

WELLS FARGO & COMPANY/MN
 Form 4
 November 01, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STUMPF JOHN G

2. Issuer Name and Ticker or Trading Symbol
WELLS FARGO & COMPANY/MN [WFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
420 MONTGOMERY STREET
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/30/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
President, Chairman & CEO

SAN FRANCISCO, CA 94104
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$1 2/3 Par Value	10/30/2013		S		300,000	D	\$ 43.18 <u>(1)</u>	260,080	I	Through Family Trust
Common Stock, \$1 2/3 Par Value	10/30/2013		M		550,260	A	\$ 28.43	856,273	D	
Common Stock, \$1 2/3 Par Value	10/30/2013		F		460,696	D	\$ 43.1	395,577	D	

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Common Stock, \$1 2/3 Par Value	87,464.56 ⁽²⁾ I	Through 401(k) Plan
Common Stock, \$1 2/3 Par Value	7,435.722 ⁽³⁾ I	Through Ira
Common Stock, \$1 2/3 Par Value	112,000 I	Through JJS 2011 Irrevocable Trust
Common Stock, \$1 2/3 Par Value	150,000 I	Through RT 2011 Irrevocable Trust
Common Stock, \$1 2/3 Par Value	4,674.571 ⁽⁴⁾ I	Through Self Employed Pension Plan
Common Stock, \$1 2/3 Par Value	5,178.001 ⁽⁵⁾ I	Through Spouse's Ira

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount	
						Date Exercisable	Expiration Date	Title	Number Shares
Employee Stock Purchase	\$ 28.43	10/30/2013		M	550,260	02/24/2004	02/24/2014	Common Stock, \$1 2/3 Par	550,260

Option

Value

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STUMPF JOHN G 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104	X		President, Chairman & CEO	

Signatures

John G. Stumpf, by Anthony R. Augliera, as Attorney-in-Fact	11/01/2013
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$43.13 to \$43.29, inclusive. The reporting person undertakes to provide to Wells Fargo & Company (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
 - (2) Reflects share equivalent of units in Wells Fargo ESOP Fund of 401(k) Plan (the "Plan") as of September 30, 2013, as if investable cash equivalents held by Plan were fully invested in Company common stock.
 - (3) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 53.526 shares on 9/3/2013.
 - (4) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 33.65 shares on 9/3/2013.
 - (5) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 37.274 shares on 9/3/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. t:2px;padding-top:2px;padding-bottom:2px;padding-right:2px;">

12,586,136
Olivier Goudet

686,648,044

3,600,972

12,586,136
Peter Harf

677,839,392

12,409,624

12,586,136

Paul S. Michaels

683,578,985

6,670,031

12,586,136

Camillo Pane

686,620,975

3,628,041

12,586,136

Erhard Schoewel

679,635,563

10,613,453

12,586,136

Robert Singer

687,937,150

2,311,866

12,586,136

Each of the nine nominees for director was elected to serve until the next annual meeting of stockholders or until his or her successor has been elected and qualified, or until his or her earlier death, resignation or removal.

2. Approval of Advisory Resolution on Named Executive Officer Compensation

The stockholders approved the advisory resolution on Named Executive Officer Compensation.

For	Against	Abstain	Broker Non-Votes
610,435,197	79,553,575	260,244	12,586,136

3. Ratification of Appointment of Deloitte & Touche LLP as the Company's Independent Registered Public Accounting Firm

The stockholders ratified the appointment of Deloitte & Touché LLP as the Company's independent registered public accounting firm for the fiscal year ending June 30, 2019.

For	Against	Abstain	Broker Non-Votes
698,420,953	4,248,959	165,240	—

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Coty Inc.
(Registrant)

Date: November 9, 2018 By: /s/ Greerson G. McMullen
Greerson G. McMullen
Chief Legal Officer, General Counsel and Secretary