#### WILLIAMS DAVID B

Form 5/A

Common

September 10, 2018

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer WILLIAMS DAVID B Symbol **HYSTER-YALE MATERIALS** (Check all applicable) HANDLING, INC. [HY] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner \_\_X\_\_ Other (specify Officer (give title (Month/Day/Year) below) below) 12/31/2017 Member of a Group 5875 LANDERBROOK DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) 02/14/2018 **MAYFIELD** \_X\_ Form Filed by One Reporting Person HEIGHTS, OHÂ 44124 Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. 7. Nature of Transaction Acquired (A) or Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and Amount (D) Price Spouse's proportionate Class A partnership Â Common G 12/14/2017 3.972 Α \$0 5.126 Ι interest shares Stock held by AMR Associates LP Â Â Â Â Â Â Class A Ι 49,811 Spouse's

proportionate

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Stock									interests in shares held by Rankin Associates I.
Class A Common Stock	Â	Â	Â	Â	Â	Â	12,058	I	Spouse's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	Â	Â	Â	Â	Â	Â	32,369	I	Spouse's proportionate interests in shares held by Rankin Associates IV.
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	80,066	I	Held by trust for the benefit of Reporting Person's Spouse (1)
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,162	D	Â
Class A Common Stock	Â	Â	Â	Â	Â	Â	6,899	I	proportionate LP interest in shares held by RA II, L.P
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	279	I	Child's proportionate partnership

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									interest shares held by AMR Associates LP
Class A Common Stock	Â	Â	Â	Â	Â	Â	9,740	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,983	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child (1)
Class A Common Stock	Â	Â	Â	Â	Â	Â	279	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	Â	Â	Â	Â	Â	Â	8,365	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common	Â	Â	Â	Â	Â	Â	4,357	I	Reporting Person is

Stock

Trustee of a Trust for the benefit of Reporting Person's minor child (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	Â	12/14/2017	Â	G	4,628	Â	(2)	(2)	Class A Common Stock	4,628
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	89,105
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	12,058
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	51,283

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Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	58,586
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	2,332
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	6,899
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	326
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	9,740
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	2,152
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	326
Class B Common Stock	Â	Â	Â	Â	Â	Â	(2)	(2)	Class A Common Stock	8,365



## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

WILLIAMS DAVID B
5875 LANDERBROOK DRIVE Â Â Â Member of a Group
MAYFIELD HEIGHTS, OHÂ 44124

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact 09/09/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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