Edgar Filing: GUARANTY FEDERAL BANCSHARES INC - Form 4

GUARANTY FEDERAL BANCSHARES INC

Form 4 March 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

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obligations

may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MOORE DAVID T			2. Issuer Name and Ticker or Trading Symbol GUARANTY FEDERAL BANCSHARES INC [GFED]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 925 ST. AND	(First) REWS CIRO	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/25/2016				title Oth		
	(Street)		4. If Amend Filed(Month	· ·	Original	6. Individual or Jo Applicable Line) _X_ Form filed by O			
SPRINGFIELD, MO 65809						Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table 1	I - Non-Dei	rivative Securities Acq	uired, Disposed of	f, or Beneficia	lly Owned	
1.Title of	2. Transaction	n Date 2A. De	eemed	3.	4. Securities	5. Amount of	6.	7. Nature of	

							-	•			
	1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securi		r	5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3)		(Monuil Bay, Tear)	any	Code	Disposed of (D)				Form: Direct		
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	(D) or	Ownership		
								Following Indirect (I)		(Instr. 4)	
						(A)		Reported Transaction(s)	(Instr. 4)		
						or		(Instr. 3 and 4)			
	~~~			Code V	Amount	(D)	Price	(msure und 1)			
	COMMON STOCK	02/25/2016		A	1,167	A	\$ 15	1,167	I	Restricted	
	COMMON STOCK							2,149	I	Restricted	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. T	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	^	Title 1	e Number		
						Excicisable			of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
MOORE DAVID T								
925 ST. ANDREWS CIRCLE	X							

925 ST. ANDREWS CIRCLE SPRINGFIELD, MO 65809

## **Signatures**

Reporting Person

DAVID MOORE 03/01/2016

**Signature of Date

### **Explanation of Responses:**

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Deletionships

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2