**NVIDIA CORP** Form 4

December 14, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HUANG JEN HSUN** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

NVIDIA CORP [NVDA]

12/12/2016

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_ Director 10% Owner X\_ Officer (give title Other (specify

President and CEO

C/O NVIDIA CORPORATION, 2701 SAN

TOMAS EXPRESSWAY

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line)

below)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SANTA CLARA, CA 95050

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secu	rities Acqu	ired, Disposed o	f, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	onor Dispose (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/12/2016		M(1)	41,666	A	\$ 18.1	1,751,872	D	
Common Stock	12/12/2016		S(1)	41,666	D	\$ 88.558 (2)	1,710,206	D	
Common Stock							16,097,585	I	By Trust (3)
Common Stock							1,237,239	I	By Partnership

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Common Stock	557,000 I	By Irrevocable Trust (5)								
Common Stock	769,705 I	The Jen-Hsun Huang 2016 Annuity Trust I Agreement								
Common Stock	769,705 I	The Jen-Hsun Huang 2016 Annuity Trust II Agreement								
Common Stock	769,705 I	The Lori Lynn Huang 2016 Annuity Trust I Agreement								
Common Stock	769,705 I	The Lori Lynn Huang 2016 Annuity Trust II Agreement								
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  SEC 1474  (9-02)										
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Conversion or Exercise (Instr. 3)  Price of Derivative Security  Output  Derivative Security  Output  Derivative Security  3. Transaction Date of (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  Output  Output  Output  Derivative Security	TransactionDerivative Expiration Date	6. Date Exercisable and Expiration Date Underlying Securities (Month/Day/Year) (Instr. 3 and 4)								
		Amount or Number of Shares								

Employee Stock

Option \$ 18.1 12/12/2016  $M_{\underline{0}}^{(1)}$  41,666  $\underline{0}$  03/16/2017 Common 41,666

(Right to Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HUANG JEN HSUN C/O NVIDIA CORPORATION 2701 SAN TOMAS EXPRESSWAY SANTA CLARA, CA 95050

X President and CEO

**Signatures** 

/s/ Rebecca Peters, Attorney-in-Fact for Jen-Hsun
Huang
12/14/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was pursuant to a 10b5-1 Plan.
  - Represents weighted average sales price. The shares were sold at prices ranging from \$87.72 to \$89.87. The Reporting Person will
- (2) provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (3) The shares are held by Jen-Hsun Huang and Lori Huang, as co-trustees of the Jen-Hsun & Lori Huang Living Trust, u/a/d May 1, 1995 (the "Trust"), of which the Reporting Person is a trustee.
- (4) The shares are held by J. and L. Huang Investments, L.P., of which the Trust is the general partner.
- (5) The shares are held by The Huang 2012 Irrevocable Trust, of which the Reporting Person is a trustee.
- (6) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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