

GLATFELTER P H CO
Form 3
April 03, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Beck Eileen L | | (Month/Day/Year) | GLATFELTER P H CO [GLT] | |
| (Last) | (First) | (Middle) | 04/01/2017 | |
| 96 S. GEORGE STREET, Â SUITE 520 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| YORK, Â PA Â 17401 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Vice Pres., Human Resource | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock, Par Value \$.01 | 222 | D | Â |
| Common Stock, Par Value \$.01 | 388 | I | Held in 401(K) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------------|-----------------|--------------------------------|----------------------------|---------------------|---|---|
| Stock Only Stock Appreciation Rights | 07/09/2014 | 07/09/2022 | Common Stock, Par Value \$0.01 | 7,544 | \$ 16.78 | D | Â |
| Stock Only Stock Appreciation Rights | 03/05/2016 | 03/05/2023 | Common Stock, Par Value \$0.01 | 4,396 | \$ 18.36 | D | Â |
| Stock Only Stock Appreciation Rights | 02/26/2017 | 02/26/2024 | Common Stock, Par Value \$0.01 | 2,588 | \$ 29.89 | D | Â |
| Stock Only Stock Appreciation Rights | 02/26/2018 ⁽¹⁾ | 02/26/2025 | Common Stock, Par Value \$0.01 | 4,276 | \$ 24.94 | D | Â |
| Restricted Stock Units | 09/01/2018 | 09/01/2018 | Common Stock, Par Value \$0.01 | 585 | \$ ⁽²⁾ | D | Â |
| Stock Only Stock Appreciation Rights | 09/01/2018 ⁽¹⁾ | 09/01/2025 | Common Stock, Par Value \$0.01 | 2,126 | \$ 17.5 | D | Â |
| Stock Only Stock Appreciation Rights | 02/25/2019 ⁽³⁾ | 02/25/2026 | Common Stock, Par Value \$0.01 | 11,167 | \$ 17.27 | D | Â |
| Performance Stock Award ⁽⁴⁾ | 01/01/2018 | 03/15/2018 | Common Stock, Par Value \$0.01 | 1,293 | \$ ⁽⁵⁾ | D | Â |
| Performance Stock Award | 01/01/2019 ⁽⁶⁾ | 03/15/2019 | Common Stock, Par Value \$0.01 | 2,559 | \$ ⁽⁵⁾ | D | Â |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

Beck Eileen L
96 S. GEORGE STREET
SUITE 520
YORK, PA 17401

Â Â Â Vice Pres., Human Resource Â

Signatures

Linda M. Levans
by POA 04/03/2017

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These SOSARs vest in thirds on the anniversary dates of the grant in 2016, 2017 and 2018, respectively.
- (2) Not applicable to this transaction. RSUs have no value until all restrictions lapse on the final vesting date.
- (3) These SOSARs vest in thirds on the anniversary dates of the grant in 2017, 2018 and 2019, respectively.
- (4) PSA Award amount reflects a target number. Actual payout will range from 0% to 200% of target subject to attainment of performance goals.
- (5) Not applicable to this transaction
- (6) This is a Performance Share Award with a performance period of 1/1/2016-12/31/2017, and a one year vesting tail through 12/31/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.