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COWEN GROUP, INC.
Form 13F-HR
February 12, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: December 31, 2012

Check here if Amendment []; Amendment Number:
This Amendment (Check only one.): [] is a restatement.
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Cowen Group, Inc.
Address: 599 Lexington Avenue, 20th Flr.
New York, NY 10022

13F File Number: 028-14571

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Owen Littman
Title: General Counsel
Phone: 212-201-4841

Signature, Place, and Date of Signing:

/s/ Owen Littman New York, NY February 11, 2013

Report Type (Check only one.):

[X] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[] 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 5
Form 13F Information Table Entry Total: 494
Form 13F Information Table Value Total: \$876,603 (thousands)
List of Other Included Managers:

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Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

| No. | 13F File Number | Name |
|-----|-----------------|----------------------------------|
| 01 | 028-06309 | Ramius LLC |
| 02 | 028-10674 | Ramius Advisors, LLC |
| 03 | 028-14361 | Ramius Alternative Solutions LLC |
| 04 | 028-10501 | Cowen Capital LLC |
| 05 | 028-04125 | Cowen and Company LLC |

FORM 13F INFORMATION TABLE

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DISCRETN | OTH MANA |
|------------------------------|-----------------|-----------|--------------------|--------------------|------------|--------------|---------------------|-------------|
| 3M CO | COM | 88579Y101 | 28 | 300 | SH | PUT | DEFINED | 05 |
| 3M CO | COM | 88579Y101 | 371 | 4000 | SH | CALL | DEFINED | 05 |
| ABBOTT LABS | COM | 002824100 | 347 | 11100 | SH | CALL | DEFINED | 05 |
| ACTIVISION BLIZZARD INC | COM | 00507V109 | 323 | 30400 | SH | | DEFINED | 05 |
| ACTIVISION BLIZZARD INC | COM | 00507V109 | 319 | 30000 | SH | PUT | DEFINED | 05 |
| ADOBE SYS INC | COM | 00724F101 | 400 | 10600 | SH | CALL | DEFINED | 05 |
| ADOBE SYS INC | COM | 00724F101 | 38 | 1000 | SH | PUT | DEFINED | 05 |
| AK STL HLDG CORP | COM | 001547108 | 59 | 12600 | SH | CALL | DEFINED | 05 |
| AKAMAI TECHNOLOGIES INC | COM | 00971T101 | 200 | 4900 | SH | PUT | DEFINED | 05 |
| ALPHA NATURAL RESOURCES INC | COM | 02076X102 | 109 | 11100 | SH | CALL | DEFINED | 05 |
| ALPHA NATURAL RESOURCES INC | COM | 02076X102 | 112 | 11300 | SH | PUT | DEFINED | 05 |
| ALTRIA GROUP INC | COM | 02209S103 | 348 | 11100 | SH | PUT | DEFINED | 05 |
| AMARIN CORP PLC | SPONS ADR NEW | 023111206 | 281 | 34700 | SH | CALL | DEFINED | 05 |
| AMERICAN EXPRESS CO | COM | 025816109 | 431 | 7500 | SH | CALL | DEFINED | 05 |
| AMERICAN EXPRESS CO | COM | 025816109 | 8702 | 151400 | SH | PUT | DEFINED | 05 |
| AMERICAN EXPRESS CO | COM | 025816109 | 6863 | 119400 | SH | | DEFINED | 05 |
| AMERICAN INTL GROUP INC | COM NEW | 026874784 | 1771 | 50100 | SH | CALL | DEFINED | 05 |
| AMERICAN INTL GROUP INC | COM NEW | 026874784 | 669 | 18900 | SH | PUT | DEFINED | 05 |
| AMERICAN INTL GROUP INC | COM NEW | 026874784 | 505 | 14306 | SH | | DEFINED | 05 |
| AMERIGROUP CORP | COM | 03073T102 | 11544 | 125478 | SH | | DEFINED | 02 |
| AMERIGROUP CORP | COM | 03073T102 | 26183 | 284600 | SH | CALL | DEFINED | 02 |
| AMERIGROUP CORP | COM | 03073T102 | 690 | 7500 | SH | PUT | DEFINED | 02 |
| AMPIO PHARMACEUTICALS INC | COM | 03209T109 | 180 | 50000 | SH | | DEFINED | 02 |
| ANACOR PHARMACEUTICALS INC | COM | 032420101 | 459 | 88333 | SH | | DEFINED | 02 |
| ANACOR PHARMACEUTICALS INC | COM | 032420101 | 0 | 1 | SH | | DEFINED | 05 |
| ANADARKO PETE CORP | COM | 032511107 | 259 | 3491 | SH | | DEFINED | 05 |
| ANADARKO PETE CORP | COM | 032511107 | 371 | 5000 | SH | CALL | DEFINED | 05 |
| ANADARKO PETE CORP | COM | 032511107 | 387 | 5200 | SH | PUT | DEFINED | 05 |
| ANCESTRY COM INC | COM | 032803108 | 880 | 27500 | SH | CALL | DEFINED | 02 |
| AOL INC | COM | 00184X105 | 888 | 30000 | SH | CALL | DEFINED | 05 |
| ARCELORMITTAL SA LUXEMBOURG | NOTE 5.000% 5/1 | 03938LAK0 | 5770 | 5622000 | PRN | | DEFINED | 02 |
| ARCELORMITTAL SA LUXEMBOURG | NY REGISTRY SH | 03938L104 | 212 | 12100 | SH | CALL | DEFINED | 05 |
| ARCH COAL INC | COM | 039380100 | 96 | 13200 | SH | CALL | DEFINED | 05 |
| ARIAD PHARMACEUTICALS INC | COM | 04033A100 | 863 | 45000 | SH | | DEFINED | 02 |
| ASCENT SOLAR TECHNOLOGIES IN | COM | 043635101 | 192 | 310000 | SH | | DEFINED | 02 |
| AT&T INC | COM | 00206R102 | 5578 | 165484 | SH | | DEFINED | 02 |
| AT&T INC | COM | 00206R102 | 148 | 4400 | SH | | DEFINED | 05 |
| AT&T INC | COM | 00206R102 | 5057 | 150000 | SH | CALL | DEFINED | 02 |
| AT&T INC | COM | 00206R102 | 236 | 7000 | SH | CALL | DEFINED | 05 |
| AT&T INC | COM | 00206R102 | 6742 | 200000 | SH | PUT | DEFINED | 02 |
| AT&T INC | COM | 00206R102 | 310 | 9200 | SH | PUT | DEFINED | 05 |
| ATHERSYS INC | COM | 04744L106 | 69 | 65000 | SH | | DEFINED | 02 |

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|-----------------------------|------------------|-----------|-------|--------|----|------|---------|----|
| ATLAS ENERGY LP | COM UNITS LP | 04930A104 | 2584 | 74400 | SH | CALL | DEFINED | 05 |
| AURICO GOLD INC | COM | 05155C105 | 1564 | 191220 | SH | | DEFINED | 02 |
| AUTODESK INC | COM | 052769106 | 240 | 6800 | SH | PUT | DEFINED | 05 |
| AVIS BUDGET GROUP | COM | 053774105 | 793 | 40000 | SH | CALL | DEFINED | 05 |
| BAKER HUGHES INC | COM | 057224107 | 221 | 5400 | SH | CALL | DEFINED | 05 |
| BALL CORP | COM | 058498106 | 1539 | 34400 | SH | CALL | DEFINED | 05 |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 116 | 10000 | SH | | DEFINED | 02 |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 17 | 1500 | SH | | DEFINED | 05 |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 80 | 7000 | SH | CALL | DEFINED | 05 |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 83 | 7200 | SH | PUT | DEFINED | 05 |
| BARCLAYS BK PLC | IPATH DYNM VIX | 06741L609 | 360 | 7829 | SH | | DEFINED | 03 |
| BARCLAYS BK PLC | IPATH S&P MT ETN | 06740C519 | 245 | 8772 | SH | | DEFINED | 03 |
| BARCLAYS BK PLC | IPATH S&P500 VIX | 06740C188 | 0 | 1 | SH | | DEFINED | 03 |
| BARRICK GOLD CORP | COM | 067901108 | 753 | 21502 | SH | | DEFINED | 05 |
| BARRICK GOLD CORP | COM | 067901108 | 8280 | 236500 | SH | CALL | DEFINED | 02 |
| BARRICK GOLD CORP | COM | 067901108 | 556 | 15800 | SH | CALL | DEFINED | 05 |
| BARRICK GOLD CORP | COM | 067901108 | 1099 | 31300 | SH | PUT | DEFINED | 05 |
| BAXTER INTL INC | COM | 071813109 | 427 | 6400 | SH | PUT | DEFINED | 05 |
| BAXTER INTL INC | COM | 071813109 | 154 | 2300 | SH | CALL | DEFINED | 05 |
| BAXTER INTL INC | COM | 071813109 | 240 | 3606 | SH | | DEFINED | 05 |
| BEST BUY INC | COM | 086516101 | 826 | 69700 | SH | CALL | DEFINED | 02 |
| BEST BUY INC | COM | 086516101 | 2543 | 214600 | SH | CALL | DEFINED | 05 |
| BEST BUY INC | COM | 086516101 | 231 | 19600 | SH | PUT | DEFINED | 05 |
| BEST BUY INC | COM | 086516101 | 76 | 6370 | SH | | DEFINED | 05 |
| BIOMARIN PHARMACEUTICAL INC | COM | 09061G101 | 497 | 10100 | SH | CALL | DEFINED | 05 |
| BP PLC | SPONSORED ADR | 055622104 | 326 | 7800 | SH | CALL | DEFINED | 05 |
| BP PLC | SPONSORED ADR | 055622104 | 1027 | 24600 | SH | PUT | DEFINED | 05 |
| BP PLC | SPONSORED ADR | 055622104 | 1153 | 27700 | SH | | DEFINED | 05 |
| BP PRUDHOE BAY RTY TR | UNIT BEN INT | 055630107 | 206 | 3000 | SH | | DEFINED | 02 |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 414 | 12698 | SH | | DEFINED | 05 |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 71 | 2200 | SH | CALL | DEFINED | 05 |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 672 | 20600 | SH | PUT | DEFINED | 05 |
| BROADCOM CORP | CL A | 111320107 | 398 | 12000 | SH | PUT | DEFINED | 05 |
| BROADCOM CORP | CL A | 111320107 | 4 | 108 | SH | | DEFINED | 05 |
| BROADCOM CORP | CL A | 111320107 | 937 | 28200 | SH | CALL | DEFINED | 05 |
| BUCKEYE PARTNERS L P | UNIT LTD PARTN | 118230101 | 397 | 8750 | SH | | DEFINED | 02 |
| CAPITAL ONE FINL CORP | COM | 14040H105 | 293 | 5050 | SH | | DEFINED | 02 |
| CATERPILLAR INC DEL | COM | 149123101 | 486 | 5400 | SH | CALL | DEFINED | 05 |
| CATERPILLAR INC DEL | COM | 149123101 | 736 | 8200 | SH | PUT | DEFINED | 05 |
| CEMEX SAB DE CV | SPON ADR NEW | 151290889 | 142 | 14400 | SH | CALL | DEFINED | 05 |
| CENTRAL FD CDA LTD | CL A | 153501101 | 946 | 45000 | SH | | DEFINED | 02 |
| CHENIERE ENERGY PARTNERS LP | COM UNIT | 16411Q101 | 813 | 38220 | SH | | DEFINED | 02 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 446 | 26823 | SH | | DEFINED | 05 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 120 | 7100 | SH | CALL | DEFINED | 05 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 439 | 26300 | SH | PUT | DEFINED | 05 |
| CHEVRON CORP NEW | COM | 166764100 | 628 | 5800 | SH | CALL | DEFINED | 05 |
| CHEVRON CORP NEW | COM | 166764100 | 1016 | 9400 | SH | PUT | DEFINED | 05 |
| CISCO SYS INC | COM | 17275R102 | 3016 | 153500 | SH | PUT | DEFINED | 05 |
| CISCO SYS INC | COM | 17275R102 | 2981 | 151725 | SH | | DEFINED | 05 |
| CISCO SYS INC | COM | 17275R102 | 113 | 5700 | SH | CALL | DEFINED | 05 |
| CITIGROUP INC | COM NEW | 172967424 | 1166 | 29400 | SH | PUT | DEFINED | 05 |
| CITIGROUP INC | COM NEW | 172967424 | 600 | 15100 | SH | CALL | DEFINED | 05 |
| CITIGROUP INC | COM NEW | 172967424 | 475 | 12000 | SH | | DEFINED | 05 |
| CITRIX SYS INC | COM | 177376100 | 318 | 4839 | SH | | DEFINED | 05 |
| CLEARWIRE CORP NEW | CL A | 18538Q105 | 462 | 160000 | SH | | DEFINED | 02 |
| CLEARWIRE CORP NEW | CL A | 18538Q105 | 22 | 7500 | SH | | DEFINED | 05 |
| CLEARWIRE CORP NEW | CL A | 18538Q105 | 1516 | 524500 | SH | CALL | DEFINED | 02 |
| CLEARWIRE CORP NEW | CL A | 18538Q105 | 2457 | 850000 | SH | CALL | DEFINED | 05 |
| COCA COLA CO | COM | 191216100 | 324 | 8900 | SH | CALL | DEFINED | 05 |
| COCA COLA CO | COM | 191216100 | 473 | 13000 | SH | PUT | DEFINED | 05 |
| COINSTAR INC | COM | 19259P300 | 10083 | 193869 | SH | | DEFINED | 02 |
| COINSTAR INC | COM | 19259P300 | 9752 | 187500 | SH | PUT | DEFINED | 02 |

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| CORRECTIONS CORP AMER NEW | COM NEW | 22025Y407 | 7974 | 224823 | SH | DEFINED | 02 |
| COSTCO WHSL CORP NEW | COM | 22160K105 | 217 | 2200 | SH | CALL | DEFINED 05 |
| COVENTRY HEALTH CARE INC | COM | 222862104 | 560 | 12482 | SH | DEFINED | 05 |
| COVENTRY HEALTH CARE INC | COM | 222862104 | 13068 | 291494 | SH | DEFINED | 02 |
| COVIDIEN PLC | SHS | G2554F113 | 992 | 17178 | SH | DEFINED | 05 |
| COWEN GROUP INC NEW | CL A | 223622101 | 3500 | 1428620 | SH | DEFINED | 02 |
| CREDIT SUISSE NASSAU BRH | ETN IDX-LKD 20 | 22542D845 | 380 | 19320 | SH | DEFINED | 03 |
| CREXUS INVT CORP | COM | 226553105 | 3157 | 257706 | SH | DEFINED | 02 |
| CREXUS INVT CORP | COM | 226553105 | 1264 | 103200 | SH | PUT | DEFINED 02 |
| CROWN HOLDINGS INC | COM | 228368106 | 2359 | 64100 | SH | CALL | DEFINED 05 |
| CVS CAREMARK CORPORATION | COM | 126650100 | 242 | 5000 | SH | DEFINED | 05 |
| CVS CAREMARK CORPORATION | COM | 126650100 | 369 | 7600 | SH | CALL | DEFINED 05 |
| CVS CAREMARK CORPORATION | COM | 126650100 | 1262 | 26100 | SH | PUT | DEFINED 05 |
| CYMER INC | COM | 232572107 | 3204 | 35430 | SH | DEFINED | 02 |
| CYMER INC | COM | 232572107 | 362 | 4000 | SH | DEFINED | 05 |
| CYTOKINETICS INC | COM | 23282W100 | 103 | 155800 | SH | DEFINED | 02 |
| D R HORTON INC | COM | 23331A109 | 228 | 11500 | SH | PUT | DEFINED 05 |
| DEAN FOODS CO NEW | COM | 242370104 | 1692 | 102462 | SH | DEFINED | 02 |
| DEERE & CO | COM | 244199105 | 208 | 2400 | SH | CALL | DEFINED 05 |
| DEERE & CO | COM | 244199105 | 484 | 5600 | SH | PUT | DEFINED 05 |
| DELCATH SYS INC | COM | 24661P104 | 25 | 20600 | SH | DEFINED | 02 |
| DEUTSCHE BANK AG | NAMEN AKT | D18190898 | 279 | 6300 | SH | CALL | DEFINED 05 |
| DISNEY WALT CO | COM DISNEY | 254687106 | 2144 | 43062 | SH | DEFINED | 02 |
| DISNEY WALT CO | COM DISNEY | 254687106 | 2490 | 50000 | SH | CALL | DEFINED 02 |
| DISNEY WALT CO | COM DISNEY | 254687106 | 831 | 16700 | SH | CALL | DEFINED 05 |
| DISNEY WALT CO | COM DISNEY | 254687106 | 2490 | 50000 | SH | PUT | DEFINED 02 |
| DISNEY WALT CO | COM DISNEY | 254687106 | 240 | 4800 | SH | PUT | DEFINED 05 |
| DOLE FOOD CO INC NEW | COM | 256603101 | 781 | 68071 | SH | DEFINED | 02 |
| DOW CHEM CO | COM | 260543103 | 501 | 15500 | SH | CALL | DEFINED 05 |
| DRESSER-RAND GROUP INC | COM | 261608103 | 1718 | 30600 | SH | CALL | DEFINED 05 |
| DU PONT E I DE NEMOURS & CO | COM | 263534109 | 148 | 3300 | SH | DEFINED | 05 |
| DU PONT E I DE NEMOURS & CO | COM | 263534109 | 807 | 18000 | SH | CALL | DEFINED 05 |
| DU PONT E I DE NEMOURS & CO | COM | 263534109 | 187 | 4200 | SH | PUT | DEFINED 05 |
| E M C CORP MASS | COM | 268648102 | 440 | 17400 | SH | CALL | DEFINED 05 |
| EASTMAN CHEM CO | COM | 277432100 | 1817 | 26700 | SH | CALL | DEFINED 05 |
| EBAY INC | COM | 278642103 | 630 | 12400 | SH | CALL | DEFINED 05 |
| EBAY INC | COM | 278642103 | 351 | 6900 | SH | PUT | DEFINED 05 |
| ENDO HEALTH SOLUTIONS INC | COM | 29264F205 | 774 | 29500 | SH | DEFINED | 05 |
| ENDO HEALTH SOLUTIONS INC | COM | 29264F205 | 918 | 35000 | SH | PUT | DEFINED 05 |
| ENERGY TRANSFER EQUITY L P | COM UT LTD PTN | 29273V100 | 819 | 18000 | SH | DEFINED | 02 |
| ENERGY XXI (BERMUDA) LTD | USD UNRS SHS | G10082140 | 1691 | 52573 | SH | DEFINED | 02 |
| ENTERPRISE PRODS PARTNERS L | COM | 293792107 | 1421 | 28375 | SH | DEFINED | 02 |
| EPOCH HOLDING CORP | COM | 29428R103 | 4777 | 171228 | SH | DEFINED | 02 |
| EXELIXIS INC | COM | 30161Q104 | 91 | 20000 | SH | DEFINED | 02 |
| EXPRESS SCRIPTS HLDG CO | COM | 30219G108 | 16 | 300 | SH | DEFINED | 05 |
| EXPRESS SCRIPTS HLDG CO | COM | 30219G108 | 2257 | 41800 | SH | CALL | DEFINED 05 |
| EXXON MOBIL CORP | COM | 30231G102 | 2708 | 31300 | SH | PUT | DEFINED 05 |
| EXXON MOBIL CORP | COM | 30231G102 | 300 | 3471 | SH | DEFINED | 02 |
| EXXON MOBIL CORP | COM | 30231G102 | 470 | 5425 | SH | DEFINED | 05 |
| EXXON MOBIL CORP | COM | 30231G102 | 2840 | 32800 | SH | CALL | DEFINED 05 |
| F M C CORP | COM NEW | 302491303 | 7742 | 132300 | SH | CALL | DEFINED 05 |
| FACEBOOK INC | CL A | 30303M102 | 386 | 14400 | SH | CALL | DEFINED 05 |
| FACEBOOK INC | CL A | 30303M102 | 578 | 21700 | SH | PUT | DEFINED 05 |
| FACEBOOK INC | CL A | 30303M102 | 583 | 21900 | SH | DEFINED | 05 |
| FEDEX CORP | COM | 31428X106 | 220 | 2400 | SH | CALL | DEFINED 05 |
| FEDEX CORP | COM | 31428X106 | 275 | 3000 | SH | PUT | DEFINED 05 |
| FORD MTR CO DEL | COM PAR \$0.01 | 345370860 | 133 | 10300 | SH | CALL | DEFINED 05 |
| FRANCO NEVADA CORP | COM | 351858105 | 8433 | 147500 | SH | CALL | DEFINED 02 |
| FRANCO NEVADA CORP | COM | 351858105 | 8433 | 147500 | SH | PUT | DEFINED 02 |
| FREEMPORT-MCMORAN COPPER & GO | COM | 35671D857 | 2032 | 59400 | SH | CALL | DEFINED 05 |
| FREEMPORT-MCMORAN COPPER & GO | COM | 35671D857 | 474 | 13900 | SH | PUT | DEFINED 05 |
| FREEMPORT-MCMORAN COPPER & GO | COM | 35671D857 | 1436 | 41998 | SH | DEFINED | 05 |

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|------------------------------|----------------|-----------|-------|--------|----|------|---------|----|
| GAP INC DEL | COM | 364760108 | 417 | 13400 | SH | PUT | DEFINED | 05 |
| GAP INC DEL | COM | 364760108 | 227 | 7304 | SH | | DEFINED | 05 |
| GAP INC DEL | COM | 364760108 | 170 | 5500 | SH | CALL | DEFINED | 05 |
| GARDNER DENVER INC | COM | 365558105 | 1507 | 22000 | SH | PUT | DEFINED | 05 |
| GARDNER DENVER INC | COM | 365558105 | 4069 | 59400 | SH | CALL | DEFINED | 05 |
| GARDNER DENVER INC | COM | 365558105 | 286 | 4170 | SH | | DEFINED | 02 |
| GARDNER DENVER INC | COM | 365558105 | 886 | 12941 | SH | | DEFINED | 05 |
| GENERAL ELECTRIC CO | COM | 369604103 | 6492 | 309300 | SH | CALL | DEFINED | 02 |
| GENERAL MTRS CO | COM | 37045V100 | 1183 | 41000 | SH | CALL | DEFINED | 05 |
| GENERAL MTRS CO | COM | 37045V100 | 87 | 3000 | SH | PUT | DEFINED | 05 |
| GENWORTH FINL INC | COM CL A | 37247D106 | 263 | 35000 | SH | CALL | DEFINED | 05 |
| GEO GROUP INC | COM | 36159R103 | 4362 | 154670 | SH | | DEFINED | 02 |
| GLOBECOMM SYSTEMS INC | COM | 37956X103 | 116 | 10242 | SH | | DEFINED | 02 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 66 | 521 | SH | | DEFINED | 05 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 792 | 6200 | SH | CALL | DEFINED | 05 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 1443 | 11300 | SH | PUT | DEFINED | 05 |
| GOOGLE INC | CL A | 38259P508 | 248 | 350 | SH | | DEFINED | 02 |
| HALLIBURTON CO | COM | 406216101 | 356 | 10300 | SH | CALL | DEFINED | 05 |
| HALLIBURTON CO | COM | 406216101 | 184 | 5300 | SH | PUT | DEFINED | 05 |
| HALLIBURTON CO | COM | 406216101 | 7614 | 219500 | SH | CALL | DEFINED | 02 |
| HECLA MNG CO | COM | 422704106 | 83 | 14200 | SH | CALL | DEFINED | 05 |
| HERBALIFE LTD | COM USD SHS | G4412G101 | 330 | 10000 | SH | PUT | DEFINED | 05 |
| HESS CORP | COM | 42809H107 | 5140 | 97047 | SH | | DEFINED | 02 |
| HESS CORP | COM | 42809H107 | 29 | 540 | SH | | DEFINED | 05 |
| HEWLETT PACKARD CO | COM | 428236103 | 216 | 15100 | SH | CALL | DEFINED | 05 |
| HEWLETT PACKARD CO | COM | 428236103 | 251 | 17700 | SH | PUT | DEFINED | 05 |
| HOME DEPOT INC | COM | 437076102 | 18 | 291 | SH | | DEFINED | 05 |
| HOME DEPOT INC | COM | 437076102 | 12543 | 202800 | SH | CALL | DEFINED | 02 |
| HOME DEPOT INC | COM | 437076102 | 891 | 14400 | SH | CALL | DEFINED | 05 |
| HOME DEPOT INC | COM | 437076102 | 1368 | 22100 | SH | PUT | DEFINED | 05 |
| HORIZON PHARMA INC | COM | 44047T109 | 500 | 214606 | SH | | DEFINED | 02 |
| HORSEHEAD HLDG CORP | COM | 440694305 | 2138 | 209406 | SH | | DEFINED | 02 |
| HOUSTON AMERN ENERGY CORP | COM | 44183U100 | 8 | 38000 | SH | | DEFINED | 02 |
| HUDSON CITY BANCORP | COM | 443683107 | 426 | 52352 | SH | | DEFINED | 05 |
| IMMUNOGEN INC | COM | 45253H101 | 255 | 20000 | SH | | DEFINED | 02 |
| INERGY L P | UNIT LTD PTNR | 456615103 | 468 | 25750 | SH | | DEFINED | 02 |
| INTEL CORP | COM | 458140100 | 49 | 2399 | SH | | DEFINED | 05 |
| INTEL CORP | COM | 458140100 | 12 | 600 | SH | CALL | DEFINED | 05 |
| INTEL CORP | COM | 458140100 | 2693 | 130600 | SH | PUT | DEFINED | 05 |
| INTERMEC INC | COM | 458786100 | 2121 | 215114 | SH | | DEFINED | 02 |
| INTERNATIONAL BUSINESS MACHS | COM | 459200101 | 136 | 708 | SH | | DEFINED | 05 |
| INTERNATIONAL BUSINESS MACHS | COM | 459200101 | 1187 | 6200 | SH | CALL | DEFINED | 05 |
| INTERNATIONAL BUSINESS MACHS | COM | 459200101 | 910 | 4750 | SH | | DEFINED | 02 |
| INTERNATIONAL BUSINESS MACHS | COM | 459200101 | 1265 | 6600 | SH | PUT | DEFINED | 05 |
| INTERPUBLIC GROUP COS INC | COM | 460690100 | 2475 | 224600 | SH | CALL | DEFINED | 05 |
| ISHARES GOLD TRUST | ISHARES | 464285105 | 488 | 29960 | SH | | DEFINED | 02 |
| ISHARES INC | MSCI BRAZIL | 464286400 | 7988 | 142800 | SH | PUT | DEFINED | 02 |
| ISHARES INC | MSCI BRAZIL | 464286400 | 7462 | 133389 | SH | | DEFINED | 02 |
| ISHARES INC | MSCI BRAZIL | 464286400 | 3200 | 57200 | SH | CALL | DEFINED | 02 |
| ISHARES INC | MSCI BRAZIL | 464286400 | 856 | 15300 | SH | CALL | DEFINED | 05 |
| ISHARES INC | MSCI CDA INDEX | 464286509 | 284 | 10000 | SH | | DEFINED | 02 |
| ISHARES INC | MSCI JAPAN | 464286848 | 595 | 61053 | SH | | DEFINED | 02 |
| ISHARES TR | BARCLYS 20+ YR | 464287432 | 158 | 1300 | SH | | DEFINED | 05 |
| ISHARES TR | BARCLYS 20+ YR | 464287432 | 871 | 7200 | SH | CALL | DEFINED | 05 |
| ISHARES TR | BARCLYS 20+ YR | 464287432 | 364 | 3000 | SH | PUT | DEFINED | 05 |
| ISHARES TR | DJ US REAL EST | 464287739 | 8342 | 129000 | SH | CALL | DEFINED | 02 |
| ISHARES TR | DJ US REAL EST | 464287739 | 1991 | 30800 | SH | CALL | DEFINED | 05 |
| ISHARES TR | DJ US REAL EST | 464287739 | 10017 | 154900 | SH | PUT | DEFINED | 02 |
| ISHARES TR | DJ US REAL EST | 464287739 | 646 | 10000 | SH | PUT | DEFINED | 05 |
| ISHARES TR | HIGH YLD CORP | 464288513 | 1357 | 14542 | SH | | DEFINED | 03 |
| ISHARES TR | IBOXX INV CPBD | 464287242 | 1950 | 16120 | SH | | DEFINED | 02 |
| ISHARES TR | RUSSELL 2000 | 464287655 | 4454 | 52827 | SH | | DEFINED | 02 |

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| ISHARES TR | RUSSELL 2000 | 464287655 | 17706 | 210000 | SH | PUT | DEFINED | 02 |
| ISHARES TR | RUSSELL1000VAL | 464287598 | 25387 | 348621 | SH | | DEFINED | 03 |
| JOHNSON & JOHNSON | COM | 478160104 | 49 | 700 | SH | | DEFINED | 05 |
| JOHNSON & JOHNSON | COM | 478160104 | 7338 | 104700 | SH | PUT | DEFINED | 05 |
| JOHNSON & JOHNSON | COM | 478160104 | 1471 | 21000 | SH | CALL | DEFINED | 05 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 1342 | 30517 | SH | | DEFINED | 05 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 1129 | 25700 | SH | CALL | DEFINED | 05 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 1998 | 45400 | SH | PUT | DEFINED | 05 |
| KB HOME | COM | 48666K109 | 299 | 18900 | SH | CALL | DEFINED | 05 |
| KBW INC | COM | 482423100 | 765 | 50000 | SH | | DEFINED | 02 |
| KIMBERLY CLARK CORP | COM | 494368103 | 286 | 3400 | SH | PUT | DEFINED | 05 |
| KROGER CO | COM | 501044101 | 433 | 16658 | SH | | DEFINED | 02 |
| LAS VEGAS SANDS CORP | COM | 517834107 | 503 | 10900 | SH | CALL | DEFINED | 05 |
| LAS VEGAS SANDS CORP | COM | 517834107 | 4970 | 107700 | SH | PUT | DEFINED | 05 |
| LAS VEGAS SANDS CORP | COM | 517834107 | 189 | 4084 | SH | | DEFINED | 05 |
| LENNAR CORP | CL A | 526057104 | 225 | 5800 | SH | CALL | DEFINED | 05 |
| LILLY ELI & CO | COM | 532457108 | 5948 | 120600 | SH | CALL | DEFINED | 05 |
| LILLY ELI & CO | COM | 532457108 | 33 | 663 | SH | | DEFINED | 05 |
| LILLY ELI & CO | COM | 532457108 | 2904 | 58900 | SH | PUT | DEFINED | 05 |
| LORAL SPACE & COMMUNICATNS I | COM | 543881106 | 192 | 3500 | SH | CALL | DEFINED | 05 |
| LORAL SPACE & COMMUNICATNS I | COM | 543881106 | 2952 | 54000 | SH | | DEFINED | 05 |
| LOWES COS INC | COM | 548661107 | 438 | 12335 | SH | | DEFINED | 05 |
| LOWES COS INC | COM | 548661107 | 4 | 100 | SH | CALL | DEFINED | 05 |
| LOWES COS INC | COM | 548661107 | 324 | 9100 | SH | PUT | DEFINED | 05 |
| LULULEMON ATHLETICA INC | COM | 550021109 | 466 | 6100 | SH | CALL | DEFINED | 05 |
| M & T BK CORP | COM | 55261F104 | 5049 | 51274 | SH | | DEFINED | 02 |
| M & T BK CORP | COM | 55261F104 | 31 | 312 | SH | | DEFINED | 05 |
| MACYS INC | COM | 55616P104 | 301 | 7697 | SH | | DEFINED | 05 |
| MACYS INC | COM | 55616P104 | 317 | 8100 | SH | CALL | DEFINED | 05 |
| MACYS INC | COM | 55616P104 | 231 | 5900 | SH | PUT | DEFINED | 05 |
| MAGELLAN MIDSTREAM PRTNRS LP | COM UNIT RP LP | 559080106 | 1503 | 34810 | SH | | DEFINED | 02 |
| MANNKIND CORP | COM | 56400P201 | 564 | 244100 | SH | CALL | DEFINED | 05 |
| MAP PHARMACEUTICALS INC | COM | 56509R108 | 341 | 21800 | SH | PUT | DEFINED | 05 |
| MARVELL TECHNOLOGY GROUP LTD | ORD | G5876H105 | 76 | 10445 | SH | | DEFINED | 05 |
| MARVELL TECHNOLOGY GROUP LTD | ORD | G5876H105 | 13 | 1800 | SH | CALL | DEFINED | 05 |
| MARVELL TECHNOLOGY GROUP LTD | ORD | G5876H105 | 125 | 17400 | SH | PUT | DEFINED | 05 |
| MCDONALDS CORP | COM | 580135101 | 57 | 649 | SH | | DEFINED | 05 |
| MCDONALDS CORP | COM | 580135101 | 1129 | 12800 | SH | CALL | DEFINED | 05 |
| MCDONALDS CORP | COM | 580135101 | 529 | 6000 | SH | PUT | DEFINED | 05 |
| MCMORAN EXPLORATION CO | COM | 582411104 | 1124 | 70000 | SH | | DEFINED | 02 |
| MCMORAN EXPLORATION CO | COM | 582411104 | 229 | 14300 | SH | PUT | DEFINED | 05 |
| MEDTRONIC INC | COM | 585055106 | 295 | 7200 | SH | CALL | DEFINED | 05 |
| MERCK & CO INC NEW | COM | 58933Y105 | 360 | 8800 | SH | PUT | DEFINED | 05 |
| MERCK & CO INC NEW | COM | 58933Y105 | 2047 | 50000 | SH | PUT | DEFINED | 02 |
| MERCK & CO INC NEW | COM | 58933Y105 | 131 | 3200 | SH | CALL | DEFINED | 05 |
| MERCK & CO INC NEW | COM | 58933Y105 | 2845 | 69500 | SH | CALL | DEFINED | 05 |
| MERCK & CO INC NEW | COM | 58933Y105 | 1892 | 46225 | SH | | DEFINED | 02 |
| MERCK & CO INC NEW | COM | 58933Y105 | 172 | 4200 | SH | | DEFINED | 05 |
| MERGE HEALTHCARE INC | COM | 589499102 | 137 | 55463 | SH | | DEFINED | 02 |
| METLIFE INC | COM | 59156R108 | 345 | 10475 | SH | | DEFINED | 05 |
| METLIFE INC | COM | 59156R108 | 266 | 8100 | SH | PUT | DEFINED | 05 |
| METLIFE INC | COM | 59156R108 | 33 | 1000 | SH | CALL | DEFINED | 05 |
| METROPCS COMMUNICATIONS INC | COM | 591708102 | 50 | 5000 | SH | | DEFINED | 05 |
| METROPCS COMMUNICATIONS INC | COM | 591708102 | 6639 | 667900 | SH | CALL | DEFINED | 05 |
| MGM RESORTS INTERNATIONAL | COM | 552953101 | 163 | 14100 | SH | CALL | DEFINED | 05 |
| MICROSOFT CORP | COM | 594918104 | 185 | 6900 | SH | PUT | DEFINED | 05 |
| MICROSOFT CORP | COM | 594918104 | 249 | 9300 | SH | | DEFINED | 05 |
| MICROSOFT CORP | COM | 594918104 | 131 | 4900 | SH | CALL | DEFINED | 05 |
| MONSANTO CO NEW | COM | 61166W101 | 57 | 600 | SH | PUT | DEFINED | 05 |
| MONSANTO CO NEW | COM | 61166W101 | 331 | 3500 | SH | | DEFINED | 05 |
| MONSANTO CO NEW | COM | 61166W101 | 190 | 2000 | SH | CALL | DEFINED | 05 |
| MORGAN STANLEY | COM NEW | 617446448 | 11 | 600 | SH | | DEFINED | 05 |

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| MORGAN STANLEY | COM NEW | 617446448 | 606 | 31700 | SH | CALL | DEFINED | 05 |
| MORGAN STANLEY | COM NEW | 617446448 | 234 | 12200 | SH | PUT | DEFINED | 05 |
| MOSAIC CO NEW | COM | 61945C103 | 521 | 9200 | SH | PUT | DEFINED | 05 |
| MOSAIC CO NEW | COM | 61945C103 | 374 | 6600 | SH | CALL | DEFINED | 05 |
| MOTOROLA SOLUTIONS INC | COM NEW | 620076307 | 278 | 5000 | SH | PUT | DEFINED | 05 |
| MOTOROLA SOLUTIONS INC | COM NEW | 620076307 | 178 | 3200 | SH | | DEFINED | 05 |
| MYLAN INC | COM | 628530107 | 412 | 15000 | SH | | DEFINED | 05 |
| MYLAN INC | COM | 628530107 | 1922 | 70000 | SH | PUT | DEFINED | 05 |
| NETAPP INC | COM | 64110D104 | 207 | 6200 | SH | CALL | DEFINED | 05 |
| NETAPP INC | COM | 64110D104 | 302 | 9000 | SH | PUT | DEFINED | 05 |
| NEWMONT MINING CORP | COM | 651639106 | 227 | 4900 | SH | CALL | DEFINED | 05 |
| NEWMONT MINING CORP | COM | 651639106 | 456 | 9800 | SH | PUT | DEFINED | 05 |
| NEWMONT MINING CORP | COM | 651639106 | 303 | 6530 | SH | | DEFINED | 05 |
| NEXEN INC | COM | 65334H102 | 269 | 10000 | SH | | DEFINED | 05 |
| NEXEN INC | COM | 65334H102 | 943 | 35000 | SH | CALL | DEFINED | 05 |
| NEXEN INC | COM | 65334H102 | 6156 | 228500 | SH | PUT | DEFINED | 02 |
| NEXEN INC | COM | 65334H102 | 442 | 16400 | SH | PUT | DEFINED | 05 |
| NEXEN INC | COM | 65334H102 | 9455 | 350978 | SH | | DEFINED | 02 |
| NORTHWEST PIPE CO | COM | 667746101 | 733 | 30701 | SH | | DEFINED | 02 |
| NVIDIA CORP | COM | 67066G104 | 140 | 11500 | SH | PUT | DEFINED | 05 |
| NVIDIA CORP | COM | 67066G104 | 83 | 6900 | SH | CALL | DEFINED | 05 |
| NVIDIA CORP | COM | 67066G104 | 18 | 1500 | SH | | DEFINED | 05 |
| NYSE EURONEXT | COM | 629491101 | 997 | 31600 | SH | | DEFINED | 02 |
| NYSE EURONEXT | COM | 629491101 | 158 | 5000 | SH | | DEFINED | 05 |
| OCCIDENTAL PETE CORP DEL | COM | 674599105 | 324 | 4200 | SH | CALL | DEFINED | 05 |
| OCCIDENTAL PETE CORP DEL | COM | 674599105 | 353 | 4600 | SH | PUT | DEFINED | 05 |
| ONEOK PARTNERS LP | UNIT LTD PARTN | 68268N103 | 379 | 7015 | SH | | DEFINED | 02 |
| ORACLE CORP | COM | 68389X105 | 206 | 6200 | SH | CALL | DEFINED | 05 |
| PACKAGING CORP AMER | COM | 695156109 | 2732 | 71000 | SH | CALL | DEFINED | 05 |
| PANASONIC CORP | ADR | 69832A205 | 186 | 30700 | SH | CALL | DEFINED | 05 |
| PARKER HANNIFIN CORP | COM | 701094104 | 255 | 3000 | SH | CALL | DEFINED | 05 |
| PEABODY ENERGY CORP | COM | 704549104 | 211 | 7900 | SH | CALL | DEFINED | 05 |
| PEABODY ENERGY CORP | COM | 704549104 | 242 | 9100 | SH | PUT | DEFINED | 05 |
| PEPSICO INC | COM | 713448108 | 485 | 7100 | SH | CALL | DEFINED | 05 |
| PEPSICO INC | COM | 713448108 | 384 | 5600 | SH | PUT | DEFINED | 05 |
| PEPSICO INC | COM | 713448108 | 383 | 5600 | SH | | DEFINED | 05 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 14 | 704 | SH | | DEFINED | 05 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 2336 | 120000 | SH | CALL | DEFINED | 02 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 430 | 22100 | SH | CALL | DEFINED | 05 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 3018 | 155000 | SH | PUT | DEFINED | 02 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 38 | 2000 | SH | PUT | DEFINED | 05 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 2090 | 107320 | SH | | DEFINED | 02 |
| PHILIP MORRIS INTL INC | COM | 718172109 | 86 | 1028 | SH | | DEFINED | 05 |
| PHILIP MORRIS INTL INC | COM | 718172109 | 6022 | 72000 | SH | CALL | DEFINED | 02 |
| PHILIP MORRIS INTL INC | COM | 718172109 | 435 | 5200 | SH | CALL | DEFINED | 05 |
| PHILIP MORRIS INTL INC | COM | 718172109 | 652 | 7800 | SH | PUT | DEFINED | 05 |
| PLAINS ALL AMERN PIPELINE L | UNIT LTD PARTN | 726503105 | 1112 | 24570 | SH | | DEFINED | 02 |
| PLAINS EXPL& PRODTN CO | COM | 726505100 | 2460 | 52400 | SH | CALL | DEFINED | 02 |
| PLAINS EXPL& PRODTN CO | COM | 726505100 | 1832 | 39024 | SH | | DEFINED | 02 |
| PLAINS EXPL& PRODTN CO | COM | 726505100 | 117 | 2500 | SH | | DEFINED | 05 |
| PNC FINL SVCS GROUP INC | COM | 693475105 | 262 | 4500 | SH | PUT | DEFINED | 05 |
| PNC FINL SVCS GROUP INC | COM | 693475105 | 140 | 2400 | SH | | DEFINED | 05 |
| POTASH CORP SASK INC | COM | 73755L107 | 301 | 7406 | SH | | DEFINED | 05 |
| POTASH CORP SASK INC | COM | 73755L107 | 45 | 1100 | SH | CALL | DEFINED | 05 |
| POTASH CORP SASK INC | COM | 73755L107 | 382 | 9400 | SH | PUT | DEFINED | 05 |
| PROCERA NETWORKS INC | COM NEW | 74269U203 | 186 | 10000 | SH | | DEFINED | 02 |
| PROCTER & GAMBLE CO | COM | 742718109 | 109 | 1600 | SH | | DEFINED | 05 |
| PROCTER & GAMBLE CO | COM | 742718109 | 218 | 3200 | SH | CALL | DEFINED | 05 |
| PROCTER & GAMBLE CO | COM | 742718109 | 516 | 7600 | SH | PUT | DEFINED | 05 |
| PROSHARES TR | PSHS ULSHT SP500 | 74347B300 | 406 | 7500 | SH | | DEFINED | 02 |
| PSS WORLD MED INC | COM | 69366A100 | 9587 | 331962 | SH | | DEFINED | 02 |
| PSS WORLD MED INC | COM | 69366A100 | 1444 | 50000 | SH | CALL | DEFINED | 02 |

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| PULTE GROUP INC | COM | 745867101 | 283 | 15600 | SH | CALL | DEFINED | 05 |
| PVH CORP | COM | 693656100 | 7582 | 68300 | SH | CALL | DEFINED | 05 |
| QUALCOMM INC | COM | 747525103 | 176 | 2839 | SH | | DEFINED | 05 |
| QUALCOMM INC | COM | 747525103 | 391 | 6300 | SH | PUT | DEFINED | 05 |
| QUALCOMM INC | COM | 747525103 | 1022 | 16500 | SH | CALL | DEFINED | 05 |
| QUANTUM FUEL SYS TECH WORLDW | COM NEW | 74765E208 | 94 | 138230 | SH | | DEFINED | 02 |
| QUESTCOR PHARMACEUTICALS INC | COM | 74835Y101 | 668 | 25000 | SH | CALL | DEFINED | 05 |
| RALCORP HLDGS INC NEW | COM | 751028101 | 13143 | 146601 | SH | | DEFINED | 02 |
| RALCORP HLDGS INC NEW | COM | 751028101 | 143 | 1590 | SH | | DEFINED | 05 |
| RED HAT INC | COM | 756577102 | 80 | 1500 | SH | CALL | DEFINED | 05 |
| RED HAT INC | COM | 756577102 | 37 | 700 | SH | PUT | DEFINED | 05 |
| RED HAT INC | COM | 756577102 | 212 | 4000 | SH | | DEFINED | 05 |
| REGIONS FINL CORP NEW | COM | 7591EP100 | 75 | 10500 | SH | CALL | DEFINED | 05 |
| REMARK MEDIA INC | COM | 75954W107 | 269 | 154559 | SH | | DEFINED | 02 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 45 | 3800 | SH | PUT | DEFINED | 05 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 153 | 12898 | SH | | DEFINED | 02 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 96 | 8100 | SH | | DEFINED | 05 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 359 | 30300 | SH | CALL | DEFINED | 05 |
| RIVERBED TECHNOLOGY INC | COM | 768573107 | 1775 | 90000 | SH | PUT | DEFINED | 05 |
| RIVERBED TECHNOLOGY INC | COM | 768573107 | 39 | 2000 | SH | CALL | DEFINED | 05 |
| RIVERBED TECHNOLOGY INC | COM | 768573107 | 1460 | 74000 | SH | | DEFINED | 05 |
| RLJ ENTMT INC | COM | 74965F104 | 68 | 13503 | SH | | DEFINED | 02 |
| ROBBINS & MYERS INC | COM | 770196103 | 2378 | 40000 | SH | | DEFINED | 02 |
| ROBBINS & MYERS INC | COM | 770196103 | 119 | 2000 | SH | | DEFINED | 05 |
| ROCKWOOD HLDGS INC | COM | 774415103 | 1251 | 25300 | SH | CALL | DEFINED | 05 |
| SANCHEZ ENERGY CORP | COM | 79970Y105 | 14837 | 824260 | SH | | DEFINED | 01 |
| SANCHEZ ENERGY CORP | COM | 79970Y105 | 3247 | 180388 | SH | | DEFINED | 02 |
| SANDISK CORP | COM | 80004C101 | 484 | 11100 | SH | CALL | DEFINED | 05 |
| SANDISK CORP | COM | 80004C101 | 357 | 8200 | SH | PUT | DEFINED | 05 |
| SANDRIDGE ENERGY INC | COM | 80007P307 | 146 | 23000 | SH | CALL | DEFINED | 02 |
| SANDRIDGE ENERGY INC | COM | 80007P307 | 1211 | 190635 | SH | | DEFINED | 02 |
| SAUER-DANFOSS INC | COM | 804137107 | 817 | 15300 | SH | | DEFINED | 02 |
| SCHLUMBERGER LTD | COM | 806857108 | 347 | 5000 | SH | PUT | DEFINED | 05 |
| SCHLUMBERGER LTD | COM | 806857108 | 42 | 600 | SH | | DEFINED | 05 |
| SCHLUMBERGER LTD | COM | 806857108 | 742 | 10700 | SH | CALL | DEFINED | 05 |
| SCHWAB CHARLES CORP NEW | COM | 808513105 | 34 | 2400 | SH | PUT | DEFINED | 05 |
| SCHWAB CHARLES CORP NEW | COM | 808513105 | 41 | 2900 | SH | CALL | DEFINED | 05 |
| SCHWAB CHARLES CORP NEW | COM | 808513105 | 144 | 10000 | SH | | DEFINED | 02 |
| SCHWAB CHARLES CORP NEW | COM | 808513105 | 7 | 500 | SH | | DEFINED | 05 |
| SCIENTIFIC GAMES CORP | CL A | 80874P109 | 2573 | 296828 | SH | | DEFINED | 02 |
| SEAGATE TECHNOLOGY PLC | SHS | G7945M107 | 313 | 10300 | SH | CALL | DEFINED | 05 |
| SEAGATE TECHNOLOGY PLC | SHS | G7945M107 | 434 | 14300 | SH | PUT | DEFINED | 05 |
| SELECT SECTOR SPDR TR | SBI CONS DISCR | 81369Y407 | 316 | 6668 | SH | PUT | DEFINED | 03 |
| SELECT SECTOR SPDR TR | SBI INT-INDS | 81369Y704 | 1812 | 47800 | SH | | DEFINED | 05 |
| SELECT SECTOR SPDR TR | SBI INT-UTILS | 81369Y886 | 6562 | 187900 | SH | PUT | DEFINED | 02 |
| SELECT SECTOR SPDR TR | SBI INT-UTILS | 81369Y886 | 2992 | 85667 | SH | | DEFINED | 02 |
| SELECT SECTOR SPDR TR | SBI INT-UTILS | 81369Y886 | 8353 | 239200 | SH | CALL | DEFINED | 02 |
| SELECT SECTOR SPDR TR | TECHNOLOGY | 81369Y803 | 162 | 5624 | SH | CALL | DEFINED | 03 |
| SELECT SECTOR SPDR TR | TECHNOLOGY | 81369Y803 | 4322 | 149800 | SH | PUT | DEFINED | 05 |
| SHAW GROUP INC | COM | 820280105 | 382 | 8200 | SH | PUT | DEFINED | 05 |
| SHAW GROUP INC | COM | 820280105 | 117 | 2500 | SH | CALL | DEFINED | 05 |
| SHAW GROUP INC | COM | 820280105 | 937 | 20112 | SH | | DEFINED | 05 |
| SHAW GROUP INC | COM | 820280105 | 4586 | 98400 | SH | | DEFINED | 02 |
| SHIRE PLC | SPONSORED ADR | 82481R106 | 5161 | 56000 | SH | CALL | DEFINED | 05 |
| SIGA TECHNOLOGIES INC | COM | 826917106 | 1811 | 691112 | SH | | DEFINED | 02 |
| SILICON GRAPHICS INTL CORP | COM | 82706L108 | 51 | 5000 | SH | | DEFINED | 05 |
| SILICON GRAPHICS INTL CORP | COM | 82706L108 | 602 | 58800 | SH | PUT | DEFINED | 05 |
| SILVER WHEATON CORP | COM | 828336107 | 396 | 11000 | SH | CALL | DEFINED | 05 |
| SIRIUS XM RADIO INC | COM | 82967N108 | 289 | 100000 | SH | | DEFINED | 02 |
| SMITH & WESSON HLDG CORP | COM | 831756101 | 100 | 11800 | SH | PUT | DEFINED | 05 |
| SOLARWINDS INC | COM | 83416B109 | 1049 | 20000 | SH | PUT | DEFINED | 05 |
| SOUTHERN CO | COM | 842587107 | 278 | 6500 | SH | CALL | DEFINED | 05 |

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| SPDR GOLD TRUST | GOLD SHS | 78463V107 | 841 | 5190 | SH | DEFINED | 02 |
| SPDR S&P 500 ETF TR | TR UNIT | 78462F103 | 121 | 850 | SH | DEFINED | 05 |
| SPDR S&P 500 ETF TR | TR UNIT | 78462F103 | 28339 | 199000 | SH | CALL | DEFINED 02 |
| SPDR S&P 500 ETF TR | TR UNIT | 78462F103 | 313 | 2201 | SH | DEFINED | 02 |
| SPDR S&P 500 ETF TR | TR UNIT | 78462F103 | 24066 | 169000 | SH | PUT | DEFINED 02 |
| SPDR S&P MIDCAP 400 ETF TR | UTSER1 S&PDCRP | 78467Y107 | 49395 | 265980 | SH | DEFINED | 03 |
| SPDR SERIES TRUST | BRC HGH YLD BD | 78464A417 | 1657 | 40700 | SH | DEFINED | 02 |
| SPDR SERIES TRUST | S&P OILGAS EXP | 78464A730 | 39 | 718 | SH | DEFINED | 05 |
| SPRINT NEXTEL CORP | COM SER 1 | 852061100 | 6006 | 1059235 | SH | DEFINED | 02 |
| SPRINT NEXTEL CORP | COM SER 1 | 852061100 | 3629 | 640000 | SH | CALL | DEFINED 02 |
| SPRINT NEXTEL CORP | COM SER 1 | 852061100 | 7613 | 1342800 | SH | PUT | DEFINED 02 |
| SPROTT PHYSICAL GOLD TRUST | UNIT | 85207H104 | 426 | 30000 | SH | DEFINED | 02 |
| STARBUCKS CORP | COM | 855244109 | 435 | 8100 | SH | CALL | DEFINED 05 |
| STARBUCKS CORP | COM | 855244109 | 311 | 5800 | SH | PUT | DEFINED 05 |
| STERLING BANCORP | COM | 859158107 | 375 | 41157 | SH | DEFINED | 02 |
| SUBURBAN PROPANE PARTNERS L | UNIT LTD PARTN | 864482104 | 258 | 6647 | SH | DEFINED | 02 |
| SUNCOR ENERGY INC NEW | COM | 867224107 | 349 | 10600 | SH | CALL | DEFINED 05 |
| SUNOCO LOGISTICS PRTRNS L P | COM UNITS | 86764L108 | 1803 | 36259 | SH | DEFINED | 02 |
| SUNRISE SENIOR LIVING INC | COM | 86768K106 | 216 | 15000 | SH | DEFINED | 05 |
| SUNRISE SENIOR LIVING INC | COM | 86768K106 | 4347 | 302300 | SH | CALL | DEFINED 02 |
| SYMANTEC CORP | COM | 871503108 | 303 | 16100 | SH | CALL | DEFINED 05 |
| SYNERGY PHARMACEUTICALS DEL | *W EXP 12/06/201 | 871639118 | 308 | 128336 | SH | DEFINED | 02 |
| TARGET CORP | COM | 87612E106 | 379 | 6400 | SH | PUT | DEFINED 05 |
| TEAVANA HLDGS INC | COM | 87819P102 | 2093 | 135000 | SH | PUT | DEFINED 02 |
| TELEPHONE & DATA SYS INC | COM NEW | 879433829 | 1616 | 72970 | SH | DEFINED | 02 |
| THE ADT CORPORATION | COM | 00101J106 | 1348 | 29000 | SH | CALL | DEFINED 05 |
| THRESHOLD PHARMACEUTICAL INC | COM NEW | 885807206 | 84 | 20000 | SH | DEFINED | 02 |
| TOLL BROTHERS INC | COM | 889478103 | 3825 | 118300 | SH | CALL | DEFINED 05 |
| UNITED PARCEL SERVICE INC | CL B | 911312106 | 804 | 10900 | SH | CALL | DEFINED 05 |
| UNITED PARCEL SERVICE INC | CL B | 911312106 | 170 | 2300 | SH | PUT | DEFINED 05 |
| UNITED STATES STL CORP NEW | COM | 912909108 | 696 | 29200 | SH | CALL | DEFINED 05 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 343 | 4200 | SH | CALL | DEFINED 05 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 377 | 4600 | SH | PUT | DEFINED 05 |
| UNITEDHEALTH GROUP INC | COM | 91324P102 | 182 | 3348 | SH | DEFINED | 05 |
| UNITEDHEALTH GROUP INC | COM | 91324P102 | 173 | 3200 | SH | PUT | DEFINED 05 |
| UNITEDHEALTH GROUP INC | COM | 91324P102 | 434 | 8000 | SH | CALL | DEFINED 05 |
| USA TECHNOLOGIES INC | *W EXP 12/31/201 | 90328S195 | 258 | 306958 | SH | DEFINED | 02 |
| VALE S A | ADR | 91912E105 | 389 | 18600 | SH | CALL | DEFINED 05 |
| VALEANT PHARMACEUTICALS INTL | COM | 91911K102 | 2857 | 47800 | SH | CALL | DEFINED 05 |
| VANGUARD INTL EQUITY INDEX F | MSCI EMR MKT ETF | 922042858 | 42750 | 960031 | SH | DEFINED | 03 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 5261 | 121600 | SH | CALL | DEFINED 05 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 1042 | 24100 | SH | PUT | DEFINED 05 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 62 | 1444 | SH | DEFINED | 05 |
| VERTEX PHARMACEUTICALS INC | COM | 92532F100 | 193 | 4600 | SH | CALL | DEFINED 05 |
| VERTEX PHARMACEUTICALS INC | COM | 92532F100 | 1781 | 42500 | SH | PUT | DEFINED 05 |
| VERTEX PHARMACEUTICALS INC | COM | 92532F100 | 649 | 15500 | SH | DEFINED | 05 |
| VIRNETX HLDG CORP | COM | 92823T108 | 3815 | 130296 | SH | DEFINED | 02 |
| WALGREEN CO | COM | 931422109 | 621 | 16800 | SH | CALL | DEFINED 05 |
| WAL-MART STORES INC | COM | 931142103 | 709 | 10390 | SH | DEFINED | 02 |
| WAL-MART STORES INC | COM | 931142103 | 587 | 8600 | SH | PUT | DEFINED 05 |
| WAL-MART STORES INC | COM | 931142103 | 450 | 6600 | SH | PUT | DEFINED 02 |
| WAL-MART STORES INC | COM | 931142103 | 7 | 100 | SH | DEFINED | 05 |
| WAL-MART STORES INC | COM | 931142103 | 8700 | 127500 | SH | CALL | DEFINED 02 |
| WAL-MART STORES INC | COM | 931142103 | 1420 | 20800 | SH | CALL | DEFINED 05 |
| WALTER ENERGY INC | COM | 93317Q105 | 205 | 5700 | SH | DEFINED | 05 |
| WALTER ENERGY INC | COM | 93317Q105 | 151 | 4200 | SH | CALL | DEFINED 05 |
| WALTER ENERGY INC | COM | 93317Q105 | 140 | 3900 | SH | PUT | DEFINED 05 |
| WALTER INVT MGMT CORP | COM | 93317W102 | 488 | 11335 | SH | DEFINED | 02 |
| WARNACO GROUP INC | COM NEW | 934390402 | 1434 | 20036 | SH | DEFINED | 05 |
| WEATHERFORD INTERNATIONAL LT | REG SHS | H27013103 | 122 | 11000 | SH | CALL | DEFINED 05 |
| WELLPOINT INC | COM | 94973V107 | 237 | 3900 | SH | CALL | DEFINED 05 |
| WELLPOINT INC | COM | 94973V107 | 279 | 4600 | SH | PUT | DEFINED 05 |

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| | | | | | | | | |
|----------------------|----------------|-----------|------|-------|----|------|---------|----|
| WELLS FARGO & CO NEW | COM | 949746101 | 645 | 18900 | SH | CALL | DEFINED | 05 |
| WELLS FARGO & CO NEW | COM | 949746101 | 245 | 7200 | SH | PUT | DEFINED | 05 |
| WHOLE FOODS MKT INC | COM | 966837106 | 205 | 2245 | SH | | DEFINED | 05 |
| WHOLE FOODS MKT INC | COM | 966837106 | 300 | 3300 | SH | PUT | DEFINED | 05 |
| WISDOMTREE TRUST | DRYFS CURR ETF | 97717W133 | 379 | 17974 | SH | PUT | DEFINED | 03 |
| WYNN RESORTS LTD | COM | 983134107 | 269 | 2400 | SH | CALL | DEFINED | 05 |
| XILINX INC | COM | 983919101 | 226 | 6300 | SH | PUT | DEFINED | 05 |
| YAHOO INC | COM | 984332106 | 1520 | 76379 | SH | | DEFINED | 02 |
| YAHOO INC | COM | 984332106 | 155 | 7800 | SH | | DEFINED | 05 |
| YAHOO INC | COM | 984332106 | 676 | 33900 | SH | CALL | DEFINED | 05 |
| YAMANA GOLD INC | COM | 98462Y100 | 275 | 16000 | SH | | DEFINED | 05 |
| YAMANA GOLD INC | COM | 98462Y100 | 84 | 4900 | SH | CALL | DEFINED | 05 |
| YAMANA GOLD INC | COM | 98462Y100 | 244 | 14200 | SH | PUT | DEFINED | 05 |
| YM BIOSCIENCES INC | COM | 984238105 | 216 | 75360 | SH | | DEFINED | 02 |
| YM BIOSCIENCES INC | COM | 984238105 | 14 | 5001 | SH | | DEFINED | 05 |
| YUM BRANDS INC | COM | 988498101 | 557 | 8400 | SH | PUT | DEFINED | 05 |
| YUM BRANDS INC | COM | 988498101 | 466 | 7015 | SH | | DEFINED | 05 |
| YUM BRANDS INC | COM | 988498101 | 27 | 400 | SH | CALL | DEFINED | 05 |