#### VOLTOLINA FRANK A

Form 4

January 05, 2006

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

VOLTOLINA FRANK A Issuer Symbol JOHNSON CONTROLS INC [JCI] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 5757 N. GREEN BAY 01/03/2006 below) AVENUE, P.O. BOX 591 Vice President and Treasurer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MILWAUKEE, WI 53201-0591 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code Amount (D) Price Common 01/03/2006 Α 34.431 Α  $1,270.082 \frac{(1)}{}$  D Stock 72.6081 By 401(k) Common Ι Plan 585.92 (2) Stock Trust Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

> required to respond unless the form displays a currently valid OMB control

number.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Ar Underlying Se (Instr. 3 and 4
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/LTPP	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock
Phantom Stock Units - Excess Plan	(3)					<u>(6)</u>	<u>(6)</u>	Common Stock
Stock Option	\$ 43.425					06/16/2005(8)	06/16/2013	Common Stock
Stock Option	\$ 52.55					11/19/2005(8)	11/19/2013	Common Stock
Stock Option	\$ 61.69					11/17/2006(8)	11/17/2014	Common Stock
Stock Option	\$ 67.685					11/16/2007(8)	11/16/2015	Common Stock
Phantom Stock Units/Restricted Stock Grant	\$ 74.1	01/03/2006		A	4,000	<u>(9)</u>	<u>(9)</u>	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
topy to the family frame of	Director	10% Owner	Officer	Other			
VOLTOLINA FRANK A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Vice President and Treasurer				
Signatures							
Arlene D. Gumm, Attorney-in-fact fo Voltolina	r Frank A.	01/0	05/2006				
**Signature of Reporting Person	1		Date				

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 4.719 shares of stock acquired through the reinvestment of dividends on January 3, 2006, at a price of \$72.6081 per share.
- The number of underlying securities is based on the stock fund balance on January 4, 2006. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 4, 2006 stock fund price of \$75.17 per share.
- (3) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (4) The phantom stock units were accrued under the Johnson Controls Long-Term Performance Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (5) Includes 6.084 phantom stock units acquired through the reinvestment of dividends on January 3, 2006, at a price of \$74.10 per phantom unit.
- (6) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (7) Includes 294.528 phantom stock units acquired through payroll contributions and the reinvestment of dividends on January 3, 2006, at a price of \$74.10 per phantom unit.
- (8) Fifty percent of the options vest after two years and the remaining 50% vests after three years.
- (9) The phantom stock units were accrued under the Johnson Controls Restricted Stock Plan and are to be settled 100% in cash upon the reporting person's retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.