

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

CONCORD COMMUNICATIONS INC  
Form SC 13G  
January 28, 2004

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
  
WASHINGTON, D.C. 20549

-----  
OMB APPROVAL  
-----  
OMB Number: 3235-0145  
-----  
Expires: December 31, 2005  
-----  
Estimated average burden  
hours per response...11  
-----

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934  
(AMENDMENT NO. )\*

CONCORD COMMUNICATIONS, INC.

-----  
(Name of Issuer)

COMMON STOCK

-----  
(Title of Class of Securities)

206186108

-----  
(CUSIP Number)

December 31, 2003

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

/X/ Rule 13d-1(b)

/ / Rule 13d-1(c)

/ / Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENT VALID OMB CONTROL NUMBER.

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

1

CUSIP No. 206186108  
-----

-----  
1. Names of Reporting Persons

Firsthand Capital Management, Inc.

I.R.S. Identification Nos. of above persons (entities only).  
EIN: 77-0449623

-----  
2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) / /  
(b) / /

-----  
3. SEC Use Only

-----  
4. Citizenship or Place of Organization

California

-----  
Number of Shares  
Beneficially Owned by  
Each Reporting Person  
With:

5. Sole Voting Power

1,189,300

-----  
6. Shared Voting Power

0

-----  
7. Sole Dispositive Power

1,189,300

-----  
8. Shared Dispositive Power

0

-----  
9. Aggregate Amount Beneficially Owned by Each Reporting Person

1,189,300

-----  
10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See  
Instructions) / /

-----  
11. Percent of Class Represented by Amount in Row (9)

6.57%

-----  
12. Type of Reporting Person (See Instructions)

IA, CO

-----  
2

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

CUSIP No. 206186108

-----  
1. Names of Reporting Persons

Firsthand Funds on behalf of its series, as follows:

Technology Value Fund  
Technology Leaders Fund  
Technology Innovators Fund  
The e-Commerce Fund  
Global Technology Fund

I.R.S. Identification Nos. of above persons (entities only).

77-6100553  
31-1576988  
77-0484956  
77-0522622  
06-1588825

-----  
2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) / /  
(b) / /

-----  
3. SEC Use Only

-----  
4. Citizenship or Place of Organization

Delaware

-----  
Number of Shares  
Beneficially Owned by  
Each Reporting Person  
With:

5. Sole Voting Power

1,189,300

-----  
6. Shared Voting Power

0

-----  
7. Sole Dispositive Power

1,189,300

-----  
8. Shared Dispositive Power

0

-----  
9. Aggregate Amount Beneficially Owned by Each Reporting Person

1,189,300

-----  
10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See  
Instructions) / /

-----  
11. Percent of Class Represented by Amount in Row (9)

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

6.57%

-----  
12. Type of Reporting Person (See Instructions)

IV  
-----

3

CUSIP No. 206186108  
-----

1. Names of Reporting Persons

Landis, Kevin Michael

I.R.S. Identification Nos. of above persons (entities only).  
-----

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) / /  
(b) / /  
-----

3. SEC Use Only  
-----

4. Citizenship or Place of Organization

United States  
-----

Number of Shares

Beneficially Owned by  
Each Reporting Person  
With:

5. Sole Voting Power

1,189,300  
-----

6. Shared Voting Power

0  
-----

7. Sole Dispositive Power

1,189,300  
-----

8. Shared Dispositive Power

0  
-----

9. Aggregate Amount Beneficially Owned by Each Reporting Person

1,189,300  
-----

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) / /  
-----

11. Percent of Class Represented by Amount in Row (9)

6.57%  
-----

12. Type of Reporting Person (See Instructions)

HC (CONTROL PERSON), IN

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

4

ITEM 1.

(a) Name of Issuer

Concord Communications, Inc.

(b) Address of Issuer's Principal Executive Offices

600 Nickerson Road  
Marlboro, MA 01752

ITEM 2.

(a) Name of Person Filing

- (i) Firsthand Capital Management, Inc. ("FCM")
- (ii) Firsthand Funds ("Firsthand")
- (iii) Kevin Michael Landis ("Landis")

(b) Address of Principal Business Office or, if none, Residence

125 South Market, Suite 1200, San Jose, CA 95113

(c) Citizenship

- (i) FCM: California
- (ii) Firsthand: Delaware
- (iii) Landis: United States

(d) Title of Class of Securities

Common stock

(e) CUSIP Number

206186108

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO SECTIONS 240.13d-1(b) or 240.13d-2(b) or (c), CHECK WHETHER THE PERSON FILING IS A:

- (a) / / Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) / / Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) / / Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) /X/ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

- (e) /X/ An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);
- (f) / / An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);

5

- (g) /X/ A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);
- (h) / / A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) / / A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) / / Group, in accordance with Section 240.13d-1(b)(1)(ii)(J).

This statement is filed by FCM and SCM, both investment advisers registered under the Investment Advisers Act of 1940, as amended, their control person Landis, and Firsthand, an investment company registered under the Investment Company Act of 1940, as amended. (See, also, Exhibit A.)

ITEM 4. OWNERSHIP

Common stock:

- (a) Amount beneficially owned:  
1,189,300  
-----
- (b) Percent of Class:  
6.57%  
-----
- (c) Number of shares as to which the joint filers have:
  - (i) Sole power to vote or to direct the vote:  
1,189,300  
-----
  - (ii) Shared power to vote or to direct the vote:  
0  
-----
  - (iii) Sole power to dispose or to direct the disposition of:  
1,189,300  
-----
  - (iv) Shared power to dispose or to direct the disposition of:  
0  
-----

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following / /.

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

N/A

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

N/A

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

See Exhibit A.

ITEM 9. NOTICE OF DISSOLUTION OF GROUP.

N/A

6

ITEM 10. CERTIFICATION:

(a) The following certification shall be included if the statement is filed pursuant to Section 240.13d-1(b):

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

Date: 01/16/2004

FIRSTHAND CAPITAL MANAGEMENT, INC.

/s/ Kevin M Landis

-----  
Kevin M. Landis, President

FIRSTHAND FUNDS

/s/ Kevin M Landis

-----  
Kevin M. Landis, Trustee

/s/ Kevin M Landis

-----  
Kevin M. Landis, Control Person

7

EXHIBIT A

IDENTIFICATION AND CLASSIFICATION OF JOINT FILERS

Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

Pursuant to Rule 13d-1(k)(1) under the Securities and Exchange Act of 1934, the reporting persons making this joint filing are identified and classified as follows:

NAME ----	CLASSIFICATION -----
Firsthand Capital Management, Inc. ("FCM")	Investment adviser registered under the Investment Advisers Act of 1940, as amended.
Firsthand Funds on behalf of its series, as follows ("Firsthand"): Technology Value Fund Technology Leaders Fund Technology Innovators Fund The e-Commerce Fund Global Technology Fund	Investment company registered under the Investment Company Act of 1940, as amended.
Kevin Michael Landis	A control person of FCM and Firsthand.

8

EXHIBIT B

JOINT FILING AGREEMENT PURSUANT TO RULE 13d-1(k)(1)

This agreement is made pursuant to Rule 13d-1(k)(1) under the Securities and Exchange Act of 1934 (the "Act") by and among the parties listed below, each referred to herein as a "Joint Filer." The Joint Filers agree that a statement of beneficial ownership as required by Sections 13(g) or 13(d) of the Act and the Rules thereunder may be filed on each of their behalf on Schedule 13G or Schedule 13D, as appropriate, and that said joint filing may thereafter be amended by further joint filings. The Joint Filers state that they each satisfy the requirements for making a joint filing under Rule 13d-1 and are not acting as a group as defined by Rule 13d-1(b)(ii)(J).

SIGNATURE

Date: 01/16/2004

FIRSTHAND CAPITAL MANAGEMENT, INC.

/s/ Kevin M Landis

-----  
Kevin M. Landis, President

FIRSTHAND FUNDS

/s/ Kevin M Landis

-----  
Kevin M. Landis, Trustee

/s/ Kevin M Landis



Edgar Filing: CONCORD COMMUNICATIONS INC - Form SC 13G

-----  
Kevin M. Landis, Control Person

9

EXHIBIT C

DISCLAIMER OF BENEFICIAL OWNERSHIP

Concord Communications, Inc.  
Common Stock  
1,189,300 Shares

Kevin Michael Landis disclaims beneficial ownership as to all shares beneficially owned for Section 13(g) filing purposes by Firsthand Capital Management, Inc., an investment adviser, and Firsthand Funds.

10