \, \mathrm{warrants}, \, \mathrm{options}, \, \mathrm{convertible} \, \mathrm{securities})$ 

2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Underlying Securities	Derivati
or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security
Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		(Instr. 5
Derivative				Securities			
Security				Acquired			
	or Exercise Price of Derivative	Conversion (Month/Day/Year) or Exercise Price of Derivative	or Exercise any Price of (Month/Day/Year) Derivative	Conversion (Month/Day/Year) Execution Date, if Transaction or Exercise any Code Price of (Month/Day/Year) (Instr. 8) Derivative	Conversion (Month/Day/Year) Execution Date, if TransactionNumber or Exercise any Code of Price of (Month/Day/Year) (Instr. 8) Derivative Securities	Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date or Exercise any Code of (Month/Day/Year)  Price of (Month/Day/Year) (Instr. 8) Derivative  Derivative Securities	Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date or Exercise any Code of (Month/Day/Year) (Instr. 3 and 4)  Price of (Month/Day/Year) (Instr. 8) Derivative Securities

(A) or Disposed of (D) (Instr. 3, 4, and 5)

				4, a	nd 5)				
		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	3,622
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	25,657
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,843
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	7
Class B Common	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common	31

Stock

Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	45,300
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	35,872
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	145,912
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,975

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CORBIN Member of a Group 5875 LANDERBROOK DRIVE

Reporting Owners 6

#### MAYFIELD HEIGHTS, OH 44124

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/23/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Oct-22 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.46.
- (4) 2018-Oct-22 -Block 1 Weighted Average- Share Price represents average price between \$60.43 and \$61.40.
- (5) Reporting Person disclaims beneficial ownership of all such shares.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 7