## Edgar Filing: GUARANTY FEDERAL BANCSHARES INC - Form 4

Check this box if no longer subject to Section 16.       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB Num         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF BECURITIES       Expir Estin burdet								OMB Number: Expires: Estimated burden hou response	urs per		
(Print or Type Ro	esponses)										
HORTON GREG ALAN Sy			2. Issuer Name <b>and</b> Ticker or Trading Symbol GUARANTY FEDERAL BANCSHARES INC [GFED]				8	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 782 N SQUIRES LN			3. Date of Earliest Transaction (Month/Day/Year) 02/23/2017					X_Director10% Owner Officer (give title below) Other (specify below)			
								6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SPRINGFIELD, MO 65802 Form filed by More than One Reporting Person								eporting			
(City)	(State) (	Zip)	Table	I - Non-De	erivative S	ecuri	ties Acqu	iired, Disposed of	, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if			Code (Instr. 3, 4 and 5) ) (Instr. 8) (A) or				5. Amount of Securities6.BeneficiallyForm:OwnedDirect (D)Followingor IndirectReported(I)Transaction(s)(Instr. 4)(Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON	02/23/2017			Code V A	Amount 885	(D) A	Price \$	885	I	Restricted	
STOCK COMMON STOCK	02/23/2017			А	765	А	20.35 \$ 20.35	765	I	Restricted	
COMMON STOCK								1,167	Ι	Restricted	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Addr	ess	<b>Relationships</b>							
	Director	10% Owner	Officer	Other					
HORTON GREG ALAN									
782 N SQUIRES LN	Х								
SPRINGFIELD, MO 65802	2								
Signatures									
Greg Horton	02/27/2017								
<u>**</u> Signature of Reporting Person	Date								

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.