

ERIE INDEMNITY CO
Form 4
July 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DUFALA GEORGE D

(Last) (First) (Middle)

100 ERIE INSURANCE PLACE

(Street)

ERIE, PA 16530

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ERIE INDEMNITY CO [ERIE]

3. Date of Earliest Transaction
(Month/Day/Year)
06/30/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common Stock | 06/30/2016 | | S | | 2,799.393 | D | \$ 99.34 10,151 |
| Class A Common Stock | 06/30/2016 | | S | | 500 | D | \$ 99.2878 9,651 |
| Class A Common Stock | 06/30/2016 | | J ⁽¹⁾ | | 21.708 | A | \$ 99.34 12,950.393 |
| Class A Common | 06/30/2016 | | S | | 4,276 | D | \$ 99.1538 5,375 |

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| | | | | | | | |
|----------------------------|------------|---|-------|---|------------|-------|---|
| Stock | | | | | | | |
| Class A Common Stock | 06/30/2016 | S | 750 | D | \$ 99.436 | 4,625 | D |
| Class A Common Stock | 06/30/2016 | S | 500 | D | \$ 99.51 | 4,125 | D |
| Class A Common Stock | 06/30/2016 | S | 500 | D | \$ 99.35 | 3,625 | D |
| Class A Common Stock | 06/30/2016 | S | 1,000 | D | \$ 99.43 | 2,625 | D |
| Class A Common Stock | 06/30/2016 | S | 500 | D | \$ 99.27 | 2,125 | D |
| Class A Common Stock | 06/30/2016 | S | 500 | D | \$ 99.006 | 1,625 | D |
| Class A Common Stock | 06/30/2016 | S | 500 | D | \$ 99.57 | 1,125 | D |
| Class A Common Stock | 06/30/2016 | S | 500 | D | \$ 99.25 | 625 | D |
| Class A Common Stock | 06/30/2016 | S | 280 | D | \$ 99.26 | 345 | D |
| Class A Common Stock | 06/30/2016 | S | 45 | D | \$ 99.25 | 300 | D |
| Class A Common Stock | 06/30/2016 | S | 100 | D | \$ 99.2684 | 200 | D |
| Class A Common Stock | 06/30/2016 | S | 100 | D | \$ 99.0801 | 100 | D |
| Class A Common Stock | 06/30/2016 | S | 100 | D | \$ 99.27 | 0 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| DUFALA GEORGE D 100 ERIE INSURANCE PLACE ERIE, PA 16530 | | | Executive Vice President | |

Signatures

Chandra M. Burns, Power of Attorney
07/01/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Participant directed transaction under 401(k) Plan

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