CITY OF LONDON INVESTMENT GROUP PLC

Form 4

September 20, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per response...

123,783 (13) I

Estimated average 0.5

Check this box if no longer subject to Section 16. Form 4 or

SECURITIES

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

value

\$.01 per

share (2)

(Print or Type Responses)

1. Name and Address of Reporting Pe CITY OF LONDON INVESTI GROUP PLC		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Microsoft GRACECHURCH STREET)	ddle) 3. Date of Earliest Transaction (Month/Day/Year)	Director X 10% Owner Officer (give title below) Other (specify below)			
(Street) LONDON, X0 EC3V0AS	4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing Applicable Line) Form filed by One Reporting Pers _X_ Form filed by More than One Reperson				
(City) (State) (Z	Table I - Non-Derivative Securities Ac	equired, Disposed of, or Beneficially Owned			
(Instr. 3) ar	xecution Date, if Transaction(A) or Disposed of (D)	5. Amount of 6. 7. Nature of Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)			
Common Stock, par value \$.01 per share (1)		$ \begin{array}{ccc} & \text{As} \\ & \text{investment} \\ & \text{adviser to a} \\ & \text{private} \\ & \text{investment} \\ & \text{fund} \underline{\binom{14}{1}} \end{array} $			
Common Stock, par		As investment adviser to a			

private

fund (14)

investment

Common Stock, par value \$.01 per share (3)	09/19/2018	09/19/2018	S	17,500	D	\$ 12.21	190,041 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (4)							192,951 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (5)							201,819 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (6)							85,565 <u>(13)</u>	I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (14)
Common Stock, par value \$.01 per share (7)							213,297 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (8)							219,076 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (9)							69,811 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value							60,174 (13)	I	As investment adviser to a

\$.01 per share (10)			private investment fund (14)
Common Stock, par value \$.01 per share (11)	10,746 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (12)	1,319,950 (13)	I	As investment adviser to unaffiliated third-party segregated accounts (14)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivativ	e		Securit	ties	(Instr. 5)
	Derivative				Securities	;		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date	Title	Number	
				a 1 1					of	
				Code '	V (A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
CITY OF LONDON INVESTMENT GROUP PLC							

77 GRACECHURCH STREET LONDON, X0 EC3V0AS X

Reporting Owners 3

CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON, X0 EC3V0AS

X

Signatures

/s/ Barry M. Olliff, Director - City of London Group PLC

09/20/2018

**Signature of Reporting Person

Date

/s/ Barry M. Olliff, Director - City of London Investment Management Company Limited

09/20/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned EM Special Situations CEF Focused Fund.
- (12) These securities are beneficially owned by 10 unaffiliated third-party segregated accounts.
- (13) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.
- As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company (14) Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 10 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4