Merten Jesse E Form 3 December 21, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ALLSTATE CORP [ALL] Merten Jesse E (Month/Day/Year) 12/15/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE ALLSTATE (Check all applicable) CORPORATION, Â 2775 SANDERS RD. 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) EVP & Chief Risk Officer (AIC) \_X\_ Form filed by One Reporting Person NORTHBROOK, ILÂ 60062 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 13 D Common Stock 4,491 Ι By 401(K) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.}, puts, calls, warrants, options, convertible\ securities)$ 

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and (Instr. 4)
Expiration Date Securities Underlying Conversion (Month/Day/Year)
Expiration Date Securities Underlying Ownership Ownership Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (Right to Buy)	(1)	02/18/2025	Common Stock	2,005	\$ 70.71	D	Â
Employee Stock Option (Right to Buy)	(2)	02/11/2026	Common Stock	7,372	\$ 62.32	D	Â
Employee Stock Option (Right to Buy)	(3)	02/09/2027	Common Stock	9,245	\$ 78.35	D	Â
Restricted Stock Units	02/18/2018	02/18/2018	Common Stock	3,986	\$ (4)	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
in the state of th	Director	10% Owner	Officer	Other		
Merten Jesse E C/O THE ALLSTATE CORPORATION 2775 SANDERS RD. NORTHBROOK,  II. 60062	Â	Â	EVP & Chief Risk Officer (AIC)	Â		

## **Signatures**

/s/ Jesse E.

Merten

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option award granted on February 18, 2015 for 6,015 shares of common stock vesting in three equal increments. The first and second increments vested on February 18, 2016 and February 18, 2017. The remaining increment to vest on February 18, 2018.
- Stock option award granted on February 11, 2016 for 11,057 shares of common stock vesting in three equal increments, with any (2) fractional shares to be rounded as provided for in award agreement. The first increment vested on February 11, 2017. The remaining two increments to vest on February 11, 2018 and February 11, 2019.
- (3) Stock option award granted on February 9, 2017 for 9,245 shares of common stock vesting in three equal increments, with any fractional shares to be rounded as provided for in award agreement, on February 9, 2018, February 9, 2019, and February 9, 2020.
- Award of Restricted Stock Units (RSUs) granted on February 18, 2015 under The Allstate Corporation 2013 Equity Incentive Plan. Each (4) RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock (on the conversion date), which is February 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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