

Edgar Filing: TAIWAN FUND INC - Form SC 13D/A

TAIWAN FUND INC  
Form SC 13D/A  
February 06, 2006

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13D  
(Rule 13d-101)  
(Amendment No. 4)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT  
TO RULE 13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO  
RULE 13d-2(a)

The Taiwan Fund, Inc  
(Name of Issuer)

Common Stock, par value \$.01 per share  
(Title of Class of Securities)

874036106  
(CUSIP Number)

Barry M. Olliff  
c/o City of London Investment Management Company Limited  
10 Eastcheap, London EC3M 1LX, England  
+44 207 711 0771

(Name, Address and Telephone Number of Person Authorized to Receive Notices  
and Communications)

January 31, 2006  
(Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report  
the acquisition which is the subject of this Schedule 13D, and is filing this  
schedule because of Rule 13d-1(e), (f) or (g), check the following box / /.

\*The remainder of this cover page shall be filled out for a reporting person's  
initial filing on this form with respect to the subject class of securities, and  
for any subsequent amendment containing information which would alter  
disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed  
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of  
1934 or otherwise subject to the liabilities of that section of the Act but  
shall be subject to all other provisions of the Act.

=====

|                     |     |             |
|---------------------|-----|-------------|
| CUSIP NO. 874036106 | 13D | PAGE 2 of 5 |
| =====               |     | =====       |

=====  
1        NAME OF REPORTING PERSONS  
         S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS  
  
         City of London Investment Group PLC, a company incorporated under  
         the laws of England and Wales.

Edgar Filing: TAIWAN FUND INC - Form SC 13D/A

-----  
 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (A)   
 (B)

-----  
 3 SEC USE ONLY

-----  
 4 SOURCE OF FUNDS\*  
 OO

-----  
 5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT  
 TO ITEM 2(d) OR 2(e)

-----  
 6 CITIZENSHIP OR PLACE OF ORGANIZATION  
 England and Wales

-----  
 NUMBER OF 7 SOLE VOTING POWER  
 SHARES 1,859,437

BENEFICIALLY 8 SHARED VOTING POWER  
 OWNED BY 0

EACH 9 SOLE DISPOSITIVE POWER  
 REPORTING 1,859,437

PERSON 10 SHARED DISPOSITIVE POWER  
 WITH 0

-----  
 11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
 1,859,437

-----  
 12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN  
 SHARES

-----  
 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)  
 11.34%

-----  
 14 TYPE OF REPORTING PERSON\*  
 HC

-----  
 (Page 2 of 5 Pages)

===== CUSIP NO. 874036106 13D PAGE 3 of 5 =====

-----  
 1 NAME OF REPORTING PERSONS

Edgar Filing: TAIWAN FUND INC - Form SC 13D/A

S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS

City of London Investment Group PLC, a company incorporated under the laws of England and Wales.

|              |  |  |
|--------------|--|--|
| 2            | CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP                                       | (A) <input type="checkbox"/><br>(B) <input type="checkbox"/> |
| -----        |  |  |
| 3            | SEC USE ONLY   |  |
| -----        |  |  |
| 4            | SOURCE OF FUNDS*   |  |
|              | WC   |  |
| -----        |  |  |
| 5            | CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e) | <input type="checkbox"/>                                     |
| -----        |  |  |
| 6            | CITIZENSHIP OR PLACE OF ORGANIZATION   |  |
|              | England and Wales  |  |
| -----        |  |  |
| 7            | SOLE VOTING POWER  |  |
| NUMBER OF    | 1,859,437  |  |
| SHARES       |  |  |
| -----        |  |  |
| 8            | SHARED VOTING POWER  |  |
| BENEFICIALLY | 0  |  |
| OWNED BY     |  |  |
| -----        |  |  |
| 9            | SOLE DISPOSITIVE POWER   |  |
| EACH         | 1,859,437  |  |
| REPORTING    |  |  |
| -----        |  |  |
| 10           | SHARED DISPOSITIVE POWER   |  |
| PERSON       | 0  |  |
| WITH         |  |  |
| -----        |  |  |
| 11           | AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON                           |  |
|              | 1,859,437  |  |
| -----        |  |  |
| 12           | CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES                  | <input type="checkbox"/>                                     |
| -----        |  |  |
| 13           | PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)                                     |  |
|              | 11.34%   |  |
| -----        |  |  |
| 14           | TYPE OF REPORTING PERSON*  |  |
|              | IA   |  |

## Edgar Filing: TAIWAN FUND INC - Form SC 13D/A

This Amendment No. 4 to Schedule 13D (this "Amendment No. 4") should be read in conjunction with the Schedule 13D filed with the Securities and Exchange Commission (the "SEC") on August 14, 2003 (the "Original Schedule 13D") by City of London Investment Group PLC and City of London Investment Management Company Limited relating to the shares of common stock, par value \$.01 per share (the "Shares"), of The Taiwan Fund, Inc., (the "Fund"), with Amendment No. 1 to Schedule 13D filed with the SEC on March 31, 2004 ("Amendment No. 1"), with Amendment No. 2 to Schedule 13D filed with the SEC on June 30, 2004 ("Amendment No. 2"), and with Amendment No. 3 to Schedule 13D filed with the SEC on August 17, 2005 ("Amendment No. 3"). This Amendment No. 4 amends and restates Item 5 of the Original Schedule 13D in its entirety. All other information in the Original Schedule 13D remains in effect. All capitalized terms used herein and not otherwise defined shall have the meanings ascribed thereto in the Original Schedule 13D.

### ITEM 5. INTERESTS IN SECURITIES OF THE ISSUER.

(a) and (b). As of January 31, 2006, EWF, GEM, IEM, GFM I, FREE and the Accounts owned directly 128,256, 143,045, 492,000, 32,100, 211,400, and 852,636 Shares, respectively, representing approximately 0.78%, 0.87%, 3.00%, 0.20%, 1.29%, and 5.20%, respectively, of the 16.4 million Shares outstanding.

As of January 31 2006, CLIG, through its control of CLIM, had sole voting and dispositive power with respect to all 1,859,437 Shares owned directly by the City of London Funds and the Accounts, representing approximately 11.34% of the 16.4 million Shares outstanding.

As of January 31 2006, CLIM, in its capacity as investment adviser to the City of London Funds, had sole voting and dispositive power with respect to all 1,859,437 Shares owned directly by the City of London Funds and the Accounts, representing approximately 11.34% of the 16.4 million Shares outstanding.

(c). Except as described below, no transactions in the Shares were effected by the Reporting Persons, or, to their knowledge, any of the persons identified in Item 2, since the filing of Amendment No. 3.

| NAME OF FUND | Trade DATE | TRANSACTION TYPE | NUMBER OF SHARES | TRADE PRICE |
|--------------|------------|------------------|------------------|-------------|
| ACCOUNTS     | 11/28/2005 | SELL             | 860.00           | 14.22       |
| GEM          | 11/28/2005 | SELL             | 7,740.00         | 14.22       |
| GEM          | 11/30/2005 | SELL             | 30,200.00        | 14.0387     |
| ACCOUNTS     | 12/12/2005 | SELL             | 6,664.00         | 14.5143     |
| GEM          | 12/12/2005 | SELL             | 5,236.00         | 14.5143     |
| ACCOUNTS     | 1/10/2006  | SELL             | 3,200.00         | 16.908      |
| ACCOUNTS     | 1/11/2006  | SELL             | 25,410.00        | 16.7358     |
| GEM          | 1/11/2006  | SELL             | 10,890.00        | 16.7358     |
| ACCOUNTS     | 1/17/2006  | SELL             | 16,200.00        | 16.4611     |

Edgar Filing: TAIWAN FUND INC - Form SC 13D/A

|     |           |      |          |         |
|-----|-----------|------|----------|---------|
| GEM | 1/17/2006 | SELL | 9,400.00 | 16.4611 |
|-----|-----------|------|----------|---------|

(Page 4 of 5 Pages)

|          |           |      |           |         |
|----------|-----------|------|-----------|---------|
| ACCOUNTS | 1/19/2006 | SELL | 16,200.00 | 16.3198 |
| ACCOUNTS | 1/20/2006 | SELL | 27,300.00 | 16.2061 |
| ACCOUNTS | 1/24/2006 | SELL | 25,500.00 | 15.8473 |
| ACCOUNTS | 1/25/2006 | SELL | 1,500.00  | 16.22   |
| ACCOUNTS | 1/26/2006 | SELL | 59,140.00 | 16.491  |
| GEM      | 1/26/2006 | SELL | 29,760.00 | 16.491  |
| GFM I    | 1/26/2006 | SELL | 9,900.00  | 16.491  |
| ACCOUNTS | 1/27/2006 | SELL | 48,000.00 | 16.9563 |
| GEM      | 1/27/2006 | SELL | 24,000.00 | 16.9563 |
| GFM I    | 1/27/2006 | SELL | 8,000.00  | 16.9563 |
| ACCOUNTS | 1/30/2006 | SELL | 36,720.00 | 17.2528 |
| EWF      | 1/30/2006 | SELL | 9,180.00  | 17.2528 |
| ACCOUNTS | 1/31/2006 | SELL | 9,702.00  | 17.3311 |
| EWF      | 1/31/2006 | SELL | 13,398.00 | 17.3311 |

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 3, 2006

CITY OF LONDON INVESTMENT GROUP PLC

/s/ Barry M. Olliff

Name: Barry M. Olliff  
Title: Director

CITY OF LONDON INVESTMENT MANAGEMENT  
COMPANY LIMITED

/s/ Barry M. Olliff

Edgar Filing: TAIWAN FUND INC - Form SC 13D/A

Name: Barry M. Olliff  
Title: Director