

JOHNSON WILLIAM D
Form 3
July 12, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>JOHNSON WILLIAM D</p> <p>(Last) (First) (Middle)</p> <p>550 S. TRYON STREET</p> <p>(Street)</p> <p>CHARLOTTE, NC 28202</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/02/2012</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Duke Energy CORP [DUK]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>President & CEO; Director</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
--	---	--	--	---	--

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock ⁽¹⁾	269,471 ⁽²⁾	D	∆
Common Stock ⁽¹⁾	8,784	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: JOHNSON WILLIAM D - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Management Deferred Compensation Plan ⁽¹⁾	Â ⁽³⁾	Â ⁽⁴⁾	Common Stock	1,040	\$ ⁽⁵⁾	D	Â
Management Incentive Compensation Plan ⁽¹⁾	Â ⁽³⁾	Â ⁽⁴⁾	Common Stock	1,682	\$ ⁽⁵⁾	D	Â
Performance Share Sub-Plan ⁽¹⁾	Â ⁽³⁾	Â ⁽⁴⁾	Common Stock	142,243	\$ ⁽⁵⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JOHNSON WILLIAM D 550 S. TRYON STREET CHARLOTTE, NC 28202	Â	Â	Â President & CEO; Director	Â

Signatures

/s/ David S. Maltz, attorney-in-fact for William D. Johnson

07/12/2012

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On July 2, 2012, Progress Energy, Inc. ("Predecessor"), merged into a subsidiary of Duke Energy Corporation ("Issuer"). In connection with the merger, each share of Predecessor's common stock was converted into the right to receive .87083 shares of Issuer's common stock.

(1) Includes 49,466 restricted stock units

(2) Based upon payment schedule selected by the reporting person in the Election Form.

(3) Expiration date not applicable.

(4) Converts to Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.