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PUBLIX SUPER MARKETS INC Form 5 January 30, 2017 **FORM**

January 50, 2017							
FORM 5					OMB AI	PPROVA	۱L
	UNITE	D STATES	S SECURITIES AND EXCHANGE (COMMISSION	OMB Number:	3235-	0362
Check this box if no longer subject		Washington, D.C. 20549				Januar	'y 31, 2005
to Section 16. Form 4 or Form 5 obligations may continue.	AN	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					1.0
See Instruction 1(b).	Filed p	oursuant to	Section 16(a) of the Securities Exchange	ge Act of 1934,			
Form 3 Holdings Reported Form 4 Transactions Reported	Section 1		Public Utility Holding Company Act o of the Investment Company Act of 19		I		
1. Name and Address DOUGLAS LAU	-	ng Person <u>*</u>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of I Issuer	Reporting Pers	son(s) to	
			PUBLIX SUPER MARKETS INC [NONE]	(Check	all applicable	e)	
(Last) ((First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)	Director X Officer (give		Owner er (specify	

P.O BOX 407

(Street)

LAKELAND, FLÂ 33802-0407

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

below)

Senior Vice President

(check applicable line)

below)

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficia								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	l (A) c l of (D 4 and (A) or))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	Â	Â	Â	Â	Â	Â	35,930	D	Â	
Common Stock	Â	Â	Â	Â	Â	Â	2,800	I	By Spouse	
Common Stock	Â	Â	Â	Â	Â	Â	329.0668	I	By 401(k)	
Common Stock	Â	Â	Â	Â	Â	Â	7,996.7372	Ι	By ESOP	

12/31/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

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Common Stock	Â	Â	Â	Â	Â	Â	1,368.1797	Ι	By Spouse's 401(k) <u>(1)</u>
Common Stock	Â	Â	Â	Â	Â	Â	34,730.0534	Ι	By Spouse's ESOP (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	Date, ifTransactionDerivativeExpiration DateCodeSecurities Acquired(Month/Day/Year)		Derivative Securities Acquired (A) or Disposed of (D)		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
SERP Stock	Â	03/01/2016	Â	А	1,209.4991	Â	(<u>3)</u>	(<u>3)</u>	Common Stock	1,209

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
DOUGLAS LAURIE Z P.O BOX 407 LAKELAND, FL 33802-0407	Â	Â	Senior Vice President	Â				
Signatures								

Signatures

/s/ Monica Allman, POA on file for Laurie Z. 01/30/2017 Douglas **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (2) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).

Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in (3) common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental

Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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