

Edgar Filing: RED HAT INC - Form 4

RED HAT INC
Form 4
October 11, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Kaiser William S.

(Last) (First) (Middle)

c/o Red Hat, Inc., 1801 Varsity Drive

(Street)

Raleigh North Carolina 27606

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Red Hat, Inc. (RHAT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

October 7, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Executive Vice President = Engineering

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	2A. Deemed Execution Date, if any, (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
			Code	V	Amount	or (A) (D)	Price
Common Stock, \$.0001 par value per share	10/07/02		L(1)	V	1,137	A	700,482
Common Stock, \$.0001 par value per share	10/07/02		L(1)	V	134,663	A	134,663
Common Stock, \$.0001	10/07/02		L(1)	V	8,213	A	8,213

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/99)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expir- ation Date	7. Title and Amount of Underlying Securities (Instr. 3 and ----- Amount or Number of Shares
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Explanation of Responses:

(1) Acquired in exchange for stock of NocPulse, Inc. pursuant to stock for stock exchange with Issuer.

(2) Represents shares of Common Stock owned by Greylock X Limited Partnership. Mr. Kaiser is a general partner of Greylock X GP Limited Partnership, the sole general partner of Greylock X Limited Partnership.

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Mr. Kaiser disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, which, as of the date of acquisition, was nominal.

(3) Represents shares of Common Stock owned by Greylock X GP Limited Partnership. Mr. Kaiser is a general partner of Greylock X GP Limited Partnership, the sole general partner of Greylock X Limited Partnership. Mr. Kaiser disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, which, as of the date of acquisition, was nominal.

/s/ Mark Webbink, Attorney-In-Fact

October 11, 2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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