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GLG Partners, Inc.
Form 13F-HR
May 18, 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: March 31, 2009

Check here if Amendment []; Amendment Number:
This Amendment (Check only one.): [] is a restatement.
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: GLG Partners, Inc.
Address: 390 Park Avenue, 20th Floor
New York, NY 10022

13F File Number: 028-13068

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Alejandro San Miguel
Title: General Counsel and Corporate Secretary
Phone: 212-224-7200

Signature, Place, and Date of Signing:

/s/ Alejandro San Miguel New York, NY May 12, 2009

CERTAIN SECTION 13(F) SECURITIES HAVE BEEN OMITTED FROM THIS REPORT BECAUSE THOSE SECURITIES ARE PART OF THE LEHMAN BANKRUPTCY AND THEREFORE THE INSTITUTIONAL INVESTMENT MANAGER FILING THIS FORM 13F (GLG) DOES NOT HAVE INVESTMENT DISCRETION OVER THOSE SECURITIES.

Report Type (Check only one.):

[X] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[] 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 2

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Form13F Information Table Entry Total: 389

Form13F Information Table Value Total: \$2,514,151 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

| No. | 13F File Number | Name |
|-----|-----------------|-----------------|
| 01 | 28-10979 | GLG Partners LP |
| 02 | 28-13070 | GLG Inc. |

| FORM 13F INFORMATION TABLE | | | | | | | | | |
|------------------------------|-----------------|-----------|--------------------|--------------------|------------|--------------|--------------------|-----|------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DSCRETN | OTH | MANA |
| ABB LTD | SPONSORED ADR | 000375204 | 15093 | 1082680 | SH | | DEFINED | | 01 |
| ABBOTT LABS | COM | 002824100 | 13101 | 274651 | SH | | DEFINED | | 01 |
| ACCENTURE LTD BERMUDA | CL A | G1150G111 | 2324 | 84545 | SH | | DEFINED | | 01 |
| ACE LTD | SHS | H0023R105 | 1893 | 46867 | SH | | DEFINED | | 01 |
| ACORDA THERAPEUTICS INC | COM | 00484M106 | 1981 | 100000 | SH | | DEFINED | | 01 |
| AERCAP HOLDINGS NV | SHS | N00985106 | 423 | 130000 | SH | | DEFINED | | 01 |
| AFFORDABLE RESIDENTIAL CMNTY | NOTE 7.500% 8/1 | 00828UAB9 | 14283 | 14500000 | PRN | | DEFINED | | 01 |
| AIR PRODS & CHEMS INC | COM | 009158106 | 3965 | 70485 | SH | | DEFINED | | 01 |
| AKAMAI TECHNOLOGIES INC | COM | 00971T101 | 466 | 24020 | SH | | DEFINED | | 01 |
| ALCON INC | COM SHS | H01301102 | 32121 | 380000 | SH | | DEFINED | | 01 |
| ALLEGHENY ENERGY INC | COM | 017361106 | 3396 | 146548 | SH | | DEFINED | | 01 |
| ALLERGAN INC | COM | 018490102 | 4163 | 87174 | SH | | DEFINED | | 01 |
| ALLERGAN INC | NOTE 1.500% 4/0 | 018490AL6 | 5951 | 5750000 | PRN | | DEFINED | | 01 |
| ALLSTATE CORP | COM | 020002101 | 1361 | 71045 | SH | | DEFINED | | 01 |
| ALTRIA GROUP INC | COM | 02209S103 | 4424 | 276163 | SH | | DEFINED | | 01 |
| AMAZON COM INC | COM | 023135106 | 1375 | 18717 | SH | | DEFINED | | 01 |
| AMDOCS LTD | ORD | G02602103 | 1015 | 60000 | SH | | DEFINED | | 01 |
| AMERICAN ELEC PWR INC | COM | 025537101 | 5305 | 210000 | SH | | DEFINED | | 01 |
| AMERICAN TOWER CORP | CL A | 029912201 | 2948 | 96889 | SH | | DEFINED | | 01 |
| AMERICREDIT CORP | COM | 03060R101 | 692 | 118100 | SH | PUT | DEFINED | | 01 |
| AMERICREDIT CORP | NOTE 2.125% 9/1 | 03060RAR2 | 3913 | 10000000 | PRN | | DEFINED | | 01 |
| AMGEN INC | COM | 031162100 | 27376 | 552825 | SH | | DEFINED | | 01 |
| AMGEN INC | NOTE 0.375% 2/0 | 031162AQ3 | 9392 | 10250000 | PRN | | DEFINED | | 01 |
| ANADARKO PETE CORP | COM | 032511107 | 1435 | 36894 | SH | | DEFINED | | 01 |
| ANNALY CAP MGMT INC | COM | 035710409 | 1884 | 135865 | SH | | DEFINED | | 01 |
| ANWORTH MORTGAGE ASSET CP | COM | 037347101 | 1226 | 200000 | SH | | DEFINED | | 01 |
| APACHE CORP | COM | 037411105 | 5251 | 81926 | SH | | DEFINED | | 01 |
| APOLLO GROUP INC | CL A | 037604105 | 1175 | 15000 | SH | | DEFINED | | 01 |
| APPLE INC | COM | 037833100 | 34686 | 329963 | SH | | DEFINED | | 01 |
| APPLIED MATLS INC | COM | 038222105 | 4236 | 394080 | SH | | DEFINED | | 01 |
| ARCELORMITTAL SA LUXEMBOURG | NY REGISTRY SH | 03938L104 | 1703 | 85000 | SH | | DEFINED | | 01 |
| ARCHER DANIELS MIDLAND CO | UNIT 99/99/9999 | 039483201 | 6979 | 190000 | SH | | DEFINED | | 01 |
| ARVINMERITOR INC | NOTE 4.625% 3/0 | 043353AF8 | 2325 | 10000000 | PRN | | DEFINED | | 01 |
| AT&T INC | COM | 00206R102 | 13101 | 519865 | SH | | DEFINED | | 01 |
| AU OPTRONICS CORP | SPONSORED ADR | 002255107 | 4111 | 490000 | SH | | DEFINED | | 01 |
| AUTOLIV INC | COM | 052800109 | 2043 | 110000 | SH | | DEFINED | | 01 |
| AUTOZONE INC | COM | 053332102 | 6749 | 41501 | SH | | DEFINED | | 01 |
| AVIS BUDGET GROUP | COM | 053774105 | 9098 | 320000 | SH | | DEFINED | | 01 |
| AVISTA CORP | COM | 05379B107 | 422 | 30633 | SH | | DEFINED | | 01 |
| BAKER HUGHES INC | COM | 057224107 | 267 | 9366 | SH | | DEFINED | | 01 |

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|------------------------------|-----------------|-----------|-------|----------|---------|---------|----|
| BANCORPSOUTH INC | COM | 059692103 | 2158 | 103541 | SH | DEFINED | 01 |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 3204 | 469757 | SH | DEFINED | 01 |
| BANK OF NEW YORK MELLON CORP | COM | 064058100 | 4407 | 155990 | SH | DEFINED | 01 |
| BARRICK GOLD CORP | COM | 067901108 | 421 | 13000 | SH | DEFINED | 01 |
| BAXTER INTL INC | COM | 071813109 | 5602 | 109371 | SH | DEFINED | 01 |
| BECKMAN COULTER INC | COM | 075811109 | 413 | 8088 | SH | DEFINED | 01 |
| BECTON DICKINSON & CO | COM | 075887109 | 2251 | 33473 | SH | DEFINED | 01 |
| BERKSHIRE HATHAWAY INC DEL | CL A | 084670108 | 2081 | 24 | SH | DEFINED | 01 |
| BEST BUY INC | COM | 086516101 | 1781 | 46912 | SH | DEFINED | 01 |
| BHP BILLITON LTD | SPONSORED ADR | 088606108 | 2522 | 56550 | SH | DEFINED | 01 |
| BIOGEN IDEC INC | COM | 09062X103 | 3204 | 61119 | SH | DEFINED | 01 |
| BIOMIMETIC THERAPEUTICS INC | COM | 09064X101 | 9412 | 1325682 | SH | DEFINED | 01 |
| BMC SOFTWARE INC | COM | 055921100 | 1375 | 41660 | SH | DEFINED | 01 |
| BOEING CO | COM | 097023105 | 5554 | 156090 | SH | DEFINED | 01 |
| BOSTON PPTYS LTD PARTNERSHIP | NOTE 2.875% 2/1 | 10112RAK0 | 17183 | 21750000 | PRN | DEFINED | 01 |
| BOSTON SCIENTIFIC CORP | COM | 101137107 | 1472 | 185139 | SH | DEFINED | 01 |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 631 | 28803 | SH | DEFINED | 01 |
| BROADCOM CORP | CL A | 111320107 | 1471 | 73603 | SH | DEFINED | 01 |
| BURLINGTON NORTHN SANTA FE C | COM | 12189T104 | 2637 | 43843 | SH | DEFINED | 01 |
| C H ROBINSON WORLDWIDE INC | COM NEW | 12541W209 | 947 | 20759 | SH | DEFINED | 01 |
| CA INC | COM | 12673P105 | 1136 | 64490 | SH | DEFINED | 01 |
| CABLEVISION SYS CORP | CL A NY CABLVS | 12686C109 | 745 | 57573 | SH | DEFINED | 01 |
| CADENCE PHARMACEUTICALS INC | COM | 12738T100 | 393 | 41893 | SH | DEFINED | 01 |
| CAMERON INTERNATIONAL CORP | COM | 13342B105 | 571 | 26055 | SH | DEFINED | 01 |
| CARDINAL HEALTH INC | COM | 14149Y108 | 2953 | 93816 | SH | DEFINED | 01 |
| CAREER EDUCATION CORP | COM | 141665109 | 30223 | 1261379 | SH | DEFINED | 01 |
| CAREER EDUCATION CORP | COM | 141665109 | 8146 | 340000 | SH PUT | DEFINED | 01 |
| CARRIZO OIL & CO INC | COM | 144577103 | 222 | 25000 | SH | DEFINED | 01 |
| CATERPILLAR INC DEL | COM | 149123101 | 1647 | 58899 | SH | DEFINED | 01 |
| CB RICHARD ELLIS GROUP INC | CL A | 12497T101 | 5944 | 1475000 | SH | DEFINED | 01 |
| CELGENE CORP | COM | 151020104 | 3805 | 85702 | SH | DEFINED | 01 |
| CF INDS HLDGS INC | COM | 125269100 | 18914 | 265901 | SH | DEFINED | 01 |
| CHENIERE ENERGY INC | NOTE 2.250% 8/0 | 16411RAE9 | 2512 | 10000000 | PRN | DEFINED | 01 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 12176 | 713744 | SH | DEFINED | 01 |
| CHEVRON CORP NEW | COM | 166764100 | 28699 | 426814 | SH | DEFINED | 01 |
| CHINA MED TECHNOLOGIES INC | NOTE 3.500%11/1 | 169483AB0 | 28699 | 426814 | PRN | DEFINED | 01 |
| CHUBB CORP | COM | 171232101 | 1990 | 47031 | SH | DEFINED | 01 |
| CHURCH & DWIGHT INC | COM | 171340102 | 11809 | 226090 | SH | DEFINED | 01 |
| CIENA CORP | COM NEW | 171779309 | 2223 | 285745 | SH | DEFINED | 01 |
| CISCO SYS INC | COM | 17275R102 | 38921 | 2320851 | SH | DEFINED | 01 |
| CIT GROUP INC | COM | 125581108 | 6237 | 2188568 | SH | DEFINED | 01 |
| CITIGROUP INC | COM | 172967101 | 1012 | 400000 | SH CALL | DEFINED | 01 |
| CITIGROUP INC | COM | 172967101 | 194 | 76500 | SH PUT | DEFINED | 01 |
| CLOROX CO DEL | COM | 189054109 | 2927 | 56860 | SH | DEFINED | 01 |
| CMS ENERGY CORP | COM | 125896100 | 2142 | 180927 | SH | DEFINED | 01 |
| COCA COLA CO | COM | 191216100 | 19306 | 439278 | SH | DEFINED | 01 |
| COEUR D ALENE MINES CORP IDA | NOTE 1.250% 1/1 | 192108AQ1 | 3075 | 5000000 | PRN | DEFINED | 01 |
| COEUR D ALENE MINES CORP IDA | NOTE 3.250% 3/1 | 192108AR9 | 2008 | 4500000 | PRN | DEFINED | 01 |
| COMCAST CORP NEW | CL A | 20030N101 | 4679 | 343054 | SH | DEFINED | 01 |
| COMERICA INC | COM | 200340107 | 12423 | 678509 | SH | DEFINED | 01 |
| COMPANHIA DE BEBIDAS DAS AME | SPON ADR PFD | 20441W203 | 2712 | 56788 | SH | DEFINED | 01 |
| COMPANHIA VALE DO RIO DOCE | SPON ADR PFD | 204412100 | 3368 | 298600 | SH | DEFINED | 01 |
| COMPANHIA VALE DO RIO DOCE | SPONSORED ADR | 204412209 | 1971 | 148222 | SH | DEFINED | 01 |
| CONOCOPHILLIPS | COM | 20825C104 | 6987 | 178421 | SH | DEFINED | 01 |
| CORNING INC | COM | 219350105 | 13965 | 1052362 | SH | DEFINED | 01 |
| COVIDIEN LTD | COM | G2552X108 | 4481 | 134797 | SH | DEFINED | 01 |
| CROWN HOLDINGS INC | COM | 228368106 | 3370 | 148275 | SH | DEFINED | 01 |
| CSX CORP | COM | 126408103 | 13874 | 536698 | SH | DEFINED | 01 |
| CURAGEN CORP | COM | 23126R101 | 560 | 132000 | SH | DEFINED | 01 |
| CV THERAPEUTICS INC | COM | 126667104 | 298 | 15000 | SH | DEFINED | 01 |
| CVS CAREMARK CORPORATION | COM | 126650100 | 21099 | 767529 | SH | DEFINED | 01 |
| DEERE & CO | COM | 244199105 | 2437 | 74140 | SH | DEFINED | 01 |

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| DELL INC | COM | 24702R101 | 6564 | 692400 | SH | | DEFINED | 01 |
| DEVELOPERS DIVERSIFIED RLTY | NOTE 3.000% 3/1 | 251591AS2 | 2375 | 5000000 | PRN | | DEFINED | 01 |
| DEVON ENERGY CORP NEW | COM | 25179M103 | 6141 | 137422 | SH | | DEFINED | 01 |
| DEVRY INC DEL | COM | 251893103 | 771 | 16000 | SH | | DEFINED | 01 |
| DIAMOND OFFSHORE DRILLING IN | COM | 25271C102 | 331 | 5266 | SH | | DEFINED | 01 |
| DIRECTV GROUP INC | COM | 25459L106 | 3205 | 140641 | SH | | DEFINED | 01 |
| DISCOVER FINL SVCS | COM | 254709108 | 15896 | 2519209 | SH | | DEFINED | 01 |
| DISNEY WALT CO | COM DISNEY | 254687106 | 2646 | 145693 | SH | | DEFINED | 01 |
| DOLAN MEDIA CO | COM | 25659P402 | 2840 | 360827 | SH | | DEFINED | 01 |
| DU PONT E I DE NEMOURS & CO | COM | 263534109 | 2020 | 90453 | SH | | DEFINED | 01 |
| DUKE ENERGY CORP NEW | COM | 26441C105 | 3682 | 257102 | SH | | DEFINED | 01 |
| E M C CORP MASS | COM | 268648102 | 3927 | 344510 | SH | | DEFINED | 01 |
| E M C CORP MASS | NOTE 1.750%12/0 | 268648AM4 | 11471 | 11750000 | PRN | | DEFINED | 01 |
| EDGE PETE CORP DEL | PFD CONV A | 279862205 | 48 | 50000 | SH | | DEFINED | 01 |
| EDISON INTL | COM | 281020107 | 1145 | 39758 | SH | | DEFINED | 01 |
| ELIXIR GAMING TECHNOLOGIES I | COM | 28661G105 | 179 | 1625000 | SH | | DEFINED | 01 |
| ENDEAVOR INTL CORP INC | NOTE 6.000% 1/1 | 29257MAB6 | 2494 | 4000000 | PRN | | DEFINED | 01 |
| ENSCO INTL INC | COM | 26874Q100 | 539 | 20403 | SH | | DEFINED | 01 |
| ENTERGY CORP NEW | COM | 29364G103 | 2657 | 39022 | SH | | DEFINED | 01 |
| ENZON PHARMACEUTICALS INC | NOTE 4.000% 6/0 | 293904AE8 | 8050 | 10000000 | PRN | | DEFINED | 01 |
| EOG RES INC | COM | 26875P101 | 8417 | 153709 | SH | | DEFINED | 01 |
| EQT CORP | COM | 26884L109 | 709 | 22640 | SH | | DEFINED | 01 |
| EQUINIX INC | COM NEW | 29444U502 | 1082 | 19264 | SH | | DEFINED | 01 |
| EQUITY RESIDENTIAL | SH BEN INT | 29476L107 | 198 | 10800 | SH | | DEFINED | 01 |
| EXELON CORP | COM | 30161N101 | 1951 | 42989 | SH | | DEFINED | 01 |
| EXPEDIA INC DEL | COM | 30212P105 | 908 | 100000 | SH | | DEFINED | 01 |
| EXPEDIA INC DEL | COM | 30212P105 | 908 | 100000 | SH | PUT | DEFINED | 01 |
| EXPEDITORS INTL WASH INC | COM | 302130109 | 726 | 25656 | SH | | DEFINED | 01 |
| EXXON MOBIL CORP | COM | 30231G102 | 15000 | 220270 | SH | | DEFINED | 01 |
| FIFTH THIRD BANCORP | COM | 316773100 | 879 | 301025 | SH | | DEFINED | 01 |
| FIRST SOLAR INC | COM | 336433107 | 1520 | 11456 | SH | | DEFINED | 01 |
| FLOTEK INDS INC DEL | NOTE 5.250% 2/1 | 343389AA0 | 1040 | 4000000 | PRN | | DEFINED | 01 |
| FMC TECHNOLOGIES INC | COM | 30249U101 | 1277 | 40695 | SH | | DEFINED | 01 |
| FORD MTR CO DEL | NOTE 4.250%12/1 | 345370CF5 | 10751 | 30000000 | PRN | | DEFINED | 01 |
| FORD MTR CO DEL | PUT | 345370950 | 885 | 336500 | SH | PUT | DEFINED | 01 |
| FOREST OIL CORP | COM PAR \$0.01 | 346091705 | 1175 | 89390 | SH | | DEFINED | 01 |
| FOSTER WHEELER AG | COM | H27178104 | 13189 | 754965 | SH | | DEFINED | 01 |
| FREEMPORT-MCMORAN COPPER & GO | PFD CONV | 35671D782 | 3434 | 53500 | SH | | DEFINED | 01 |
| FRESENIUS KABI PHARMACEUTHLD | RIGHT 06/30/2011 | 35802M115 | 148 | 400000 | SH | | DEFINED | 01 |
| GENERAL DYNAMICS CORP | COM | 369550108 | 1040 | 25000 | SH | | DEFINED | 01 |
| GENERAL ELECTRIC CO | COM | 369604103 | 3940 | 389673 | SH | | DEFINED | 01 |
| GENERAL ELECTRIC CO | COM | 369604103 | 3943 | 390000 | SH | PUT | DEFINED | 01 |
| GENERAL MLS INC | COM | 370334104 | 2359 | 47286 | SH | | DEFINED | 01 |
| GENERAL MTRS CORP | DEB SR CV C 33 | 370442717 | 1124 | 400000 | SH | | DEFINED | 01 |
| GENZYME CORP | COM | 372917104 | 4857 | 81776 | SH | | DEFINED | 01 |
| GILEAD SCIENCES INC | COM | 375558103 | 7713 | 166515 | SH | | DEFINED | 01 |
| GILEAD SCIENCES INC | NOTE 0.500% 5/0 | 375558AG8 | 3776 | 3000000 | PRN | | DEFINED | 01 |
| GLG PARTNERS INC | UNIT 12/28/2011 | 37929X206 | 253 | 90200 | SH | | DEFINED | 01 |
| GLOBAL CROSSING LTD | NOTE 5.000% 5/1 | 37932JAA1 | 5100 | 10000000 | PRN | | DEFINED | 01 |
| GOLDEN POND HEALTHCARE INC | *W EXP 11/06/201 | 38116J117 | 23 | 450000 | SH | | DEFINED | 01 |
| GOLDEN POND HEALTHCARE INC | COM | 38116J109 | 2155 | 284300 | SH | | DEFINED | 01 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 8482 | 80008 | SH | | DEFINED | 01 |
| GOODRICH CORP | COM | 382388106 | 11866 | 313181 | SH | | DEFINED | 01 |
| GOODRICH PETE CORP | COM NEW | 382410405 | 6568 | 339254 | SH | | DEFINED | 01 |
| GOOGLE INC | CL A | 38259P508 | 12321 | 35399 | SH | | DEFINED | 01 |
| HALLIBURTON CO | COM | 406216101 | 1055 | 68197 | SH | | DEFINED | 01 |
| HCP INC | COM | 40414L109 | 182 | 10200 | SH | | DEFINED | 01 |
| HECKMANN CORP | *W EXP 11/09/201 | 422680116 | 695 | 869150 | SH | | DEFINED | 01 |
| HEINZ H J CO | COM | 423074103 | 3299 | 99800 | SH | | DEFINED | 01 |
| HEINZ H J CO | COM | 423074103 | 6612 | 200000 | SH | CALL | DEFINED | 01 |
| HESS CORP | COM | 42809H107 | 1322 | 24386 | SH | | DEFINED | 01 |
| HEWLETT PACKARD CO | COM | 428236103 | 29235 | 911897 | SH | | DEFINED | 01 |

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| HONEYWELL INTL INC | COM | 438516106 | 2583 | 92715 | SH | DEFINED | 01 |
| HOST HOTELS & RESORTS INC | COM | 44107P104 | 82 | 21000 | SH | DEFINED | 01 |
| HUNTINGTON BANCSHARES INC | COM | 446150104 | 500 | 301025 | SH | DEFINED | 01 |
| IAMGOLD CORP | COM | 450913108 | 1667 | 195000 | SH | DEFINED | 01 |
| INCYTE CORP | COM | 45337C102 | 683 | 292027 | SH | DEFINED | 01 |
| INTEL CORP | SDCV 2.950%12/1 | 458140AD2 | 4377 | 5250000 | PRN | DEFINED | 01 |
| INTEL CORP | COM | 458140100 | 27920 | 1855149 | SH | DEFINED | 01 |
| INTERNATIONAL BUSINESS MACHS | COM | 459200101 | 716 | 7389 | SH | DEFINED | 01 |
| INTERNATIONAL COAL GRP INC N | NOTE 9.000% 8/0 | 45928HAD8 | 4377 | 5250000 | PRN | DEFINED | 01 |
| INTUIT | COM | 461202103 | 1189 | 44035 | SH | DEFINED | 01 |
| IPC HLDGS LTD | ORD | G4933P101 | 1878 | 69465 | SH | DEFINED | 01 |
| ISHARES INC | MSCI BRAZIL | 464286400 | 6598 | 175000 | SH | DEFINED | 01 |
| ISHARES INC | MSCI BRAZIL | 464286400 | 9757 | 258800 | SH | CALL | DEFINED 01 |
| ISHARES INC | MSCI S KOREA | 464286772 | 10405 | 365600 | SH | CALL | DEFINED 01 |
| ISHARES INC | MSCI TAIWAN | 464286731 | 190 | 23522 | SH | DEFINED | 01 |
| ISHARES TR | FTSE XNHUA IDX | 464287184 | 10545 | 369600 | SH | CALL | DEFINED 01 |
| ISHARES TR | MSCI EMERG MKT | 464287234 | 17204 | 693444 | SH | DEFINED | 01 |
| ISHARES TR | FTSE XNHUA IDX | 464287184 | 7898 | 276820 | SH | DEFINED | 01 |
| ISHARES TR | MSCI EMERG MKT | 464287234 | 10208 | 411430 | SH | CALL | DEFINED 01 |
| JETBLUE AIRWAYS CORP | COM | 477143101 | 4110 | 1126126 | SH | DEFINED | 01 |
| JOHNSON & JOHNSON | COM | 478160104 | 20237 | 384727 | SH | DEFINED | 01 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 42224 | 1588580 | SH | DEFINED | 01 |
| KELLOGG CO | COM | 487836108 | 2386 | 65149 | SH | DEFINED | 01 |
| KEYCORP NEW | COM | 493267108 | 6974 | 886169 | SH | DEFINED | 01 |
| KOHL'S CORP | COM | 500255104 | 4958 | 117155 | SH | DEFINED | 01 |
| KRAFT FOODS INC | CL A | 50075N104 | 4363 | 195758 | SH | DEFINED | 01 |
| KROGER CO | COM | 501044101 | 4046 | 190657 | SH | DEFINED | 01 |
| LIBERTY ACQUISITION HLDGS CO | UNIT 99/99/9999 | 53015Y206 | 3499 | 388800 | SH | DEFINED | 01 |
| LIBERTY MEDIA CORP | DEB 3.500% 1/1 | 530715AN1 | 8289 | 27000000 | PRN | DEFINED | 01 |
| LIBERTY MEDIA CORP NEW | ENT COM SER A | 53071M500 | 3799 | 190438 | SH | DEFINED | 01 |
| LILLY ELI & CO | COM | 532457108 | 2319 | 69417 | SH | DEFINED | 01 |
| LINEAR TECHNOLOGY CORP | NOTE 3.000% 5/0 | 535678AC0 | 1668 | 2000000 | PRN | DEFINED | 01 |
| LOCKHEED MARTIN CORP | COM | 539830109 | 1221 | 17689 | SH | DEFINED | 01 |
| LOWES COS INC | COM | 548661107 | 3449 | 188961 | SH | DEFINED | 01 |
| LUCENT TECHNOLOGIES INC | DBCX 2.750% 6/1 | 549463AG2 | 4580 | 5175000 | PRN | DEFINED | 01 |
| MARATHON OIL CORP | COM | 565849106 | 1772 | 67398 | SH | DEFINED | 01 |
| MARKET VECTORS ETF TR | GOLD MINER ETF | 57060U100 | 11064 | 300000 | SH | CALL | DEFINED 01 |
| MARKET VECTORS ETF TR | GOLD MINER ETF | 57060U100 | 36106 | 979000 | SH | PUT | DEFINED 01 |
| MASIMO CORP | COM | 574795100 | 725 | 25000 | SH | DEFINED | 01 |
| MASTERCARD INC | CL A | 57636Q104 | 2014 | 12024 | SH | DEFINED | 01 |
| MATTEL INC | COM | 577081102 | 782 | 67800 | SH | DEFINED | 01 |
| MCAFEE INC | COM | 579064106 | 1542 | 46020 | SH | DEFINED | 01 |
| MCGRAW HILL COS INC | COM | 580645109 | 435 | 19039 | SH | DEFINED | 01 |
| MECHEL OAO | SPONSORED ADR | 583840103 | 1592 | 381818 | SH | DEFINED | 01 |
| MEDTRONIC INC | COM | 585055106 | 4984 | 169137 | SH | DEFINED | 01 |
| MEDTRONIC INC | NOTE 1.625% 4/1 | 585055AM8 | 20438 | 22900000 | PRN | DEFINED | 01 |
| MEMC ELECTR MATLS INC | COM | 552715104 | 706 | 42799 | SH | DEFINED | 01 |
| MERCK & CO INC | COM | 589331107 | 7656 | 286199 | SH | DEFINED | 01 |
| METLIFE INC | COM | 59156R108 | 1973 | 86656 | SH | DEFINED | 01 |
| MFA FINANCIAL INC | COM | 55272X102 | 1029 | 175000 | SH | DEFINED | 01 |
| MICRON TECHNOLOGY INC | COM | 595112103 | 2371 | 583993 | SH | DEFINED | 01 |
| MICROSOFT CORP | COM | 594918104 | 17153 | 933769 | SH | DEFINED | 01 |
| MICROVISION INC DEL | COM | 594960106 | 488 | 156000 | SH | DEFINED | 01 |
| MINDRAY MEDICAL INTL LTD | SPON ADR | 602675100 | 337 | 18196 | SH | DEFINED | 01 |
| MONRO MUFFLER BRAKE INC | COM | 610236101 | 1367 | 50000 | SH | DEFINED | 01 |
| MORGAN STANLEY | COM NEW | 617446448 | 1861 | 81717 | SH | DEFINED | 01 |
| MOSAIC CO | COM | 61945A107 | 21833 | 520070 | SH | DEFINED | 01 |
| MOSAIC CO | COM | 61945A107 | 41980 | 1000000 | SH | CALL | DEFINED 01 |
| MOSAIC CO | COM | 61945A107 | 25188 | 600000 | SH | PUT | DEFINED 01 |
| MURPHY OIL CORP | COM | 626717102 | 1166 | 26055 | SH | DEFINED | 01 |
| MYLAN INC | PFD CONV | 628530206 | 5748 | 6650 | SH | DEFINED | 01 |
| NABORS INDS INC | NOTE 0.940% 5/1 | 629568AP1 | 19936 | 22400000 | PRN | DEFINED | 01 |

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| NABORS INDUSTRIES LTD | SHS | G6359F103 | 409 | 40968 | SH | DEFINED | 01 |
| NATIONAL CITY CORP | NOTE 4.000% 2/0 | 635405AW3 | 17317 | 18900000 | PRN | DEFINED | 01 |
| NETAPP INC | COM | 64110D104 | 816 | 55000 | SH | DEFINED | 01 |
| NETFLIX INC | COM | 64110L106 | 7446 | 173476 | SH | DEFINED | 01 |
| NEWMONT MINING CORP | NOTE 1.250% 7/1 | 651639AJ5 | 4139 | 3500000 | PRN | DEFINED | 01 |
| NEWMONT MINING CORP | COM | 651639106 | 1564 | 34935 | SH | DEFINED | 01 |
| NEWMONT MINING CORP | COM | 651639106 | 11190 | 250000 | SH | CALL | DEFINED 01 |
| NII HLDGS INC | NOTE 3.125% 6/1 | 62913FAJ1 | 5600 | 8000000 | PRN | DEFINED | 01 |
| NIKE INC | CL B | 654106103 | 394 | 8400 | SH | DEFINED | 01 |
| NOBLE CORPORATION | SHS | G65422100 | 4056 | 168350 | SH | DEFINED | 01 |
| NOBLE ENERGY INC | COM | 655044105 | 1268 | 23543 | SH | DEFINED | 01 |
| NORDSTROM INC | COM | 655664100 | 3350 | 200000 | SH | PUT | DEFINED 01 |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 1684 | 49897 | SH | DEFINED | 01 |
| NORTHEAST UTILS | COM | 664397106 | 6353 | 294239 | SH | DEFINED | 01 |
| NORTHERN TR CORP | COM | 665859104 | 1619 | 27065 | SH | DEFINED | 01 |
| NOVA BIOSOURCE FUELS INC | NOTE 10.000% 9/3 | 65488WAC7 | 263 | 3500000 | PRN | DEFINED | 01 |
| NOVA CHEMICALS CORP | COM | 66977W109 | 462 | 80000 | SH | DEFINED | 01 |
| NOVELLUS SYS INC | COM | 670008101 | 3043 | 183000 | SH | DEFINED | 01 |
| NPS PHARMACEUTICALS INC | COM | 62936P103 | 13527 | 3220814 | SH | DEFINED | 01 |
| NVIDIA CORP | COM | 67066G104 | 4122 | 418057 | SH | DEFINED | 01 |
| OCCIDENTAL PETE CORP DEL | COM | 674599105 | 5267 | 94643 | SH | DEFINED | 01 |
| ODYSSEY MARINE EXPLORATION I | COM | 676118102 | 10869 | 3206076 | SH | DEFINED | 01 |
| OMNICARE INC | DBCV 3.250%12/1 | 681904AL2 | 1976 | 3000000 | PRN | DEFINED | 01 |
| OPTIMER PHARMACEUTICALS INC | COM | 68401H104 | 198 | 15000 | SH | DEFINED | 01 |
| ORACLE CORP | COM | 68389X105 | 9015 | 498904 | SH | DEFINED | 01 |
| OSCIENT PHARMACEUTICALS CORP | COM NEW | 68812R303 | 103 | 859539 | SH | DEFINED | 01 |
| PATTERSON UTI ENERGY INC | COM | 703481101 | 211 | 23527 | SH | DEFINED | 01 |
| PEABODY ENERGY CORP | COM | 704549104 | 1113 | 44463 | SH | DEFINED | 01 |
| PENN VA CORP | COM | 707882106 | 1098 | 100000 | SH | DEFINED | 01 |
| PENNEY J C INC | COM | 708160106 | 4014 | 200000 | SH | PUT | DEFINED 01 |
| PEOPLES UNITED FINANCIAL INC | COM | 712704105 | 2509 | 139618 | SH | DEFINED | 01 |
| PEPSI BOTTLING GROUP INC | COM | 713409100 | 5807 | 262273 | SH | DEFINED | 01 |
| PEPSICO INC | COM | 713448108 | 10276 | 199607 | SH | DEFINED | 01 |
| PETROHAWK ENERGY CORP | COM | 716495106 | 5619 | 292181 | SH | DEFINED | 01 |
| PETROLEO BRASILEIRO SA PETRO | SP ADR NON VTG | 71654V101 | 16035 | 654500 | SH | DEFINED | 01 |
| PETROLEO BRASILEIRO SA PETRO | SPONSORED ADR | 71654V408 | 229 | 7500 | SH | DEFINED | 01 |
| PFIZER INC | COM | 717081103 | 40964 | 3007622 | SH | DEFINED | 01 |
| PG&E CORP | COM | 69331C108 | 3496 | 91469 | SH | DEFINED | 01 |
| PHILIP MORRIS INTL INC | COM | 718172109 | 4505 | 126627 | SH | DEFINED | 01 |
| PIONEER NAT RES CO | COM | 723787107 | 2168 | 40000 | SH | DEFINED | 01 |
| PLAINS EXPL& PRODTN CO | COM | 726505100 | 9304 | 539984 | SH | DEFINED | 01 |
| POTASH CORP SASK INC | COM | 73755L107 | 15324 | 189633 | SH | DEFINED | 01 |
| POTASH CORP SASK INC | COM | 73755L107 | 99155 | 1227000 | SH | CALL | DEFINED 01 |
| POTASH CORP SASK INC | COM | 73755L107 | 34344 | 425000 | SH | PUT | DEFINED 01 |
| PPL CORP | COM | 69351T106 | 2525 | 87943 | SH | DEFINED | 01 |
| PRECISION CASTPARTS CORP | COM | 740189105 | 10697 | 178581 | SH | DEFINED | 01 |
| PRICE T ROWE GROUP INC | COM | 74144T108 | 294 | 10200 | SH | DEFINED | 01 |
| PRICELINE COM INC | COM NEW | 741503403 | 1970 | 25000 | SH | DEFINED | 01 |
| PROCTER & GAMBLE CO | COM | 742718109 | 11061 | 234883 | SH | DEFINED | 01 |
| PROGRESS ENERGY INC | COM | 743263105 | 2813 | 77586 | SH | DEFINED | 01 |
| PROLOGIS | NOTE 2.250% 4/0 | 743410AQ5 | 8232 | 15000000 | PRN | DEFINED | 01 |
| PROLOGIS | NOTE 1.875%11/1 | 743410AR3 | 6359 | 12500000 | PRN | DEFINED | 01 |
| PROSHARES TR | PSHS ULTSHT FINL | 74347R628 | 1472 | 15000 | SH | CALL | DEFINED 01 |
| PUBLIC STORAGE | COM | 74460D109 | 265 | 4800 | SH | DEFINED | 01 |
| PUBLIC SVC ENTERPRISE GROUP | COM | 744573106 | 9996 | 339197 | SH | DEFINED | 01 |
| QUALCOMM INC | COM | 747525103 | 25501 | 655381 | SH | DEFINED | 01 |
| QUICKSILVER RESOURCES INC | COM | 74837R104 | 2062 | 372173 | SH | DEFINED | 01 |
| QWEST COMMUNICATIONS INTL IN | COM | 749121109 | 3928 | 1148402 | SH | DEFINED | 01 |
| RANGE RES CORP | COM | 75281A109 | 832 | 20220 | SH | DEFINED | 01 |
| REGAL ENTMT GROUP | CL A | 758766109 | 1472 | 109804 | SH | DEFINED | 01 |
| RENAISSANCERE HOLDINGS LTD | COM | G7496G103 | 2862 | 57888 | SH | DEFINED | 01 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 7649 | 177600 | SH | DEFINED | 01 |

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| RF MICRODEVICES INC | NOTE 1.000% 4/1 | 749941AJ9 | 1631 | 3000000 | PRN | | DEFINED | 01 |
| ROHM & HAAS CO | COM | 775371107 | 788 | 10000 | SH | | DEFINED | 01 |
| SANDISK CORP | COM | 80004C101 | 2152 | 170144 | SH | | DEFINED | 01 |
| SANDISK CORP | NOTE 1.000% 5/1 | 80004CAC5 | 1528 | 2500000 | PRN | | DEFINED | 01 |
| SCANA CORP NEW | COM | 80589M102 | 464 | 15006 | SH | | DEFINED | 01 |
| SCHERING PLOUGH CORP | COM | 806605101 | 5125 | 217642 | SH | | DEFINED | 01 |
| SCHLUMBERGER LTD | COM | 806857108 | 4428 | 109018 | SH | | DEFINED | 01 |
| SCHLUMBERGER LTD | DBCV 2.125% 6/0 | 806857AD0 | 4995 | 4000000 | PRN | | DEFINED | 01 |
| SCHWAB CHARLES CORP NEW | COM | 808513105 | 13902 | 896932 | SH | | DEFINED | 01 |
| SELECT SECTOR SPDR TR | SBI INT-ENERGY | 81369Y506 | 4671 | 110000 | SH | | DEFINED | 01 |
| SELECT SECTOR SPDR TR | SBI INT-FINL | 81369Y605 | 64702 | 7344195 | SH | | DEFINED | 01 |
| SELECT SECTOR SPDR TR | SBI INT-FINL | 81369Y605 | 13215 | 1500000 | SH | CALL | DEFINED | 01 |
| SELECT SECTOR SPDR TR | SBI MATERIALS | 81369Y100 | 29984 | 1350000 | SH | CALL | DEFINED | 01 |
| SELECT SECTOR SPDR TR | SBI MATERIALS | 81369Y100 | 18879 | 850000 | SH | PUT | DEFINED | 01 |
| SEMICONDUCTOR HLDRS TR | DEP RCPT | 816636203 | 24497 | 1310000 | SH | | DEFINED | 01 |
| SESI L L C | NOTE 1.500%12/1 | 78412FAH7 | 24497 | 1310000 | PRN | | DEFINED | 01 |
| SIMON PTY GROUP INC NEW | COM | 828806109 | 313 | 9028 | SH | | DEFINED | 01 |
| SINCLAIR BROADCAST GROUP INC | NOTE 3.000% 5/1 | 829226AW9 | 5400 | 10000000 | PRN | | DEFINED | 01 |
| SMITH INTL INC | COM | 832110100 | 7517 | 349945 | SH | | DEFINED | 01 |
| SOLARFUN POWER HOLDINGS CO L | SPONSORED ADR | 83415U108 | 455 | 108500 | SH | | DEFINED | 01 |
| SOUTHERN CO | COM | 842587107 | 3053 | 99717 | SH | | DEFINED | 01 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 4861 | 163721 | SH | | DEFINED | 01 |
| SPDR GOLD TRUST | GOLD SHS | 78463V107 | 4877 | 54021 | SH | | DEFINED | 01 |
| SPDR GOLD TRUST | GOLD SHS | 78463V107 | 2257 | 25000 | SH | CALL | DEFINED | 01 |
| SPDR SERIES TRUST | S&P HOMEBUILD | 78464A888 | 1593 | 150000 | SH | | DEFINED | 01 |
| SPDR SERIES TRUST | S&P RETAIL ETF | 78464A714 | 47037 | 2053140 | SH | | DEFINED | 01 |
| SPECTRA ENERGY CORP | COM | 847560109 | 1495 | 105726 | SH | | DEFINED | 01 |
| SPRINT NEXTEL CORP | COM SER 1 | 852061100 | 3575 | 1001274 | SH | | DEFINED | 01 |
| SPX CORP | COM | 784635104 | 24135 | 513395 | SH | | DEFINED | 01 |
| ST JUDE MED INC | COM | 790849103 | 2564 | 70565 | SH | | DEFINED | 01 |
| STATE STR CORP | COM | 857477103 | 2227 | 72337 | SH | | DEFINED | 01 |
| STEEL DYNAMICS INC | COM | 858119100 | 12038 | 1366390 | SH | | DEFINED | 01 |
| STONELEIGH PARTNERS ACQUS CO | *W EXP 05/31/201 | 861923126 | 0 | 1120000 | SH | | DEFINED | 01 |
| STREAM GLOBAL SVCS INC | *W EXP 10/17/201 | 86323M118 | 30 | 300000 | SH | | DEFINED | 01 |
| STRYKER CORP | COM | 863667101 | 671 | 19726 | SH | | DEFINED | 01 |
| SUN MICROSYSTEMS INC | COM NEW | 866810203 | 726 | 99220 | SH | | DEFINED | 01 |
| SUNPOWER CORP | DBCV 0.750% 8/0 | 867652AB5 | 7470 | 9000000 | PRN | | DEFINED | 01 |
| SUNPOWER CORP | COM CL A | 867652109 | 354 | 14877 | SH | | DEFINED | 01 |
| SUNPOWER CORP | COM CL B | 867652307 | 372 | 18798 | SH | | DEFINED | 01 |
| SUNTECH PWR HLDGS CO LTD | NOTE 0.250% 2/1 | 86800CAC8 | 4563 | 5000000 | PRN | | DEFINED | 01 |
| SUNTRUST BKS INC | COM | 867914103 | 2054 | 174955 | SH | | DEFINED | 01 |
| SYMANTEC CORP | COM | 871503108 | 3074 | 205754 | SH | | DEFINED | 01 |
| SYMANTEC CORP | NOTE 0.750% 6/1 | 871503AD0 | 14584 | 14750000 | PRN | | DEFINED | 01 |
| SYNOVUS FINL CORP | COM | 87161C105 | 521 | 160236 | SH | | DEFINED | 01 |
| TAIWAN SEMICONDUCTOR MFG LTD | SPONSORED ADR | 874039100 | 1074 | 120000 | SH | | DEFINED | 01 |
| TARGET CORP | COM | 87612e106 | 3439 | 100000 | SH | PUT | DEFINED | 01 |
| TD AMERITRADE HLDG CORP | COM | 87236Y108 | 1381 | 100000 | SH | | DEFINED | 01 |
| TERRA INDS INC | COM | 880915103 | 18320 | 652194 | SH | | DEFINED | 01 |
| TEVA PHARMACEUTICAL INDS LTD | ADR | 881624209 | 9821 | 218000 | SH | CALL | DEFINED | 01 |
| TEXAS INSTRS INC | COM | 882508104 | 5264 | 318832 | SH | | DEFINED | 01 |
| TIME WARNER CABLE INC | COM | 88732J207 | 527 | 21255 | SH | | DEFINED | 01 |
| TIME WARNER INC | COM | 887317105 | 4983 | 258161 | SH | | DEFINED | 01 |
| TM ENTMT & MEDIA INC | *W EXP 10/17/201 | 87260T116 | 43 | 425000 | SH | | DEFINED | 01 |
| TRANSOCEAN INC | NOTE 1.500%12/1 | 893830AW9 | 23310 | 28000000 | PRN | | DEFINED | 01 |
| TRANSOCEAN LTD | REG SHS | H8817H100 | 2399 | 40777 | SH | | DEFINED | 01 |
| TRAVELERS COMPANIES INC | COM | 89417E109 | 1012 | 24891 | SH | | DEFINED | 01 |
| TREX INC | NOTE 6.000% 7/0 | 89531PAA3 | 1313 | 2500000 | PRN | | DEFINED | 01 |
| TRIAN ACQUISITION I CORP | *W EXP 01/23/201 | 89582E116 | 400 | 2000000 | SH | | DEFINED | 01 |
| TRIAN ACQUISITION I CORP | UNIT 99/99/9999 | 89582E207 | 3654 | 387500 | SH | | DEFINED | 01 |
| TYCO INTL LTD BERMUDA | SHS | G9143X208 | 3179 | 162500 | SH | | DEFINED | 01 |
| U S G CORP | COM NEW | 903293405 | 571 | 75000 | SH | | DEFINED | 01 |
| UAL CORP | COM NEW | 902549807 | 246 | 80000 | SH | | DEFINED | 01 |

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| UAL CORP | DBCV 5.000% 2/0 | 902549AE4 | 2381 | 6000000 | PRN | DEFINED | 01 |
| ULTRA PETROLEUM CORP | COM | 903914109 | 4294 | 119637 | SH | DEFINED | 01 |
| UNION PAC CORP | COM | 907818108 | 4526 | 110083 | SH | DEFINED | 01 |
| UNITED STATES NATL GAS FUND | UNIT | 912318102 | 760 | 50000 | SH | DEFINED | 01 |
| UNITED STATES OIL FUND LP | UNITS | 91232N108 | 2905 | 100000 | SH | DEFINED | 01 |
| UNITED STATES STL CORP NEW | COM | 912909108 | 845 | 40000 | SH | DEFINED | 01 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 3475 | 80852 | SH | DEFINED | 01 |
| UNITEDHEALTH GROUP INC | COM | 91324P102 | 5409 | 258443 | SH | DEFINED | 01 |
| USEC INC | NOTE 3.000%10/0 | 90333EAC2 | 7253 | 13000000 | PRN | DEFINED | 01 |
| UTSTARCOM INC | COM | 918076100 | 104 | 40000 | SH | DEFINED | 01 |
| VALIDUS HOLDINGS LTD | COM SHS | G9319H102 | 2704 | 114200 | SH | DEFINED | 01 |
| VALUECLICK INC | COM | 92046N102 | 638 | 75000 | SH | DEFINED | 01 |
| VANTAGE DRILLING COMPANY | *W EXP 05/24/201 | G93205121 | 55 | 550000 | SH | DEFINED | 01 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 11616 | 384635 | SH | DEFINED | 01 |
| VIRGIN MEDIA INC | COM | 92769L101 | 10599 | 2208038 | SH | DEFINED | 01 |
| VISA INC | COM CL A | 92826C839 | 3299 | 59328 | SH | DEFINED | 01 |
| VORNADO RLTY L P | DEB 3.875% 4/1 | 929043AC1 | 1585 | 2000000 | PRN | DEFINED | 01 |
| VORNADO RLTY TR | DBCV 2.850% 4/0 | 929042AC3 | 6661 | 8750000 | PRN | DEFINED | 01 |
| VULCAN MATLS CO | COM | 929160109 | 2879 | 65000 | SH | DEFINED | 01 |
| WAL MART STORES INC | COM | 931142103 | 16679 | 320125 | SH | DEFINED | 01 |
| WALTER INDS INC | COM | 93317Q105 | 435 | 19000 | SH | DEFINED | 01 |
| WEATHERFORD INTERNATIONAL LT | REG | H27013103 | 2196 | 198360 | SH | DEFINED | 01 |
| WEBMD CORP | NOTE 1.750% 6/1 | 94769MAE5 | 4719 | 5000000 | PRN | DEFINED | 01 |
| WEBMD CORP | NOTE 3.125% 9/0 | 94769MAG0 | 5561 | 6600000 | PRN | DEFINED | 01 |
| WELLPOINT INC | COM | 94973V107 | 13144 | 346180 | SH | DEFINED | 01 |
| WELLS FARGO & CO NEW | COM | 949746101 | 4352 | 305607 | SH | DEFINED | 01 |
| WELLS FARGO & CO NEW | PERP PFD CNV A | 949746804 | 7653 | 15978 | SH | DEFINED | 01 |
| WESCO INTL INC | NOTE 1.750%11/1 | 95082PAG0 | 1180 | 65138 | PRN | DEFINED | 01 |
| WESCO INTL INC | COM | 95082P105 | 1180 | 65138 | SH | DEFINED | 01 |
| WESTAR ENERGY INC | COM | 95709T100 | 2467 | 140756 | SH | DEFINED | 01 |
| WILLIAMS COS INC DEL | COM | 969457100 | 644 | 56625 | SH | DEFINED | 01 |
| WINDSTREAM CORP | COM | 97381W104 | 10298 | 1277653 | SH | DEFINED | 01 |
| WISCONSIN ENERGY CORP | COM | 976657106 | 1441 | 35000 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 41884 | 973211 | SH | DEFINED | 01 |
| XCEL ENERGY INC | COM | 98389B100 | 2769 | 148616 | SH | DEFINED | 01 |
| XTO ENERGY INC | COM | 98385X106 | 13091 | 427516 | SH | DEFINED | 01 |
| YAHOO INC | COM | 984332106 | 2147 | 167573 | SH | DEFINED | 01 |
| ZBB ENERGY CORPORATION | COM | 98876R204 | 1278 | 1503340 | SH | DEFINED | 01 |
| ZIMMER HLDGS INC | COM | 98956P102 | 1160 | 31777 | SH | DEFINED | 01 |