

KELLEHER BARRY

Form 4

April 30, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KELLEHER BARRY**

(Last) (First) (Middle)

**25 FRONTAGE ROAD**

(Street)

**ANDOVER, MA 01810**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**VICOR CORP [vicr]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**04/26/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 04/26/2018                              |                                                             | M                                    | 5,000 A                                                                 | \$ 11.42 7,257                                                                                                     | D                                                                       |                                                                   |
| Common<br>Stock                       | 04/26/2018                              |                                                             | S                                    | 5,000 D                                                                 | \$ 36.701 2,257                                                                                                    | D                                                                       |                                                                   |
| Common<br>Stock                       | 04/26/2018                              |                                                             | M                                    | 5,000 A                                                                 | \$ 11.42 7,257                                                                                                     | D                                                                       |                                                                   |
| Common<br>Stock                       | 04/26/2018                              |                                                             | S                                    | 5,000 D                                                                 | \$ 36.7555 2,257                                                                                                   | D                                                                       |                                                                   |
| Common<br>Stock                       | 04/26/2018                              |                                                             | M                                    | 6,000 A                                                                 | \$ 11.42 8,257                                                                                                     | D                                                                       |                                                                   |
|                                       | 04/26/2018                              |                                                             | S                                    | 6,000 D                                                                 | 2,257                                                                                                              | D                                                                       |                                                                   |

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|              |            |   |       |   |            |       |   |
|--------------|------------|---|-------|---|------------|-------|---|
| Common Stock |            |   |       |   | \$ 36.7197 |       |   |
| Common Stock | 04/26/2018 | M | 1,491 | A | \$ 13.42   | 3,748 | D |
| Common Stock | 04/26/2018 | S | 1,491 | D | \$ 36.7435 | 2,257 | D |
| Common Stock | 04/26/2018 | M | 4,727 | A | \$ 11.42   | 6,984 | D |
| Common Stock | 04/26/2018 | S | 4,727 | D | \$ 36.7435 | 2,257 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                      | Date Exercisable Expiration Date                         | Title                                                         |                               |
| Non Qualified Stock Option                 | \$ 11.42                                               | 04/26/2018                           |                                                    | M                              | 5,000                                                                          | <u>(1)</u> 10/23/2024                                    | Common Stock                                                  | 5,000                         |
| Non Qualified Stock Option                 | \$ 11.42                                               | 04/26/2018                           |                                                    | M                              | 5,000                                                                          | <u>(1)</u> 10/23/2024                                    | Common Stock                                                  | 5,000                         |
| Non Qualified Stock Option                 | \$ 11.42                                               | 04/26/2018                           |                                                    | M                              | 6,000                                                                          | <u>(1)</u> 10/23/2024                                    | Common Stock                                                  | 6,000                         |
| Non Qualified                              | \$ 13.42                                               | 04/26/2018                           |                                                    | M                              | 1,491                                                                          | <u>(2)</u> 06/19/2025                                    | Common Stock                                                  | 1,491                         |

Stock  
Option

Non

Qualified  
Stock

\$ 11.42

04/26/2018

M

4,727

(1)

10/23/2024

Common  
Stock

4,727

Option

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |         |       |
|---------------------------------------------------------|---------------|-----------|---------|-------|
|                                                         | Director      | 10% Owner | Officer | Other |
| KELLEHER BARRY<br>25 FRONTAGE ROAD<br>ANDOVER, MA 01810 | X             |           |         |       |

## Signatures

/s/Richard J. Nagel Jr. Attorney in Fact for Barry  
Kelleher

04/30/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Granted on 10/23/2014 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.
- (2) Granted on 6/19/2015 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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