

HOLOGIC INC
Form 4
April 05, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ULLIAN ELAINE

(Last) (First) (Middle)
250 CAMPUS DRIVE
(Street)
MARLBOROUGH, MA 01752
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HOLOGIC INC [HOLX]

3. Date of Earliest Transaction (Month/Day/Year)
04/03/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	03/17/2017		G ⁽¹⁾	V 11,668 D \$ 0	23,810	D	
Common Stock	04/03/2017		M ⁽²⁾	9,104 A \$ 32.815	32,914	D	
Common Stock	04/04/2017		M ⁽²⁾	1,196 A \$ 32.815	34,110	D	
Common Stock	04/03/2017		S ⁽²⁾	9,104 D \$ 42.4	23,810 ⁽³⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 32.815	04/03/2017		M ⁽²⁾	9,104	⁽⁴⁾ 10/22/2017	Common Stock	9,104
Non-qualified Stock Option (Right to Buy)	\$ 32.815	04/04/2017		M ⁽²⁾	1,196	⁽⁴⁾ 10/22/2017	Common Stock	1,196

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ULLIAN ELAINE 250 CAMPUS DRIVE MARLBOROUGH, MA 01752		X		

Signatures

/s/ Patricia K. Dolan, attorney-in-fact for Ms. Ullian 04/05/2017

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person voluntarily transferred 5,834 shares of common stock to the irrevocable Ullian Family 2014 GST Trust and 5,834 shares of common stock to the irrevocable Ullian Family Health and Education Trust.
- (2) Transaction pursuant to an existing rule 10b5-1 trading plan.
- (3) Includes 3,260 shares subject to deferral pursuant to Issuer's Deferred Equity Plan.
- (4) This option became fully vested on 10/22/2007.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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