STARWOOD PROPERTY TRUST, INC.

Form 4 March 08, 2016

## FORM 4

#### **OMB APPROVAL**

| UNITED STATES SECURITIES AND EXCHANGE COMMISSION |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Washington, D.C. 20549                           |  |  |  |  |  |  |  |

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and A<br>STERNLIC            | 2. Issuer Name and Ticker or Trading<br>Symbol<br>STARWOOD PROPERTY TRUST,<br>INC. [STWD] |               |                                |   | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |         |  |  |  |   |
|--------------------------------------|---|---------------|--------------------------------|---|---|---------|--|--|--|---|
|                                      |   |               |                                | 3. Date of Earliest Transaction (Month/Day/Year) 02/12/2016 |   |         |  | X Director 10% OwnerX Officer (give title Other (specify below) CEO, Chairman of Board                             |  |   |
|                                      |   |               |                                | Amendment, Date Original<br>(Month/Day/Year)                |   |         |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |
| GREENWI                              | CH, CT 06830  |               |                                |   |   |         | Form filed by More than One Reporting Person |  |  |   |
| (City)                               | (State)   | (Zip)         | Tab                            | le I - Non-I  | Derivative S  | ecurit  | ies Acq                                      | uired, Disposed o  | of, or Beneficia   | ally Owned  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Da<br>(Month/Day/Yea   | Execution any | ned<br>n Date, if<br>Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)                      | 4. Securitie on(A) or Disp (Instr. 3, 4)                                    | posed o | of (D)                                       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 02/12/2016  |               |                                | A   | 606,166<br>(1)  | A       | (1)  | 1,765,515  | I  | By controlled entities (2)  |
| Common<br>Stock                      |   |               |                                |   |   |         |  | 4,281,349  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.          | 6. Date Exerc | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|--|-------------|-------------|---------------------|--------------------|-------------|-------------|---------------|-------------|---------|--------------|-------------|--------|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber    | Expiration D  | ate         | Amou    | int of       | Derivative  | Deriv  |
|  | Security    | or Exercise |                     | any                | Code        | of          | (Month/Day/   | Year)       | Under   | lying        | Security    | Secui  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative  | e             |             | Securi  | ities        | (Instr. 5)  | Bene   |
|  |             | Derivative  |                     |                    |             | Securities  |               |             | (Instr. | 3 and 4)     |             | Owne   |
|  |             | Security    |                     |                    |             | Acquired    |               |             |         |              |             | Follo  |
|  |             |             |                     |                    |             | (A) or      |               |             |         |              |             | Repo   |
|  |             |             |                     |                    |             | Disposed    |               |             |         |              |             | Trans  |
|  |             |             |                     |                    |             | of (D)      |               |             |         |              |             | (Instr |
|  |             |             |                     |                    |             | (Instr. 3,  |               |             |         |              |             |        |
|  |             |             |                     |                    |             | 4, and 5)   |               |             |         |              |             |        |
|  |             |             |                     |                    |             |             |               |             |         | Amount       |             |        |
|  |             |             |                     |                    |             |             |               |             |         |              |             |        |
|  |             |             |                     |                    |             |             | Date          | Expiration  | Title   | or<br>Number |             |        |
|  |             |             |                     |                    |             | Exercisable | Date          | Title       | of      |              |             |        |
|  |             |             |                     |                    | Codo V      | (A) (D)     |               |             |         | Shares       |             |        |
|  |             |             |                     |                    | Code v      | (A) (D)     |               |             |         | Shares       |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address |          |           | Relationships |       |
|--------------------------------|----------|-----------|---------------|-------|
|                                | Director | 10% Owner | Officer       | Other |

STERNLICHT BARRY S
C/O STARWOOD PROPERTY TRUST, INC.
591 WEST PUTNAM AVENUE
GREENWICH, CT 06830

X

CEO, Chairman of Board

Relationshins

## **Signatures**

/s/ Barry S. Sternlicht 03/08/2016

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted shares of the issuer's common stock granted to SPT Management, LLC, the issuer's external manager (the "Manager"), as payment of 50% of the incentive fee as required by the Management Agreement between the issuer and the Manager.
- (2) Represents shares of the issuer's common stock held by the Manager and SFIP, L.P. ("SFIP"). Starwood Capital Group Global, L.P. ("SCGG") is the sole member of the Manager. Mr. Sternlicht is the controlling partner of each of SFIP and SCGG.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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