#### ROSENBAUM LEONARD A

Form 4

December 15, 2011

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* ROSENBAUM LEONARD A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Street)

(State)

(Middle)

(Zip)

CVD EQUIPMENT CORP [CVV]

3. Date of Earliest Transaction

(Month/Day/Year) 12/12/2011

\_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title \_ Other (specify

(Check all applicable)

below) CEO, President

C/O CVD EQUIPMENT CORPORATION, 1860 **SMITHTOWN AVE** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

RONKONKOMA, NY 11779

|                 |                     | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficilitiany Owned |            |            |           |                    |                  |              |              |
|-----------------|---------------------|---|------------|------------|-----------|--------------------|------------------|--------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed  | 3.         | 4. Securi  | ties A    | cquired            | 5. Amount of     | 6. Ownership | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if  | Transactio | on(A) or D | ispose    | d of (D)           | Securities       | Form: Direct | Indirect     |
| (Instr. 3)      |                     | any   | Code       | (Instr. 3, | 4 and     | 5)                 | Beneficially     | (D) or       | Beneficial   |
|                 |                     | (Month/Day/Year)  | (Instr. 8) |            |           |                    | Owned            | Indirect (I) | Ownership    |
|                 |                     |   |            |            |           |                    | Following        | (Instr. 4)   | (Instr. 4)   |
|                 |                     |   |            |            | ( )       |                    | Reported         |              |              |
|                 |                     |   |            |            | (A)       |                    | Transaction(s)   |              |              |
|                 |                     |   | Code V     | Amount     | or<br>(D) | Price              | (Instr. 3 and 4) |              |              |
| Common<br>Stock | 12/12/2011          |   | S          | 9,700      | D         | \$ 15<br>(1)       | 1,105,750        | D            |              |
| Common<br>Stock | 12/13/2011          |   | S          | 300        | D         | \$<br>15.02<br>(2) | 1,105,450        | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.<br>T    | 5.         | 6. Date Exer     |            | 7. Titl |          | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|------------|---------|----------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | ionNumber  | Expiration D     |            | Amou    |          | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day       | (Year)     | Under   | , ,      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e                |            | Securi  | ities    | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | 3                |            | (Instr. | 3 and 4) |             | Owne    |
|             | Security    |                     |                    |            | Acquired   |                  |            |         |          |             | Follo   |
|             | Ť           |                     |                    |            | (A) or     |                  |            |         |          |             | Repo    |
|             |             |                     |                    |            | Disposed   |                  |            |         |          |             | Trans   |
|             |             |                     |                    |            | of (D)     |                  |            |         |          |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |                  |            |         |          |             | (211512 |
|             |             |                     |                    |            | 4, and 5)  |                  |            |         |          |             |         |
|             |             |                     |                    |            | 4, and 3)  |                  |            |         |          |             |         |
|             |             |                     |                    |            |            |                  |            |         | Amount   |             |         |
|             |             |                     |                    |            |            | <b>.</b>         | <b>.</b>   |         | or       |             |         |
|             |             |                     |                    |            |            | Date             | Expiration | Title   | Number   |             |         |
|             |             |                     |                    |            |            | Exercisable Date | Date       |         | of       |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |                  |            |         | Shares   |             |         |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                   |       |  |  |
|--|---------------|-----------|-------------------|-------|--|--|
|  | Director      | 10% Owner | Officer           | Other |  |  |
| ROSENBAUM LEONARD A C/O CVD EQUIPMENT CORPORATION 1860 SMITHTOWN AVE RONKONKOMA NY 11779 | X             | X         | CEO,<br>President |       |  |  |

# **Signatures**

Leonard A.

Rosenbaum 12/15/2011 \*\*Signature of Reporting Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This price represents multiple transactions reported on this line. The per share price of each of the transactions reported on this line was (1) \$15.00. Upon request by the SEC staff, the Issuer or a security holder of the Issuer, the Reporting Person will undertake to provide full information regarding the number of shares at which the transactions were effected. These sales were made pursuant to a 10b5-1 Plan.
- (2) This sale was made pursuant to a 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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