

Claiborne Rankin Trust for children of Claiborne Rankin Jr fbo Claiborne Read Rankin III  
 Form 4  
 October 23, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Claiborne Rankin Trust for children of Claiborne Rankin Jr fbo Claiborne Read Rankin III

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE, SUITE 300

(Street)

CLEVELAND, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)  
 10/19/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4)                                  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Amount or Price   |  |   |
| Class A Common Stock            | 10/19/2018                           |  | P                              | 3 <sup>(1)</sup>  | \$ 60.65 <sub>(2)</sub>   | I  | Proportionate interest in shares held by Rankin Associates VI held in trust |
| Class A Common Stock            | 10/22/2018                           |  | P                              | 2 <sup>(1)</sup>  | \$ 61.99 <sub>(3)</sub>   | I  | Proportionate interest in shares held by Rankin Associates VI               |

|                            |     |   |  |
|----------------------------|-----|---|--|
| Class A<br>Common<br>Stock | 362 | I | held in trust<br>Child's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA II LP |
|----------------------------|-----|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|
| Class B<br>Common<br>Stock                 | (4)  |                                      |  | Code V (A) (D)                 |   | Date Exercisable (4) Expiration Date (4)                 | Title Class A Common Stock                                    | Amount or Number of Shares 362             |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |                   |
|---|---------------|-----------|---------|-------------------|
|   | Director      | 10% Owner | Officer | Other             |
| Claiborne Rankin Trust for children of Claiborne Rankin Jr fbo Claiborne Read Rankin III<br>5875 LANDERBROOK DRIVE<br>SUITE 300 |               |           |         | Member of a group |

CLEVELAND, OH 44124

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

10/23/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-19 -Weighted Average- Share Price represents average price between \$60.20 and \$61.00.
- (3) 2018-Oct-22 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.46.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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