### Edgar Filing: UNITED BANCSHARES INC/OH - Form 4

#### UNITED BANCSHARES INC/OH

Form 4

October 26, 2016

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Estimated average

Check this box if no longer subject to Section 16

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

0.5

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

Common

Stock (2)

10/24/2016

(Print or Type Responses)

1. Name and A Lucke Danie	Address of Reporting and Address of Reporting	Person *	Symbol	Issuer D BANCSHARES INC/OH	tionship of Reporting Personal (Check all applicable	· ,	
(Last) 100 S. HIGI	, ,	Aiddle)	3. Date of (Month/D) 10/24/2	ay/Year) $X_{\text{below}}$		Owner er (specify	
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  COLUMBUS GROVE, OH 45830				hth/Day/Year) Applicat _X_ For	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tabl	e I - Non-Derivative Securities Acquired, D	isposed of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution	med on Date, if Day/Year)	Or	cies Form: Direct cially (D) or Indirect (I) ring (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)				712	I	Shares held jointly with spouse. (1)	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

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ESOP (2)

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displays a currently valid OMB control

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration Da		7. Title Amou		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(ona., Day, Toal)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Under Securi	lying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Lucke Daniel J

100 S. HIGH STREET CFO

**COLUMBUS GROVE, OH 45830** 

# **Signatures**

Daniel J Lucke 10/26/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly with spouse as Daniel J. Lucke and Rebecca M. Lucke TOD.
- (2) Shares held in trust through the Corporation's Employee Stock Ownership Plan ("ESOP") for the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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