

Edgar Filing: DELL COMPUTER CORP - Form 5

DELL COMPUTER CORP  
 Form 5  
 March 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

( ) Form 3 Holdings Reported  
 ( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

Lawrence Pentland  
 One Dell Way  
 TX, Round Rock 78682

2. Issuer Name and Ticker or Trading Symbol

Dell Computer Corporation (DELL)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

Fiscal Year 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)

Vice President, Americas International

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|---|
| Common Stock         | 8/22/2000           | A       | 75000 1   | 76543   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>Amount | 6. Date Exercisable and Expiration Date<br>Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities<br>Title and Number of Shares | 8. Percentage of Total Ownership |
|---------------------------------|--|---------------------|---------|--|--|--|----------------------------------|
|---------------------------------|--|---------------------|---------|--|--|--|----------------------------------|

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|                            |           |           |   |        |   |   |           |              |        |     |
|----------------------------|-----------|-----------|---|--------|---|---|-----------|--------------|--------|-----|
| Nonqualified Stock Options | \$29.4375 |           |   |        |   | 2 | 10/05/08  | Common Stock |        |     |
| Nonqualified Stock Options | \$37.5938 | 8/22/2000 | A | 150000 | A | 3 | 8/22/2010 | Common Stock | 150000 | N/A |
| Nonqualified Stock Options | \$37.5938 | 8/22/2000 | A | 75000  | A | 4 | 8/22/2010 | Common Stock | 75000  | N/A |
| Nonqualified Stock Options | \$30.43   |           |   |        |   | 5 | 3/26/09   | Common Stock |        |     |
| Nonqualified Stock Options | \$37.22   |           |   |        |   | 6 | 4/19/09   | Common Stock |        |     |
| Nonqualified Stock Options | \$43.438  | 3/3/2000  | A | 350000 | A | 7 | 3/02/10   | Common Stock | 350000 | N/A |
| Nonqualified Stock Options | \$44.6875 |           |   |        |   | 8 | 9/23/09   | Common Stock |        |     |

Explanation of Responses:

1. Represents 1,543 non-restricted shares and 75,000 shares of restricted stock schedule to vest as follows: 15,000 shares on 8/22 of each year from 2001 through 2005.
2. Exercisable according to the following schedule: 14,000 shares 10/5 of each year from 1999 through 2003.
3. Exercisable according to the following schedule: 30,000 shares on 8/22 of each year from 2003 through 2007.
4. Exercisable according to the following schedule: 15,000 shares on 8/22 of each year from 2001 through 2005.
5. Exercisable according to the following schedule: 3,946 shares on 3/26/00 and 3,947 shares on 3/26/01.
6. Exercisable according to the following schedule: 4,622 shares on 4/19 of each year from 2000 through 2004.
7. Exercisable according to the following schedule: 70,000 shares on 3/2 of each year from 2001 through 2005.
8. Exercisable according to the following schedule: 8,844 shares on 9/23 each year from 2000 through 2004.