Edgar Filing: SORIANO JOHN - Form 4

SORIANO . Form 4	JOHN							
January 07,	2019							
•	OMB APPROVAL							
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							
Check th if no lon subject t Section Form 4 of Form 5 obligation may con See Insta 1(b).	section 17(STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940						
(Print or Type	Responses)							
1. Name and A SORIANO	Address of Reporting JOHN	Symbo		d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 10 FINDER AVENUE,			of Earliest 7 /Day/Year) /2019	Fransaction	Director 10% Owner X Officer (give title Other (specify below) below) Chief Compliance Officer			
	(Street)		nendment, D Ionth/Day/Yea	Date Original ar)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
BRIDGEW	ATER, NJ 08807	7			Form filed by M Person	lore than One R	eporting	
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative Securities A	Acquired, Disposed of	, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	SecuritiesFBeneficially(IOwned(I	. Ownership orm: Direct D) or Indirect) instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	port on a separate line	e for each class of se	curities bene	ficially owned directly	or indirectly.			
				Persons who res information con required to resp	spond to the collect tained in this form a ond unless the form ntly valid OMB con	are not n	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	D	Acquired (A Disposed of Instr. 3, 4,)	f (D)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 13.91	01/03/2019		А	1	138,790		(1)	01/03/2029	Common Stock	138,790

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
SORIANO JOHN 10 FINDERNE AVENUE BUILDING 10 BRIDGEWATER, NJ 08807			Chief Compliance Officer		
Signatures					
/s/ John Soriano, by Christine F Attorney-in-fact	01/07/2019				

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Stock options granted under the Company's 2017 Incentive Plan. The options become exercisable based on the following vesting

(1) schedule: twenty-five percent (25%) on the first anniversary of the grant date and an additional twelve and one-half percent (12.5%) vest on the six month anniversary of the first anniversary date and each six month anniversary date thereafter through the fourth anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.