Edgar Filing: Hilltop Holdings Inc. - Form 4

Hilltop Hold	lings Inc.											
Form 4												
August 05, 2	2016											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287		
Check th								January 31,				
if no lon subject t	- NIATH	F CHAN			CIAI	L OWN	ERSHIP OF	Expires: Estimated a	2005			
	Section 16.					SECURITIES				rs per		
Form 4						_			response	0.5		
Form 5 obligation	-						-	Act of 1934,				
may con				•	•			1935 or Section	l			
See Instr	ruction	30(h)	of the Ii	ivestmen	t Company	y Act	of 1940)				
1(b).												
(Print or Type	Responses)											
	-											
1. Name and Address of Reporting Person <u></u> 2. Issu				suer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to				
PRESTIDO	JE COREY		Symbol	-				Issuer				
	Hilltop Holdings Inc. [HTH]					(Check all applicable)						
(Last)	(First)	(Middle)	3. Date o	of Earliest T	Transaction			(Cheer	an appricable)		
			(Month/I	(Month/Day/Year)				Director 10% Owner				
	CENT COURT,	SUITE	08/04/2	08/04/2016				X_ Officer (give title Other (specify below) below)				
1330						EVP, General Counsel & Sec.						
	(Street)		4. If Am	endment, D	ate Original			6. Individual or Joi	nt/Group Filir	1g(Check		
				Filed(Month/Day/Year)				Applicable Line)				
							X Form filed by One Reporting Person					
DALLAS,	TX 75201						Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	le I - Non-	Dorivativo S	locurit	ios Acau	ired, Disposed of,	or Bonoficial	ly Ownod		
1 7:41 f	2 T	4- 24 D					-			7. Nature of		
1.Title of Security	2. Transaction Da (Month/Day/Year			3. Transacti				5. Amount of Securities	6. Ownership	Indirect		
(Instr. 3)	any	n Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)					Beneficially	Form:	Beneficial			
	Day/Year) (Instr. 8)					Owned	Direct (D)	Ownership				
								Following Reported	or Indirect (I)	(Instr. 4)		
						(A)		Transaction(s)	(I) (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common	00/04/2016							104.075	D			
Stock	08/04/2016			Μ	100,000	Α	\$ 7.7	124,875	D			
Common							\$					
Stock	08/04/2016			F	62,379	D	э 21.88	62,496	D			
STOCK							21.00					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 7.7	08/04/2016		М	10	00,000	<u>(1)</u>	11/02/2016	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
PRESTIDGE COREY 200 CRESCENT COURT, SUITE 133 DALLAS, TX 75201	0		EVP, General Counsel & Sec.					
Signatures								
/s/ COREY G.	5/2016							

PRESTIDGE 08/05/2016 <u>**</u>Signature of Reporting Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option vested in five equal installments on each of November 2, 2011, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.