ORACLE CORP Form 4 March 17, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number:

3235-0287

0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BERG JEFFREY** Issuer Symbol ORACLE CORP [ORCL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Officer (give title \_ Other (specify C/O DELPHI ASSET MGMT 03/17/2017 CORPORATION, 5525 KIETZKE LANE, SUITE 200 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting RENO, NV 89511

| (City)                               | (State)                                 | (Zip) Ta  | ble I - Non                             | -Derivativ                               | e Secu  | ırities Acqui        | red, Disposed of   | , or Beneficia   | lly Owned   |
|--------------------------------------|---|---|---|--|---------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>our Dispos<br>(Instr. 3, 4 | ed of ( | ` ′                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/17/2017                              |   | M                                       | 75,000                                   | A       | \$ 19.38             | 193,299  | I  | Indirect Ownership (1)  |
| Common<br>Stock                      | 03/17/2017                              |   | S                                       | 31,750                                   | D       | \$<br>45.8044<br>(2) | 161,549  | I  | Indirect<br>Ownership   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option                                     | \$ 19.38  | 03/17/2017                              |   | M                                     | 75,000  | (3)  | 05/31/2017         | Common<br>Stock   | 75,000                              |

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# **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| BERG JEFFREY   |               |           |         |       |  |  |
| C/O DELPHI ASSET MGMT CORPORATION 5525 KIETZKE LANE, SUITE 200 | X             |           |         |       |  |  |

## **Signatures**

RENO, NV 89511

/s/ Lori A. Clancy by Lori A. Clancy, Attorney in Fact for Jeffrey Berg (POA filed 4/12/16)

03/17/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 5,000 shares are held indirectly by spouse; 86,283 shares are held in a GRAT and 70,266 are held in a Trust.
- This transaction was executed in multiple trades at prices ranging from \$45.79 to \$45.8150. The price reported above reflects the (2) weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
- Options vest 25% annually on anniversary of grant date. **(3)**
- The economic benefit and dispositive control of this option was transferred to the Berg Family Trust dated January 27, 1987, as amended and restated on December 13, 2011

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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