#### Edgar Filing: UNITED BANCSHARES INC/OH - Form 4

#### UNITED BANCSHARES INC/OH

Form 4

January 31, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

Common

Stock (2)

01/30/2017

(Print or Type Responses)

1. Name and Address of Reporting Person ** Lucke Daniel J		2. Issuer Name <b>and</b> Ticker or Trading Symbol UNITED BANCSHARES INC/OH [UBOH]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) 100 S. HIGH STREE	, ,	3. Date of Earliest Transaction (Month/Day/Year) 01/30/2017			Director 10% Owner _X_ Officer (give title Other (specify below) CFO			
(Stree	t)	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
COLUMBUS GROV	/E, OH 45830	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State	) (Zip)		5					
(City) (State)	) (Zip)	Table I - Non	-Derivative Secur	ities Acq	uired, Disposed of	f, or Beneficiall	ly Owned	
	any		(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)					712	I	Shares held jointly	

60 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

Ι

692

with spouse. (1)

ESOP (2)

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## displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name /	Address	Relationships
Kebol ulig Owner Name /	Auuress	

Director 10% Owner Officer Other

Lucke Daniel J

100 S. HIGH STREET CFO

**COLUMBUS GROVE, OH 45830** 

## **Signatures**

Daniel J Lucke 01/31/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly with spouse as Daniel J. Lucke and Rebecca M. Lucke TOD.
- (2) Shares held in trust through the Corporation's Employee Stock Ownership Plan ("ESOP") for the reporting person.
- (3) The previously filed form 4 overstated holdings by 16 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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