Adaptimmune Therapeutics PLC Form 8-K November 14, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

**Current Report** 

Pursuant to Section 13 or 15(d) of

the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 11, 2016

## ADAPTIMMUNE THERAPEUTICS PLC

(Exact name of registrant as specified in its charter)

England and Wales (State or other jurisdiction of incorporation)

1-37368 (Commission File Number)

Not Applicable (IRS Employer Identification No.)

101 Park Drive, Milton Park

Abingdon, Oxfordshire OX14 4RY

**United Kingdom** 

(Address of principal executive offices, including zip code)

(44) 1235 430000

(Registrant s telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.14d-2(b))

240.13e-4(c)

#### Item 8.01. Other Events.

On November 11, 2016, Adaptimmune Therapeutics plc (the Company ) issued a press release announcing the presentation of data at the 2016 Society for Immunotherapy for Cancer (SITC) annual meeting. The posters summarized: (1) preclinical data from the Company s wholly-owned MAGE-A4 SPEAR (Specific Peptide Enhanced Affinity Receptor) T-cells; (2) preclinical data from the Company s second generation SPEAR T-cell, which is engineered to overcome immunosuppression in the tumor microenvironment by blocking the effects of transforming growth factor Beta (TGF-Beta); and (3) a single-patient case study from the Company s ongoing synovial sarcoma study. The press release is furnished as Exhibit 99.1 to this report and is incorporated by reference herein.

On November 11, 2016, the Company also issued a press release announcing an oral presentation with updated data on its study of NY-ESO SPEAR T-cells in its ongoing synovial sarcoma trial at the 2016 Connective Tissue Oncology Society (CTOS) annual meeting. This presentation included an update to Cohort 1 median survival data. The press release is furnished as Exhibit 99.2 to this report and is incorporated by reference herein

The information in Item 8.01 of this Form 8-K (including the attached Exhibit 99.1 and the attached Exhibit 99.2) shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference under the Securities Act of 1933, as amended, except as expressly set forth by specific reference in such a filing, regardless of any general incorporation language in any such filing, unless the Company expressly sets forth in such filing that such information is to be considered filed or incorporated by reference therein.

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#### **Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits. The following exhibits are furnished as part of this Report on Form 8-K:

| Exhibit No. | Description of Exhibit   |
|-------------|--|
| 99.1        | Press Release concerning presentation of data at SITC meeting dated November 11, 2016. |
| 99.2        | Press Release concerning presentation of data at CTOS meeting dated November 11, 2016. |
|             |  |

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

#### ADAPTIMMUNE THERAPEUTICS PLC

Date: November 14, 2016 By: /s/ Margaret Henry

Name: Margaret Henry
Title: Corporate Secretary

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## **Exhibit Index**

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|             | 4  |